# COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF LABOR RELATIONS

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In the Matter of

CITY OF BOSTON \* Case No. MUP-15-4877

and \* Date issued:

SERVICE EMPLOYEES INTERNATIONAL \* February 17, 2017 UNION, LOCAL 888 \*

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**Hearing Officer:** 

Kendrah Davis, Esq.

Appearances:

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Robert J. Boyle, Jr., Esq. - Representing the City of Boston

Maureen Medeiros, Esq. - Representing the Service Employees International Union, Local 888

#### **HEARING OFFICER'S DECISION**

#### SUMMARY

- The issue in this case is whether the City of Boston (City) violated Section
- 2 10(a)(1) of M.G.L. c.150E (the Law) by interfering with, restraining and coercing
- 4 her representation by Service Employees International Union, Local 888 (Union) at

bargaining unit member Lana Gayle (Gayle) in the exercise of her rights when it denied

- 5 investigatory meetings in October of 2015. For the reasons explained below, I find that
- 6 the City did not violate Section 10(a)(1) of the Law as alleged.

#### STATEMENT OF THE CASE

On October 16, 2015, the Union filed a Charge of Prohibited Practice (Charge) with the Department of Labor Relations (DLR), alleging that the City had engaged in prohibited practices within the meaning of Section 10(a)(5) and 10(a)(1) of the Law. On January 26, 2016, a duly-designated DLR Investigator conducted an investigation into the Charge, at which time the Union withdrew its Section 10(a)(5) allegations. On February 3, 2016, the Investigator issued a Complaint of Prohibited Practice (Complaint) alleging that the City unlawfully denied bargaining unit member Gayle her right to Union representation at an investigation that she reasonably believed would lead to discipline, thereby interfering with, restraining and coercing Gayle in the exercise of her rights under Section 10(a)(1) of the Law. On February 25, 2016, the City filed its Answer.

On November 15, 2016, I conducted a hearing at which both parties had a full opportunity to be heard, to examine and cross-examine witnesses and to introduce evidence. The City and the Union filed their post-hearing briefs on December 16, 2016.

#### ADMISSIONS OF FACT

- 17 The City admitted to the following facts:
- 18 1. The City is a public employer within the meaning of Section 1 of the Law.
- 20 2. The Union is an employee organization within the meaning of Section 1 of the Law.
  - 3. The Union is the exclusive bargaining representative for certain employees employed by the City's Centers for Youth and Families.

#### STIPULATIONS OF FACT

1. This matter results from the attempts on October 14 and 15, 2015, of the management of Boston Centers for Youth and Families to interview Lana Gayle.

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2. Michael Sulprizio (Sulprizio) and Hector Alvarez (Alvarez) attempted to interview Gayle on October 14, 2015. She refused to answer questions and the interview was suspended. The interview was rescheduled for the next day.

3. Sulprizio and Alvarez interviewed Gayle on October 15, 2015.

4. On October 15, 2015, Union representative Ronald Patenaude (Patenaude) informed Sulprizio that he would file a charge.

5. To date, Gayle did not receive any discipline as a result of the events of October 14 and 15, 2015.

### FINDINGS OF FACT

For the past 20 years, Gayle has been employed by the City's Centers for Youth and Families (CYF), at the Roxbury location. At all relevant times Gayle was a CYF Building Manager in Roxbury. For the past five years, Gayle has also served as Union Steward, where she attends investigatory meetings at the request of other unit members who believe that the meetings will result in their discipline.

## The October 14, 2015 Meeting

By telephone on October 14, 2015, Gayle's supervisor instructed her to immediately report to CYF's central office in Boston. When Gayle arrived, she went into a room where Deputy Commissioner Sulprizio and Director of Operations Alvarez informed her that they wanted to ask her questions about a co-worker. Sulprizio and Alvarez also reassured Gayle that she was not the subject of their investigation but cautioned that if she answered any questions untruthfully she could face discipline. Sulprizio and Alvarez then read aloud from a prepared set of investigatory interview questions, beginning with a preamble that stated in full:

 We are conducting an investigation regarding potential misconduct to determine whether there is just cause for discipline. answering our questions.

contact the Department immediately.

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with the interview. Sulprizio and Alvarez responded that Gayle was not entitled to have 11 a union representative present during the interview. Gayle disagreed and repeated 12

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During that discussion, Sulprizio told Patenaude that Gayle was not entitled to union

her to the next meeting.

The October 15, 2015 Meeting

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We expect and ask you to be complete and truthful when

 Retaliation based on participating in or relative to a complaint or an investigation is a serious separate additional infraction. If you feel

• Please keep what is discussed in this room today confidential.

uncomfortable and wanted to have her Union representative present before proceeding

multiple times that she felt uncomfortable. At that point Sulprizio terminated the

meeting. By e-mail later that day, Sulprizio notified CYF's Labor Relations attorney

Restilda Dhroso (Dhroso) that he had suspended Gayle's interview to confirm whether

she had any right to union representation. Later that same day, Gayle contacted Union

representative Patenaude and explained to him what had happened at CYF's central

office with Sulprizio and Alvarez. Patenaude then informed Gayle that he would go with

to CYF's central office to continue the investigatory meeting. Gayle then contacted

Patenaude who stated that he would accompany her to the meeting. At CYF's central

office, Patenaude and Gayle spoke briefly and then walked into the meeting room

together. After they had entered the room, Sulprizio and Alvarez walked in and asked

Patenaude to step outside into the hallway to discuss the purpose of the meeting.

By text message on October 15, 2015, Gayle's supervisor instructed her to return

that you experience or become aware of retaliation you need to

After listening to the preamble, Gayle informed Sulprizio and Alvarez that she felt

representation during the investigatory interview because she was not the subject of the investigation. Patenaude disagreed and reiterated Gayle's concern about her feeling uncomfortable about being possibly disciplined. In response, Sulprizio reiterated that Gayle did not have a right to union representation during the interview, and if she refused to meet without a union representative, then he would discipline her. At that point, Patenaude informed Sulprizio that he would investigate filing an unfair labor practice charge against the City. Patenaude then returned to the meeting room where he informed Gayle that while he would not be able to accompany her during the meeting, she should answer the questions truthfully.

After Patenaude left the room, Sulprizio and Alvarez proceeded to interview Gayle, reminding her that if she answered any questions untruthfully she could be disciplined. By e-mail on October 16, 2015, Sulprizio notified Dhroso that he and Alvarez had completed Gayle's investigatory interview, and that she had fully cooperated by answering all of their questions. The City never disciplined Gayle.

15 <u>DECISION</u>

A public employer violates Section 10(a)(1) of the Law when it engages in conduct that tends to interfere with, restrain or coerce employees in the exercise of their rights under Section 2 of the Law. Quincy School Committee, 27 MLC 83, 91, MUP-1986 (Dec. 29, 2000). The test for unlawful interference, restraint, or coercion does not turn on the employer's motive or the subjective impact of the employer's conduct on a particular employee. Id. at 91. Rather, the Commonwealth Employment Relations Board (Board) applies an objective test that focuses on the impact that the employer's conduct would have on reasonable employees' exercise of Section 2 rights. Town of

- <u>Winchester</u>, 19 MLC 1591, 1595-96, MUP-7514 (Dec. 22, 1992). Thus, a public employer that denies an employee the right to union representation at an investigatory interview that the employee reasonably believes will result in discipline interferes with the employee's Section 2 rights in violation of Section 10(a)(1) of the Law. <u>Commonwealth of Massachusetts</u>, 26 MLC 139, 141, SUP-4301 (March 9, 2000).
  - The right to union representation arises when the employee reasonably believes that the investigation will result in discipline and the employee makes a valid request for union representation. Commonwealth of Massachusetts, 22 MLC 1741, 1747, SUP-4105 (May 16, 1996) (citing Commonwealth of Massachusetts, 4 MLC 1415, 1417-1418, SUP-2067 (March 9, 1977)). In determining the reasonableness of an employee's belief that discipline will occur, the Board tests whether a reasonable person in the employee's situation would have believed that adverse action would follow.

    Board of Trustees, 40 MLC 426, 427-28, SUP-10-5601 (June 27, 2014); Commonwealth of Massachusetts, 8 MLC 1287, 1289, SUP-2443 (Aug. 20, 1981).
  - The Union argues that Gayle had a <u>Weingarten</u> right to Union representation in October of 2015 because she reasonably believed that her investigatory interviews with Sulprizio and Alavarez on October 14 and 15 would result in discipline if she answered their questions untruthfully. The Union also argues that the City violated Section 10(a)(1) when Sulprizio and Alavarez denied Gayle's request for Union representation because they ignored the "reasonableness" standard which places possession of a Weingarten right with the employee, not with the employer.
  - The City argues that it did not violate the Law when it interviewed Gayle without Union representation in October of 2015 because it made repeated assurances to her

that she was not the subject of the investigation and would not be subjected to discipline. Relying on <u>Cape Cod Regional Technical H.S. District Committee</u>, 28 MLC 332, 336 (2002), the City contends that Gayle's belief that she could be disciplined was unreasonable because Weingarten rights attach only when a person is the subject of an investigatory interview or has committed prior misconduct and believes that discipline that could result from the interview. Also relying on <u>Commonwealth of Massachusetts</u>, 22 MLC at 1748, the City asserts that because Gayle was not the subject of the City's investigation, and because Sulprizio made repeated assurances that she would not be disciplined as a result of her meetings on October 14 and 15, 2015, this shows that Gayle had no reasonable basis on which to fear discipline or invoke a Weingarten right. Last, the City argues that Sulprizio's recitation of the preamble was standard practice during witness interviews and therefore when he and Alvarez warned Gayle against untruthful answering, the warning could not have triggered a Weingarten right because Gayle had not committed any misconduct which required discipline.

Here, Gayle's belief that the investigatory interviews on October 14 and 15, 2017 would result in discipline was unreasonable. First, although the City had interviewed Gayle as a witness, the record shows that the interviews were not investigatory as applied to her because she was not the subject of the investigation. Commonwealth of Massachusetts, 8 MLC at 1289; NLRB v. Weingarten, 420 U.S. 251, 262-63 (1975). Additionally, nothing in the record shows that Gayle had committed any prior misconduct that would lead her to believe that the City could discipline her on October 14 and 15, 2015 for participating in the interviews. Commonwealth of Massachusetts, 22 MLC at 1747 (the right to union representation arises when the employee reasonably

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believes that the investigation will result in discipline). Rather, the City made repeated
 assurances that she was neither the target of the investigation nor the subject of any
 discipline.

Despite the Union's contention that the City created a Weingarten right when it warned Gayle that she could be disciplined for giving untruthful answers, I find that this waning did not create such a right based on Sulprizio's recitation of the preamble as standard practice for investigatory interviews coupled with his stated reason for interviewing Gayle solely as a witness, not as a subject, and his assurances that the purpose of the interview was not to seek discipline against Gayle. Commonwealth of Massachusetts, 26 MLC at 141 (citing Commonwealth of Massachusetts, 8 MLC at 1289). Based on this evidence, Gayle could not have possessed a reasonable belief that she was being investigated for alleged misconduct that could have led to her being disciplined because the City never targeted her as the subject of any investigatory interview. See Commonwealth of Massachusetts, 8 MLC at 1289 (to determine reasonableness, the standard is not the disputed employee's subjective belief but whether a reasonable person in the employee's situation would have believed adverse action would follow); contrast Board of Trustees, 40 MLC at 427 (unit member reasonably believed that discipline would result from her meeting with the manager based on her past disciplinary history, thus causing her to view the manager's request differently from employees with no disciplinary history at all).

Consequently, because there is no evidence that the City violated Gayle's Section 2 rights by denying her Union representation during the October 14 and 15,

- 1 2015 interviews, I must dismiss the Complaint. Commonwealth of Massachusetts, 26
- 2 MLC 139, 141 (2000).
- 3 <u>CONCLUSION</u>
- 4 For the reasons stated above, I conclude that the City did not violate
- 5 Section10(a)(1) of the Law when it denied Gayle Union representation at the October 14
- 6 and 15, 2015 investigatory meetings.

COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF LABOR RELATIONS

KENDRAH DAVIS, ESQ. HEARING OFFICER

#### **APPEAL RIGHTS**

The parties are advised of their right, pursuant to M.G.L. Chapter 150E, Section 11 and 456 CMR 13.09, to request a review of this decision by the Commonwealth Employment Relations Board by filing a Request for Review with the Executive Secretary of the Department of Labor Relations within ten days after receiving notice of this decision. If a Request for Review is not filed within ten days, this decision shall become final and binding on the parties.