

Mass Workforce Issuance

Workforce Issuance No. 06-09

☒ **Policy** ☐ **Information**

To: Chief Elected Officials
Workforce Investment Board Chairs
Workforce Investment Board Directors
Title I Administrators
Career Center Directors
Title I Fiscal Officers
DCS Associate Directors
DCS Field Managers

cc: WIA State Partners

From: Susan V. Lawler, Director
Division of Career Services

Date: February 22, 2006

Subject: New Petitions Forms for Trade Adjustment Assistance and Alternative Trade Adjustment Assistance Certification Process

Purpose: To notify Local Workforce Investment Boards, One-Stop Career Center Operators and other local workforce investment partners of the implementation of a new Petition for Trade Adjustment Assistance (TAA) and Alternative Trade Adjustment Assistance (ATAA) form ETA-9042A (revised 11/05).

Background: The U.S. Department of Labor (USDOL) has notified the Division of Career Services (DCS) that a new Petition for Trade Adjustment Assistance (TAA) and Alternative Trade Adjustment Assistance (ATAA) must be utilized to petition for TAA/ATAA Certification. Changes from the former petition are intended to improve its usefulness in providing relevant information for a comprehensive investigation. Changes include:

- Incorporating “Alternative Trade Adjustment Assistance (ATAA)” in the title of the petition to mitigate confusion over any negative implications and resulting errors related to the former practice of checking a specified “ATAA” box.
- Requesting an alternative telephone number for petitioners.
- Identification of the petitioner(s) type (i.e., three workers, company official, union official, etc.).

- Changing references from “company” to “firm/subdivision” to be consistent with statutory language.
- Requesting the subject firm’s Federal Employment Identification Number.
- Question to clarify worker function in cases where no article is produced to determine relationship between workers and production.
- Requesting contact information for a second company official to help expedite the investigation process.
- Request for an alternative telephone number for each company official.
- Section to clarify workers’ relationship as employees of or contractors to the subject firm.
- Elimination of the question asking workers to indicate filing for TAA eligibility on either a “primary” or “secondary” basis.
- Elimination of the question identifying countries where adverse production occurred.
- Additional space to provide reasons why worker group meets TAA eligibility requirements.
- Additional check box to indicate the provision of supporting documentation.
- Addition of a more explicit affirmation of information and signature block and an explanation of what the signature means.
- Explicit notification to the petitioner that the petition will be made available for public inspection/copying under the Freedom of Information Act.

Consistent with past practice, petitions filed by a group of adversely affected workers must be signed by at least three workers; petitions filed by any other authorized representative require only one signature. Once signed, petitions must be filed with the USDOL Department of Trade Adjustment Assistance (DTAA) and a state’s TAA Coordinator or Dislocated Worker Unit relevant to the worker group’s plant location.

Policy: The new Petition for Trade Adjustment Assistance (TAA) and Alternative Trade Adjustment Assistance (ATAA) form ETA-9042A (revised 11/05) will now be used to petition for TAA/ATAA Certification. All completed and signed petition forms will be submitted to the DCS Trade Unit for review:

Beth Goguen
 Division of Career Services
 19 Staniford Street
 P.O. Box 8370
 Boston, MA 02114
 Phone: 617-626-6007
 FAX: 617-626-6017
 E-mail: bgoguen@detma.org

DCS will ensure the forms are complete and will forward the petitions to DTAA to meet all filing requirements.

NOTE: Petitioners should be instructed to provide as much detailed information as possible in completing each section of the petition form. Complete and

detailed information will allow USDOL investigators to complete a determination for TAA and ATAA services and benefits in a more timely manner.

NOTE: Online submission of the TAA/ATAA Petition is currently under construction. However, as this option is not yet available, hard copy versions of the new petition forms may be downloaded for completion from the website: <http://www.doleta.gov/tradeact/petitions.cfm#2>. Completed petition forms may be submitted to the DCS Trade Unit by FAX or mail, only. E-mail submission is not permissible at this time.

Action

Required: Please assure that all appropriate staff are informed of the content of this issuance. Please discard all supplies of outdated TAA/ATAA petition forms.

Effective: Immediately

Inquiries: Please email all questions to PolicyQA@detma.org. Also, indicate Issuance number and description.

Attachments: A: Petition for Trade Adjustment Assistance (TAA) and Alternative Trade Adjustment Assistance (ATAA) form ETA-9042A (revised 11/05) – English Version
B: Petition for Trade Adjustment Assistance (TAA) and Alternative Trade Adjustment Assistance (ATAA) form ETA-9042A (revised 11/05) – Spanish Version
C: Detailed Petition Filing Instructions Desktop Guide