

An aerial photograph of a coastal area. The water is a deep blue, but a large, irregular area of the water is covered in a thick, reddish-brown bloom, likely a harmful algal bloom (HAB). The coastline is visible on the right, with a sandy beach and some buildings. In the background, there are mountains and a clear sky.

# Development of a Raman Spectroscopic Sensor and Library for Classification of HAB Species and Toxins

Scott Gallager

Woods Hole Oceanographic Institution and CoastalOceanVision, Inc  
and Donald Anderson, WHOI

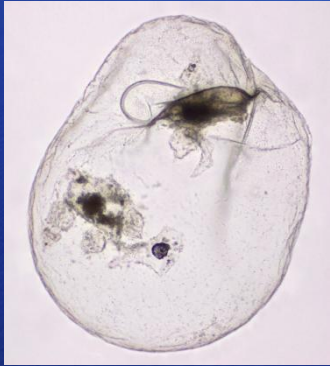
and

Richard York, Department of Natural Resources, Town of Mashpee

NESSA Conference April 10, 2019

# Harmful Algal Blooms (HAB)

Non-toxic but can cause anoxic conditions



*Noctiluca scintillans*

Non-toxic to humans but harmful to fish

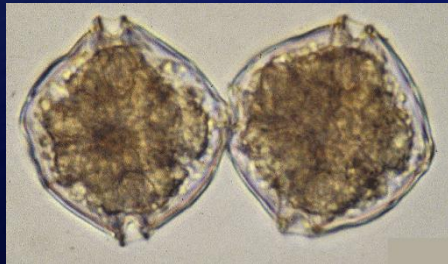
*Cochlodinium polykrikoides*



What is the efficacy of  
Raman spectroscopy  
for cell and toxin  
identification ?

Paralytic Shellfish Poisoning (PSP)

*Alexandrium catenella*



Produce very potent toxins

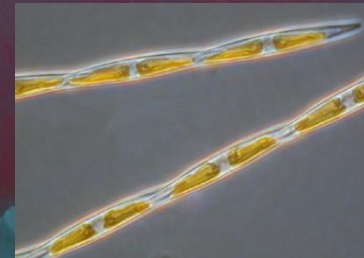
Neurotoxic Shellfish Poisoning (NSP)

*Karenia brevis*



Domoic Acid

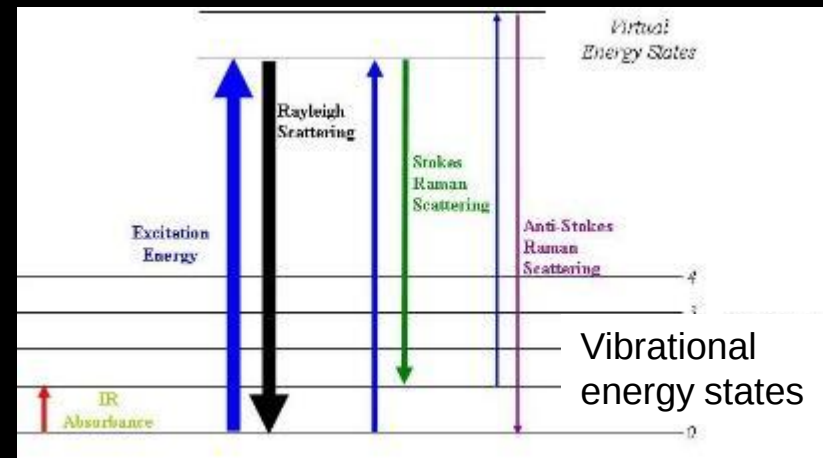
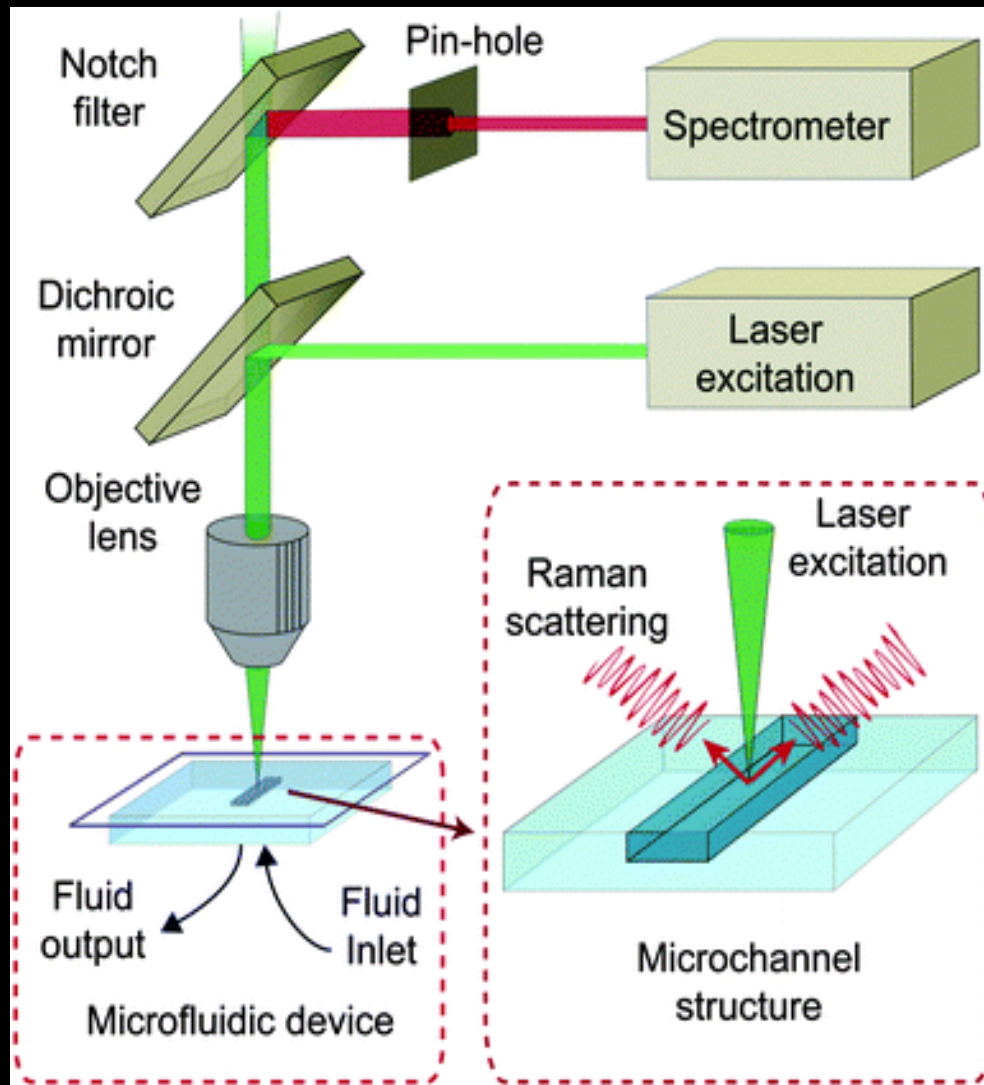
*Pseudo-nitzschia* spp.



# Objectives

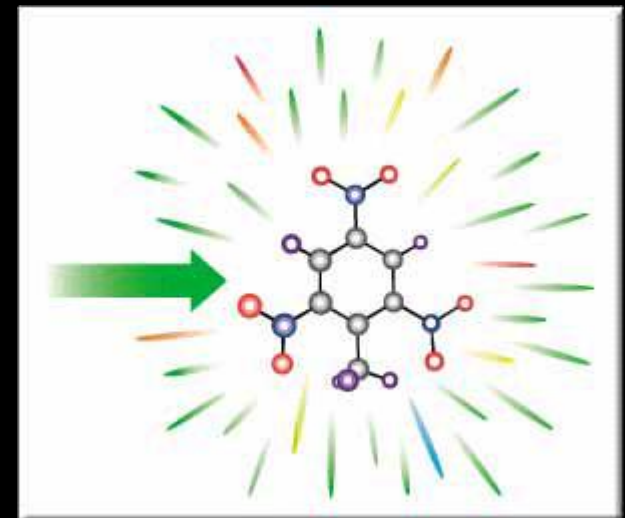
1. To combine Raman spectroscopy with image analysis to discriminate between HAB species using Deep Learning
2. To miniaturize and package the sensor to allow hand held, in situ, and distributed arrays of real-time instruments

# Raman Spectroscopy

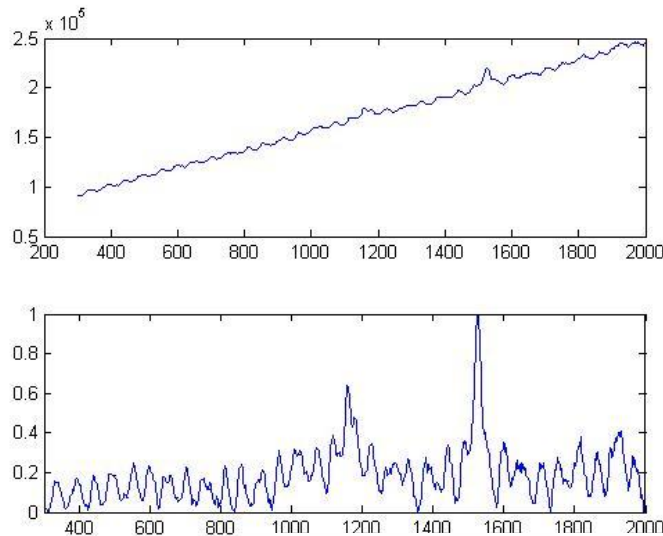


Raman Shift  $\nu$  ( $\text{cm}^{-1}$ )

$$\nu = \frac{1}{\lambda_{\text{incident}}} - \frac{1}{\lambda_{\text{scattered}}}$$



# Processing a Raman Spectra



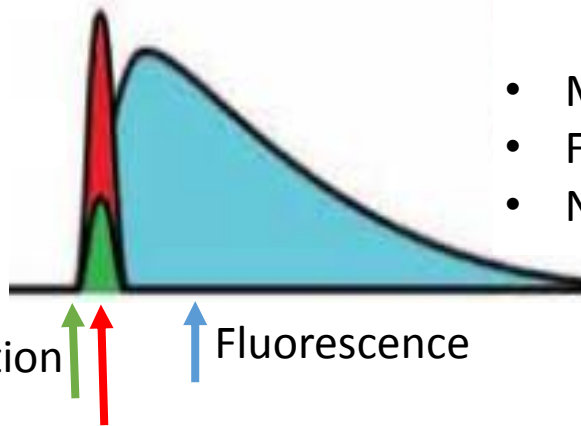
## Standard Continuous Excitation Raman

Remove:

- cosmic ray events
- background fluorescence
- S/N depends on many variables

## Time-Domain Excitation and Emission Raman

- Measure fluorescence separately from Raman
- Flattens baseline
- No need to use longer wavelength  
low energy laser (e.g., 785, 1025)

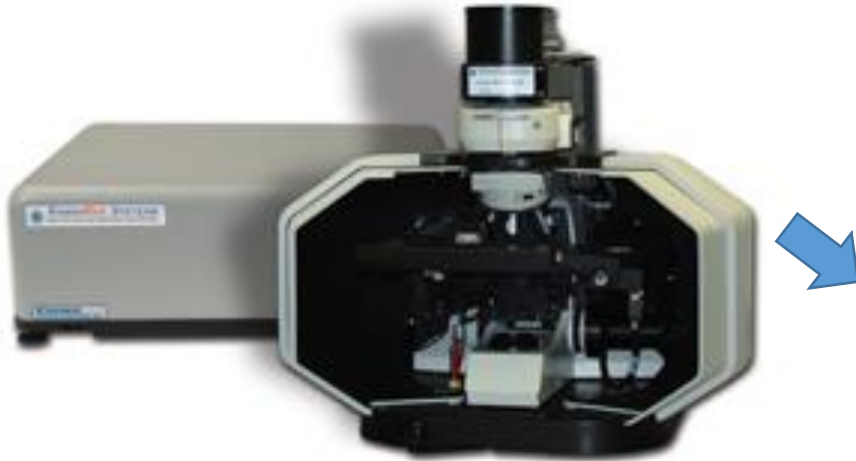


Pico-second excitation

Raman emission

Time (ns) ->

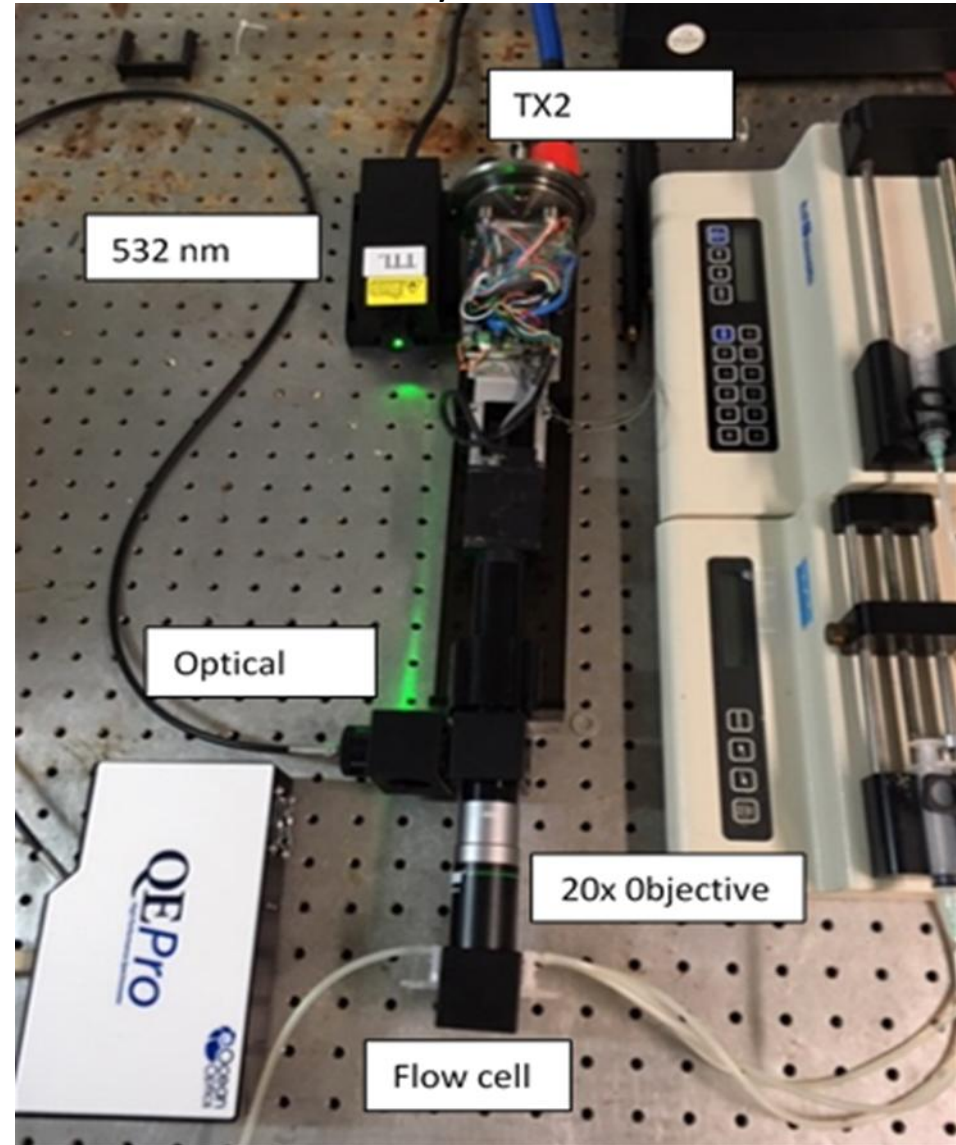
## Single Cell Analysis on commercial micro-Raman Spectrometer



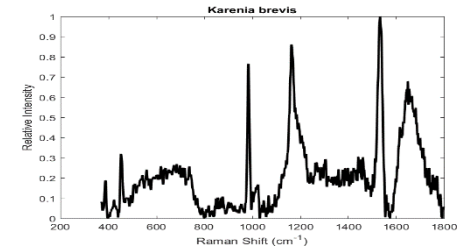
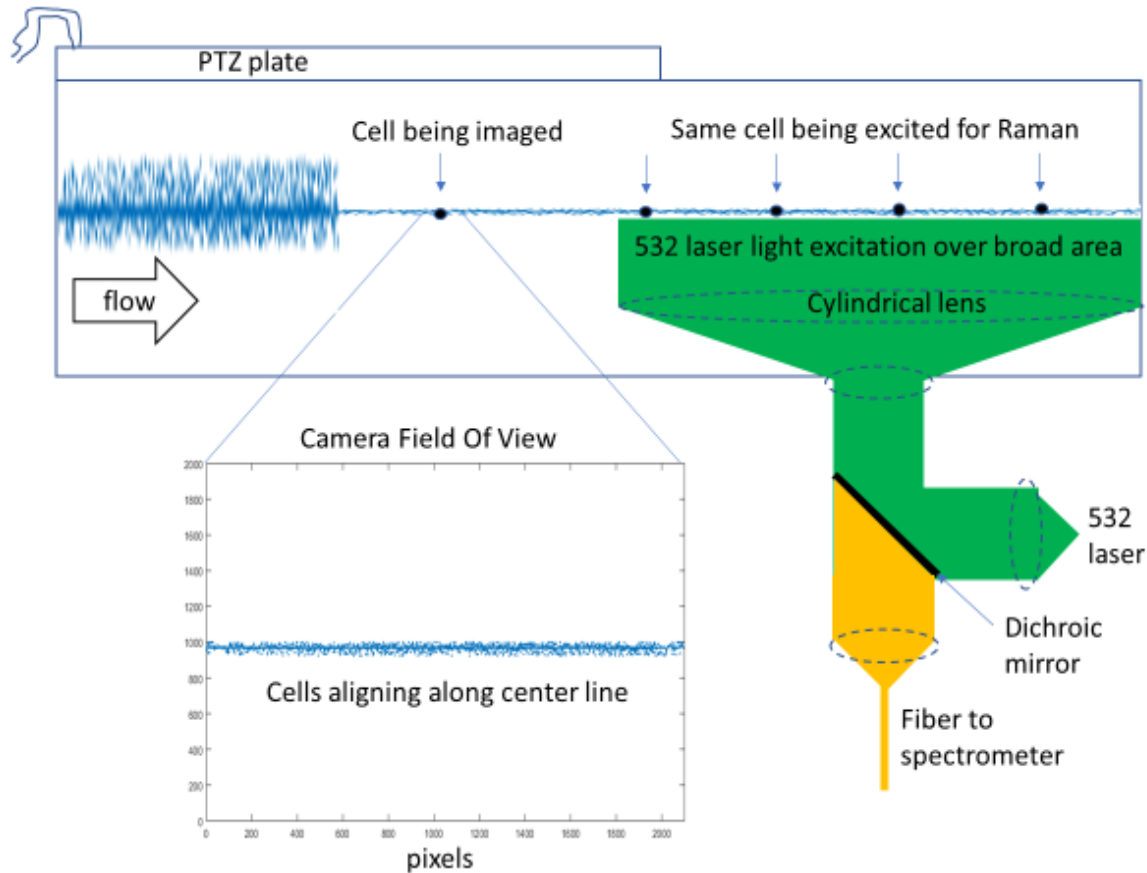
Phase II SBIR Goal:  
Population level  
Analysis @ 532 nm  
in a hand held instrument  
or distributed network of sensors



## Phase I SBIR: Prototype time-domain Raman Flow Cell Cytometer



# Raman flow cytometer is very different from standard flow cytometer



Raman spectrum bar code

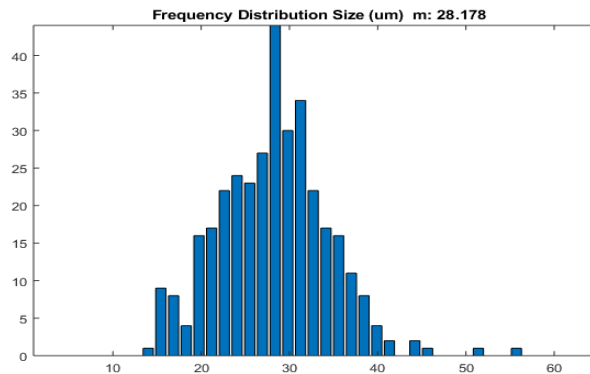


*Karenia brevis*

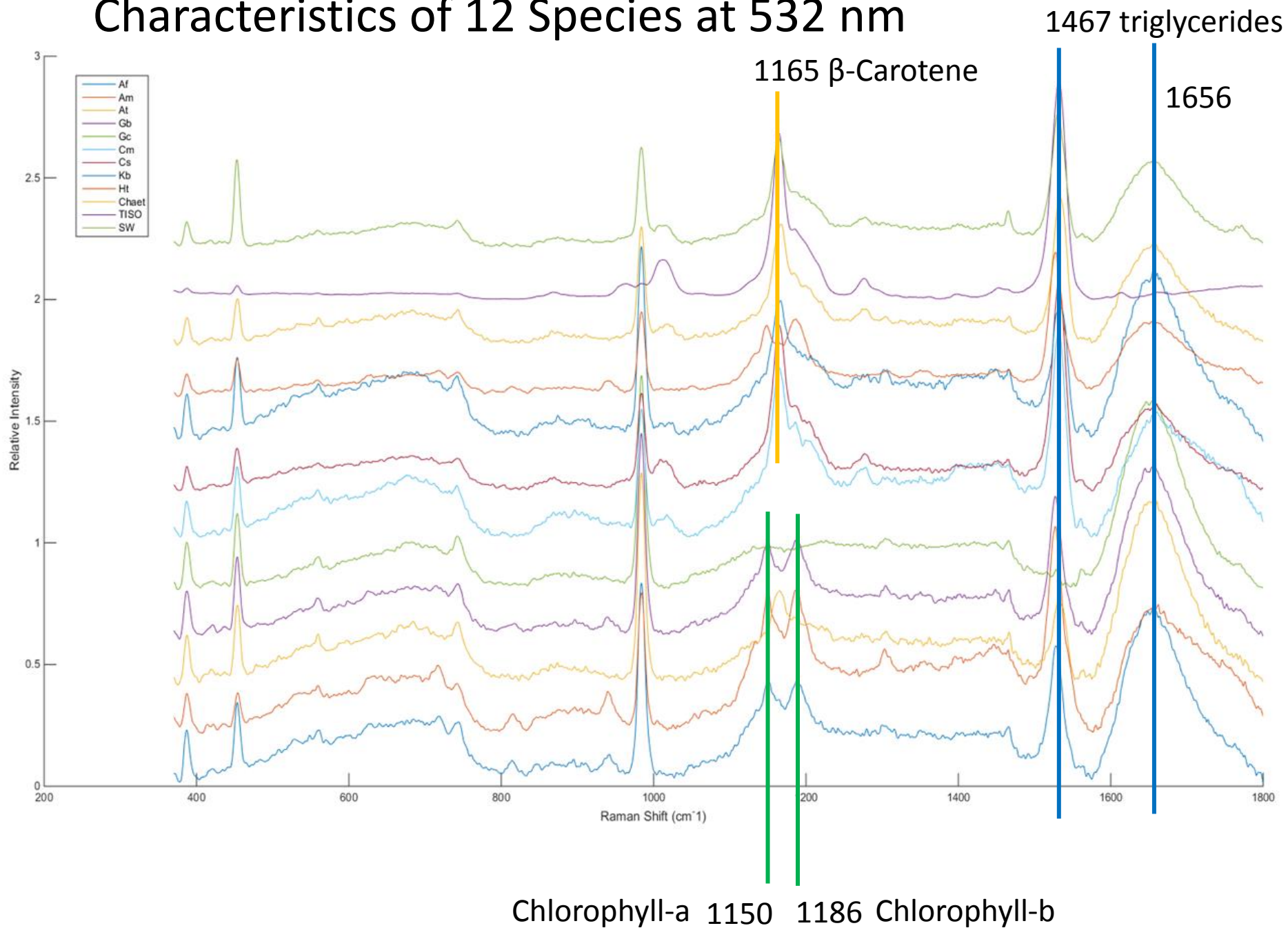


*Alexandrium tamarensis*

Size frequency distribution



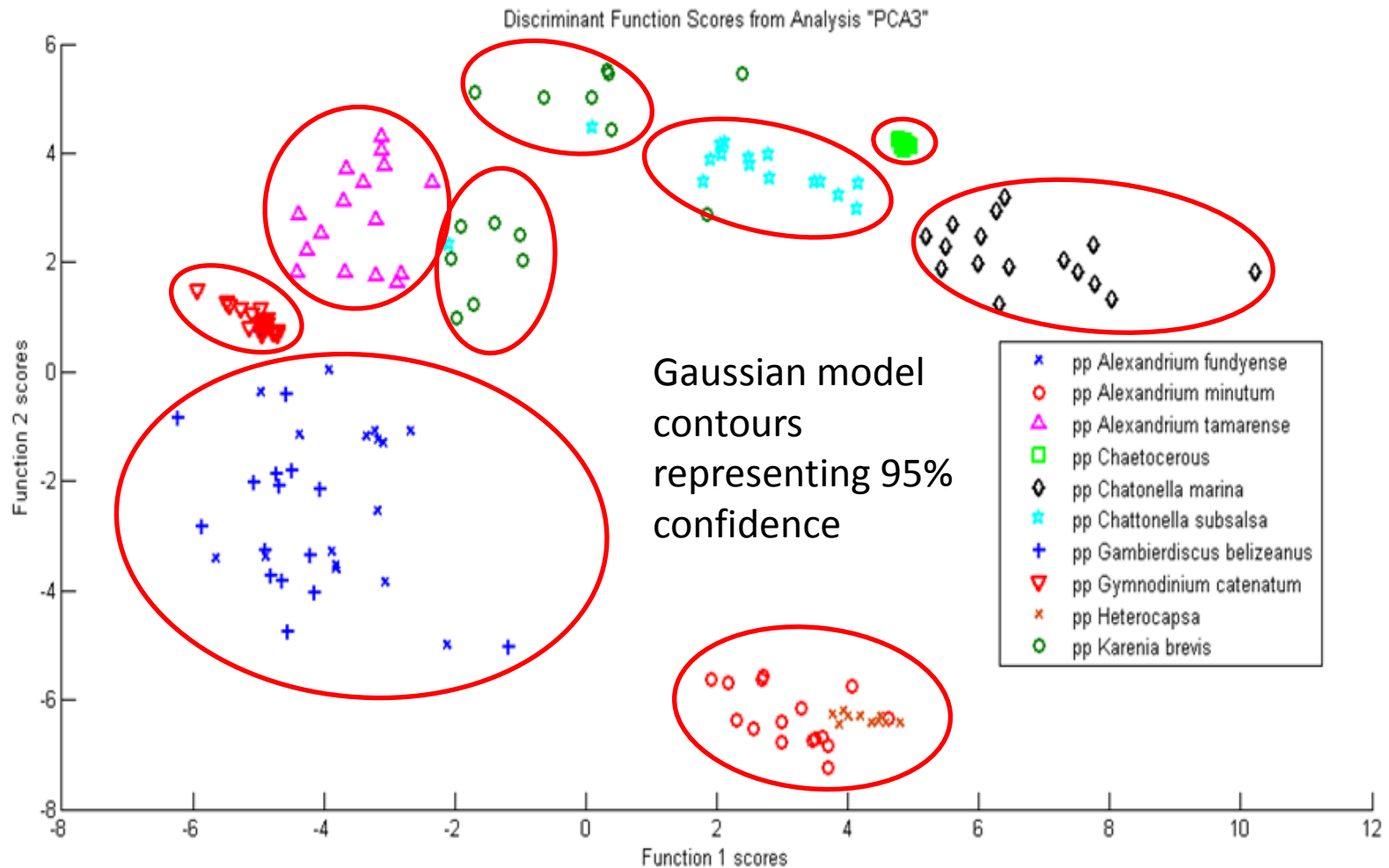
# Characteristics of 12 Species at 532 nm



Gambierdiscus belizuanus  
 Alexandrium catenella ATMP7  
 Alexandrium catenella 1911  
 Alexandrium minutum  
 Heterocapsa sp.  
 Chaetoceros sp.  
 Alexandrium tamarense  
 Chattonella subsalsa  
 Chattonella marina  
 Isochrysis galbana  
 Karenia brevis  
 Pseudo-nitzschia subcurvata 92  
 Pseudo-nitzschia subcurvata 15  
 Gymnodinium catenatum

| Raman Shift |      |      |      |      |      |      |      |      |      |      |      |      |      |      | Compound        | Molecular assignments                     |
|-------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|-----------------|-------------------------------------------|
| 388         | 0.23 | 0.26 | 0.26 | 0.20 |      | 0.04 | 0.25 | 0.13 | 0.19 | 0.05 | 0.24 |      |      | 0.22 |                 | v2 bending reduction in symmetry of the   |
| 454         | 0.38 | 0.39 | 0.39 | 0.21 |      | 0.08 | 0.39 | 0.21 | 0.35 | 0.06 | 0.41 |      |      | 0.36 |                 |                                           |
| 744         | 0.29 | 0.47 | 0.47 | 0.33 |      |      | 0.32 | 0.19 | 0.37 |      | 0.22 |      |      | 0.26 | chlorophyll a-b |                                           |
| 940         |      |      |      | 0.21 | 0.09 |      |      |      |      |      |      |      |      |      |                 | v(C-C), alpha-helix                       |
| 987         | 0.95 | 0.94 | 0.94 | 1.00 |      | 0.12 | 0.98 | 0.46 | 0.62 |      | 0.92 |      |      | 1.00 | chlorophyll a-b |                                           |
| 1000        | 0.03 | 0.04 | 0.05 | 0.12 | 0.02 | 0.08 | 0.04 | 0.07 | 0.03 | 0.12 | 0.13 | 0.13 | 0.15 | 0.07 | chlorophyll a-b | v3                                        |
| 1017        |      |      |      |      |      |      |      |      |      |      | 0.86 |      |      |      | Gyroxanthin     |                                           |
| 1150        | 0.45 | 0.47 | 0.48 | 0.66 | 0.41 |      |      |      |      |      |      |      |      |      | β-Carotene      | v2 C14-C15 δC15-H peridinin?              |
| 1165        |      |      |      |      |      | 0.70 | 0.45 | 0.78 | 0.81 | 0.77 | 0.67 | 0.54 | 0.59 |      |                 |                                           |
| 1186        | 0.45 | 0.47 | 0.48 | 0.68 | 0.45 |      |      |      | 0.56 |      |      |      |      |      | chlorophyll a-b | 20δ(CH), νN21-C4                          |
| 1190        |      |      |      |      |      | 0.32 | 0.22 | 0.35 | 0.52 | 0.31 | 0.44 | 0.32 | 0.27 |      | β-Carotene      | δ(C10-H), δ(C11-H)                        |
| 1200        |      |      |      |      |      | 0.28 | 0.26 | 0.33 | 0.51 | 0.26 | 0.38 | 0.31 | 0.25 |      |                 |                                           |
| 1278        |      |      |      |      |      | 0.12 |      |      |      |      |      | 0.17 | 0.11 |      | fucoxanthin     |                                           |
| 1289        |      |      |      |      |      |      |      |      |      |      |      |      |      |      | chlorophyll a-b | CH3 bend,(10+5)δ(CH), νN23-C14            |
| 1303        |      |      |      | 0.41 |      |      | 0.26 |      | 0.30 |      | 0.35 |      |      | 0.24 |                 | Carbonate antisymmetric stretching        |
| 1348        |      |      |      |      | 0.07 |      |      |      |      |      |      |      |      |      | chlorophyll a-b | CH3 bend (20+5+10)δ(CH) νN21-C4           |
| 1467        | 0.25 | 0.33 | 0.33 | 0.54 | 0.05 | 0.06 | 0.24 | 0.15 | 0.36 | 0.04 | 0.24 | 0.06 | 0.04 | 0.25 | triglyceride    | C-C saturated                             |
| 1495        |      | 0.12 | 0.12 | 0.12 |      | 0.12 |      |      | 0.12 |      |      |      |      |      | chlorophyll a-b | νC12-C13, νC1-C20, νC5-C6(81+82+121)CH3 b |
| 1520        |      |      |      |      |      |      |      |      | 0.12 |      | 0.12 |      |      |      | β-Carotene      | ν(C13=C14), ν(C11=C12)                    |
| 1529        | 0.66 | 0.64 | 0.65 | 0.98 | 1.00 | 1.00 | 0.40 | 0.97 | 0.97 | 0.99 | 0.64 | 0.97 | 1.00 | 0.10 | chlorophyll a-b |                                           |
| 1656        | 0.86 |      |      | 0.82 |      |      |      | 0.44 | 0.73 |      |      |      |      |      | triglyceride    | cis C=C stretching vibration unsaturated  |

# Can Raman distinguish between species?

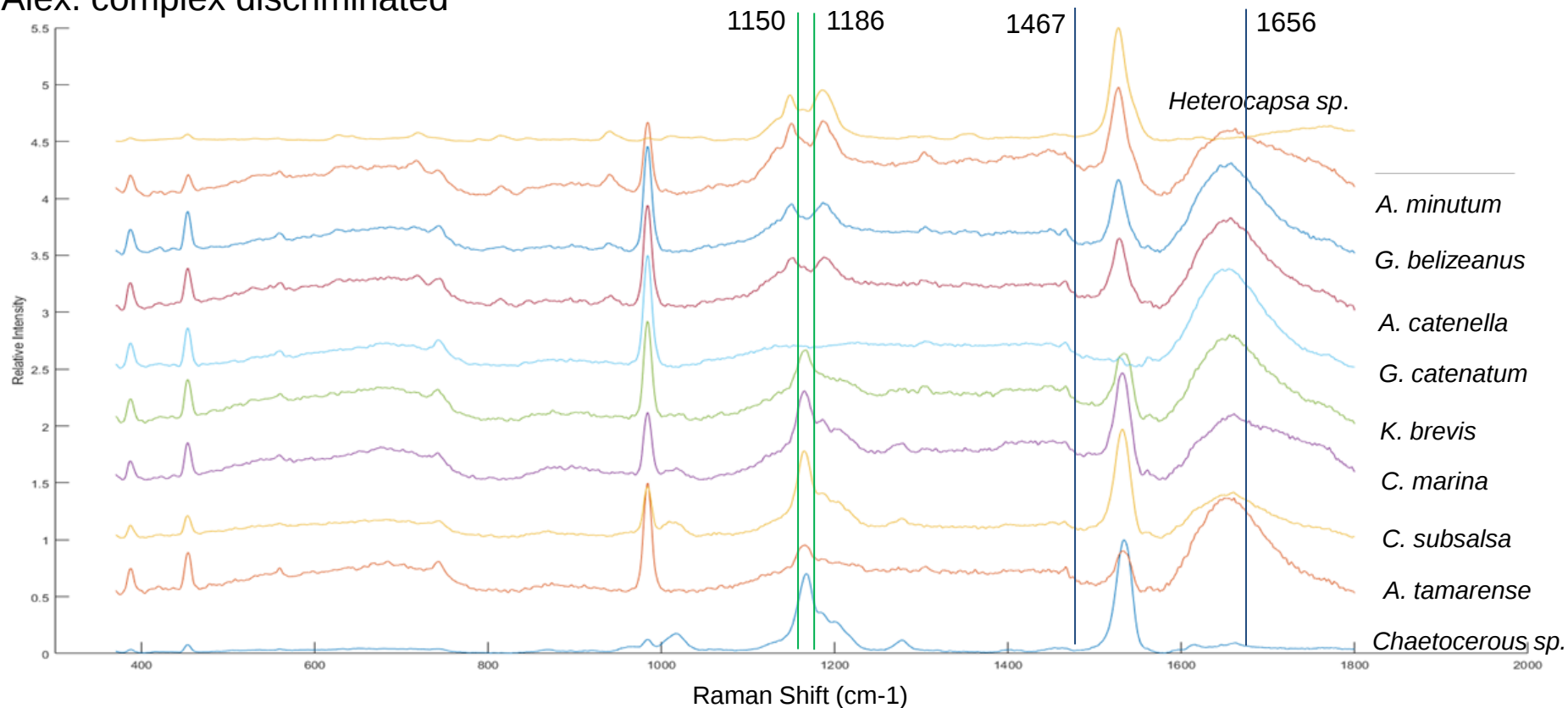


# LOO-Cross Validation of Linear Discriminant Function

- 8 toxic, 3 non-toxic species

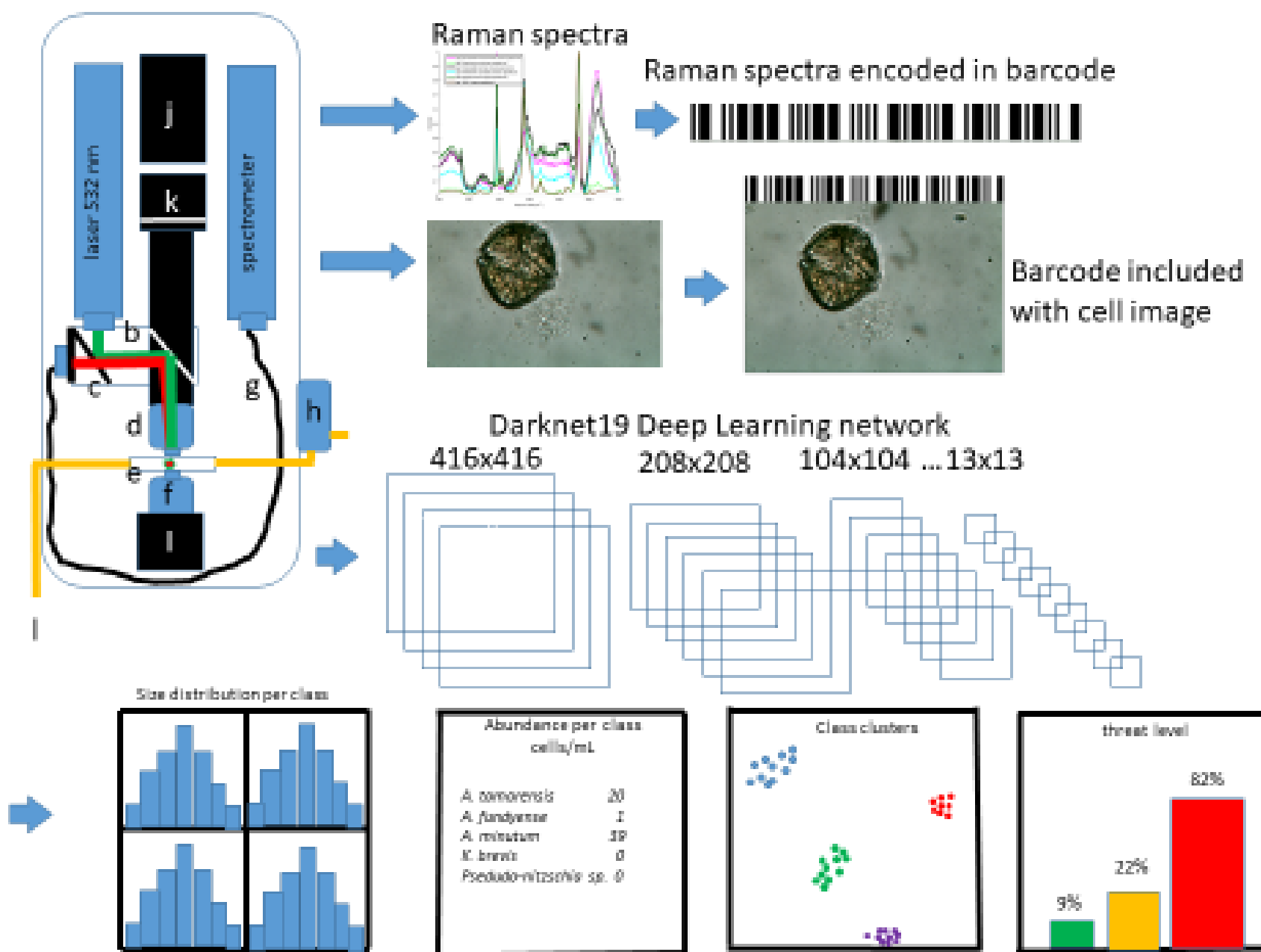
Result: 100% discrimination  
between toxic and non-toxic  
Alex. complex discriminated

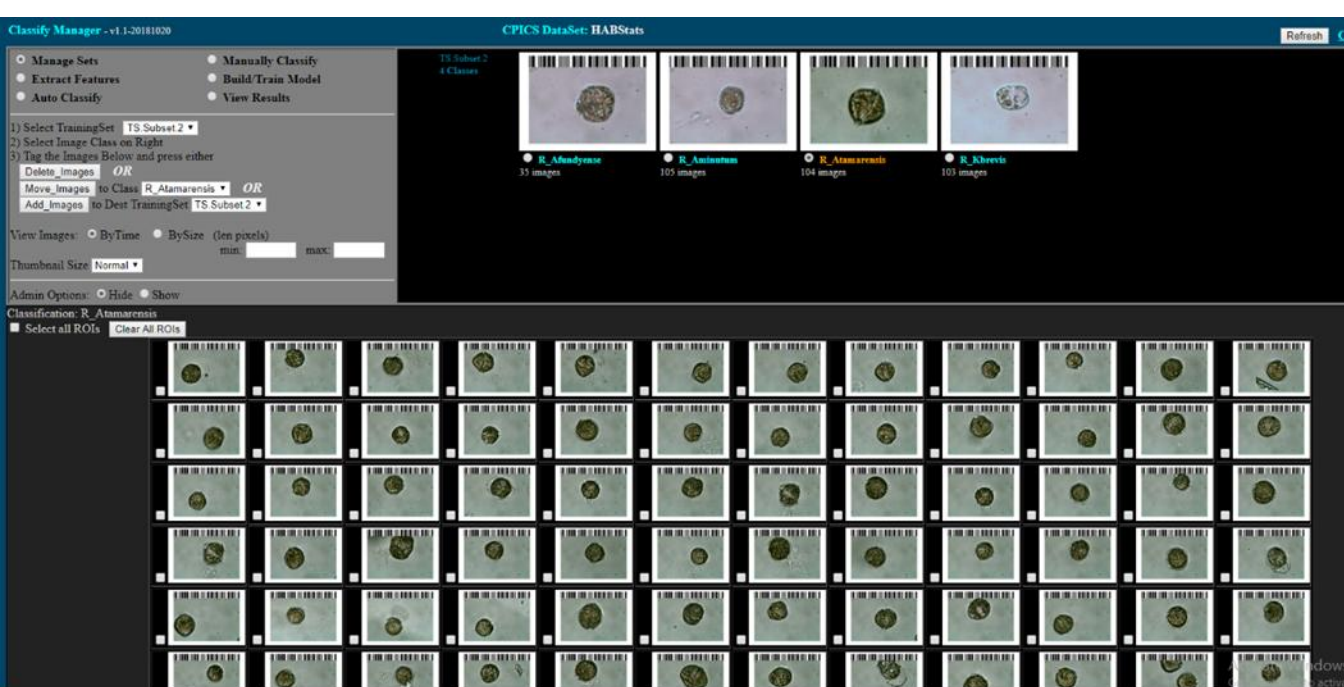
| Species                         |    |    |    |    |    |    |    |    |    |    |    | % Accuracy |
|---------------------------------|----|----|----|----|----|----|----|----|----|----|----|------------|
| <i>Alexandrium catenella</i>    | 16 | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 100        |
| <i>Alexandrium minutum</i>      | 0  | 16 | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 100        |
| <i>Alexandrium tamarense</i>    | 0  | 0  | 15 | 0  | 0  | 0  | 0  | 0  | 0  | 1  | 0  | 94         |
| <i>Chaetoceros sp.</i>          | 0  | 0  | 0  | 16 | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 100        |
| <i>Chattonella marina</i>       | 0  | 0  | 0  | 0  | 16 | 0  | 0  | 0  | 0  | 0  | 0  | 100        |
| <i>Chattonella subsalsa</i>     | 0  | 0  | 0  | 0  | 1  | 13 | 0  | 0  | 0  | 2  | 0  | 81         |
| <i>Gambierdiscus belizeanus</i> | 0  | 0  | 0  | 0  | 0  | 0  | 14 | 2  | 0  | 0  | 0  | 88         |
| <i>Gymnodinium catenatum</i>    | 0  | 0  | 0  | 0  | 0  | 0  | 1  | 15 | 0  | 0  | 0  | 94         |
| <i>Heterocapsa sp.</i>          | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 16 | 0  | 0  | 100        |
| <i>Karenia brevis</i>           | 0  | 0  | 0  | 0  | 1  | 1  | 0  | 0  | 0  | 14 | 0  | 88         |
| <i>Isochrysis galbana TISO</i>  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 0  | 16 | 100        |



## Feature Sets for Training and Classifying Cells using Deep Learning

| Feature Type     | Feature                                                                                                                    | Source                 | Number Features |
|------------------|----------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------|
| Morphology       | Geometry                                                                                                                   | 3-D image              | 128             |
|                  | Shape (FFT)                                                                                                                |                        | >1000           |
|                  | Texture                                                                                                                    |                        | 256             |
|                  | Invariant Moments                                                                                                          |                        | 128             |
|                  | Color pattern                                                                                                              |                        | 156             |
|                  | Fractal index                                                                                                              |                        | >128            |
|                  | Cell volume                                                                                                                |                        | 1               |
|                  | Cell length                                                                                                                |                        | 1               |
|                  | Cell area                                                                                                                  |                        | 1               |
| Chemical Content | Pigmentation<br>( $\beta$ -Carotene,<br>Chlorophylla,b,c,<br>Fucoxanthin,<br>Xanthrophylls,<br>Phycobilliproteins<br>etc.) | Raman &<br>Florescence | ~128            |
|                  | Triglycerides                                                                                                              | Raman                  | 21              |
|                  | Toxins<br>(saxitoxin, ciguatera,<br>Domoic Acid, etc.)                                                                     | Raman                  | >100            |





# Instrument website

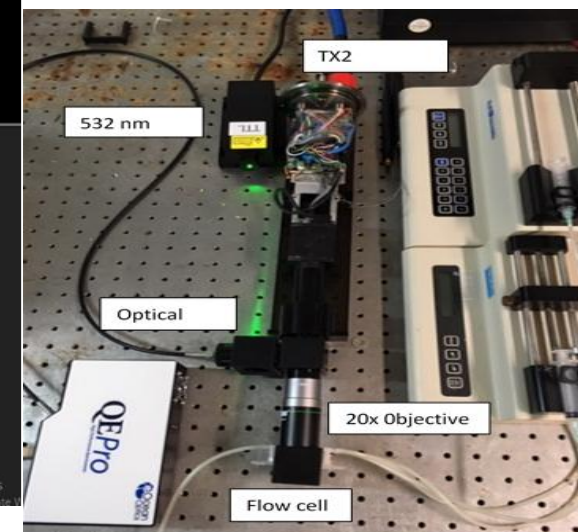
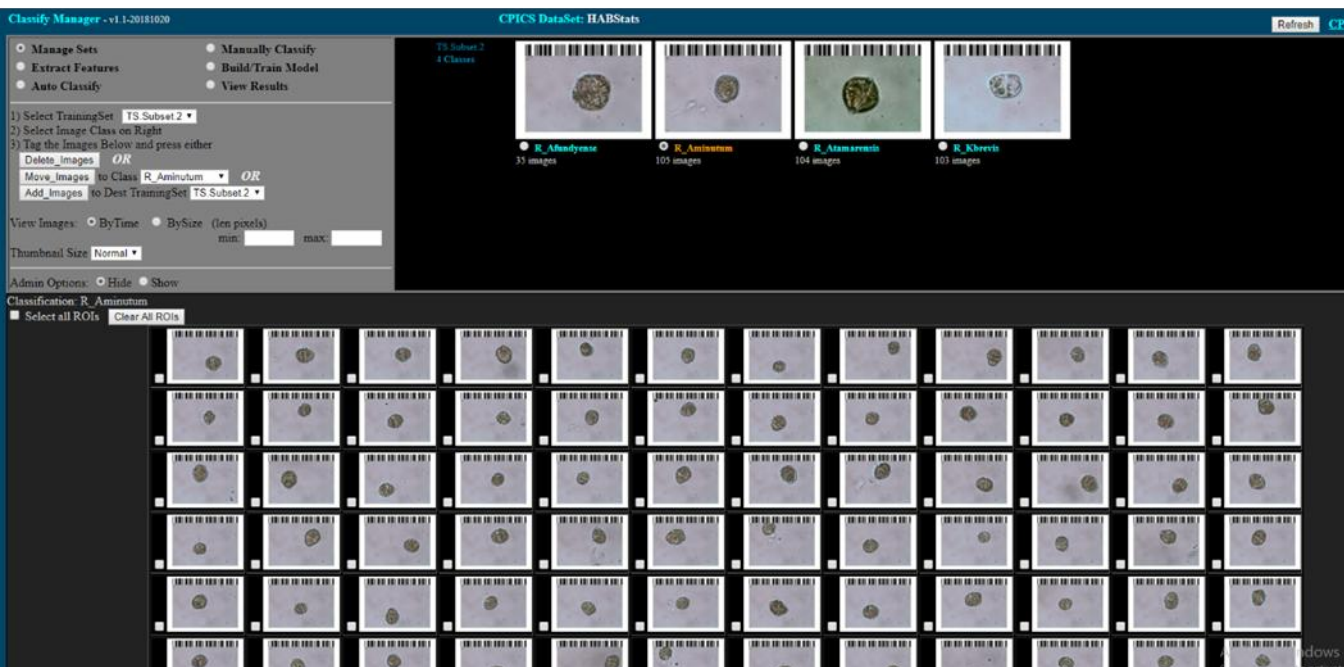
\*sort images with Raman bar codes

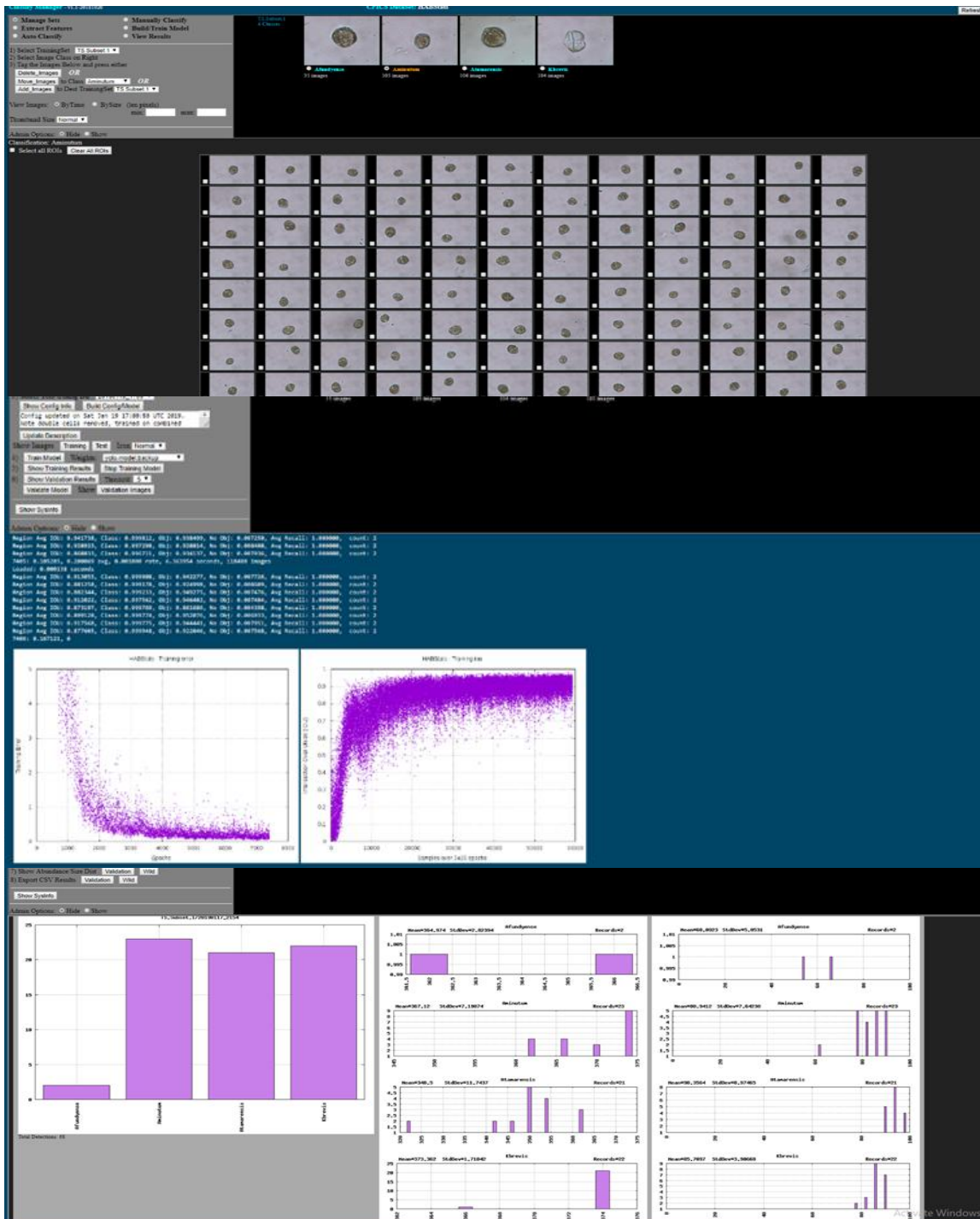
\*build training sets

\*build Deep Learning models

\*run cross-validations

\*classify unknown cells





# Instrument website

\*build Deep Learning models

\*run cross-validations

\*classify unknown cells by matching against spectral library in real-time at 10 to 50 cells /sec

# Marine Raman and Image Library

|    |                             |                   |                                                  |
|----|-----------------------------|-------------------|--------------------------------------------------|
| 1  | Alexandrium tamarense       |                   | saxitoxin                                        |
| 2  | Alexandrium tamarense       |                   | saxitoxin                                        |
| 3  | Alexandrium tamarense       | 1771              | saxitoxin                                        |
| 4  | Alexandrium tamarense       | 1493              | saxitoxin                                        |
| 5  | Alexandrium minutum         | 1598              | saxitoxin                                        |
| 6  | Gambierdiscus belizeanus    | ATSW01-2          | ciguatera                                        |
| 7  | Gambierdiscus belizeanus    | 113               | ciguatera                                        |
| 8  | Alexandrium fundyense       | 401               | saxitoxin                                        |
| 9  | Alexandrium fundyense       | 401-K             | saxitoxin                                        |
| 10 | Pyrocystis noctiluca        | ATMP7-E8          |                                                  |
| 11 | Karenia brevis              | 1911-K            | brevitoxins                                      |
| 12 | Karenia brevis              | Florida, 08/02/18 | brevetoxins                                      |
| 13 | Karenia mikimotoi           | 2229-K            | brevitoxins                                      |
|    | Karenia mikimotoi           | 718               | brevitoxins                                      |
| 14 | Gymnodinium catenatum       | 429               | gonyautoxin                                      |
| 15 | Chattonella subsalsa        | 430               | brevetoxin-like                                  |
| 16 | Chattonella subsalsa        | 1937              | brevetoxin-like                                  |
| 17 | Chattonella marina          | 217               | brevetoxin-like                                  |
| 18 | Chattonella marina          | 217-K             | brevetoxin-like                                  |
| 19 | Pseudonitschia subcurvata   | 2050-K            | domoic Acid                                      |
| 20 | Aureococcus anophagefferens | 2050              | non-toxic but produces fish kills through anoxia |

## Non-Toxic

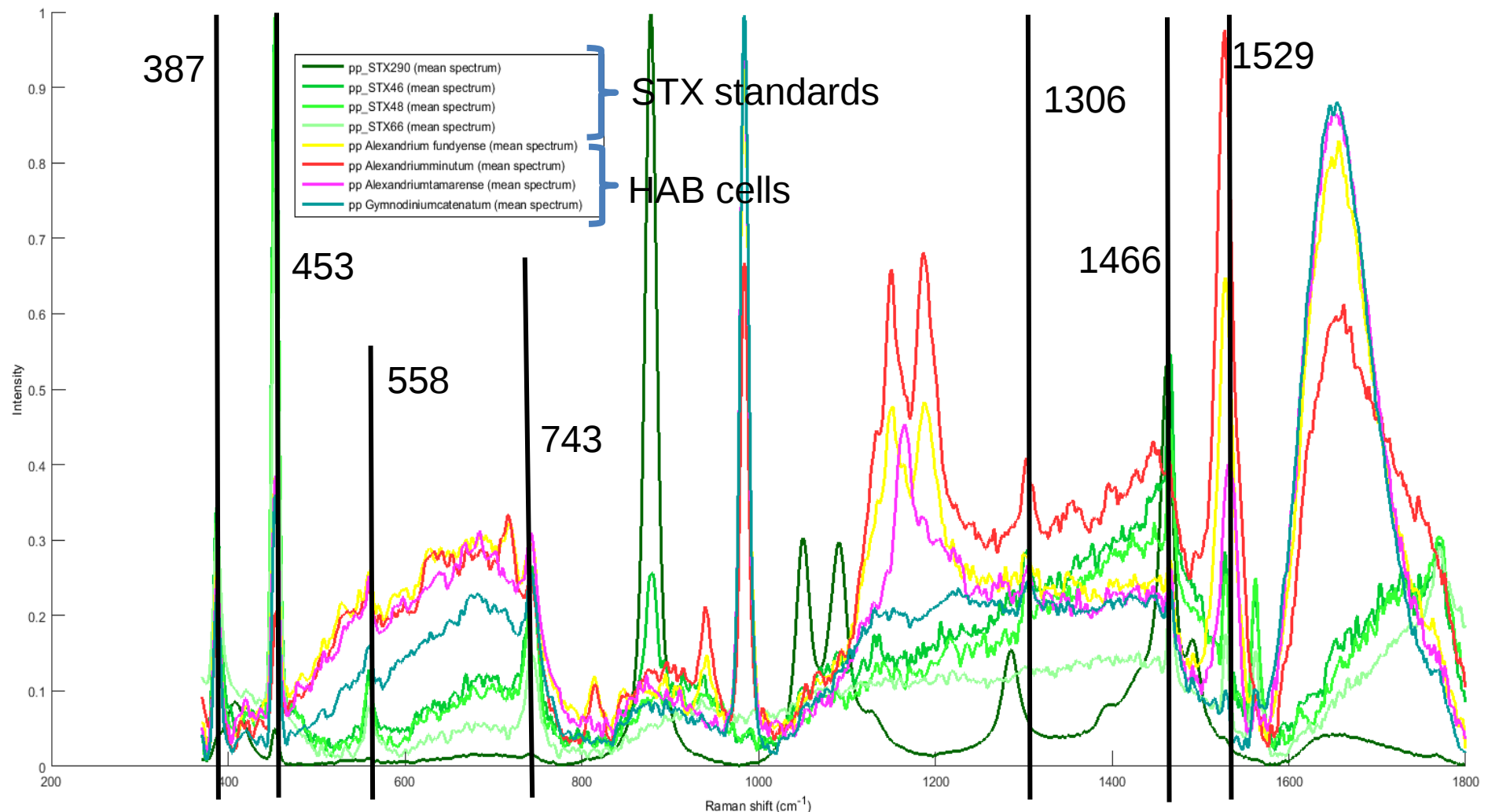
|   |                     |            |
|---|---------------------|------------|
| 1 | Isochrysis galbana  | Tiso       |
| 2 | Chaetoceros         | chaet      |
| 3 | Tetraselmis suecica | tet        |
| 4 | Rhodomonas salina   | 1319-rhodo |
| 5 | Heterocapsa sp.     | Het        |

# Freshwater Raman and Image Library

|    |                                |                                          |                                   |
|----|--------------------------------|------------------------------------------|-----------------------------------|
| 1  | Anabaena cylindrica            | B 1611 UTEX                              | various cyanotoxins- microcystins |
| 2  | Anabaena variabilis            | B 377 UTEX                               | nodularin                         |
| 3  | Anabaena sp.                   | 1448 UTEX                                | anatoxins                         |
| 4  | A. spiroides                   | B 1552 UTEX                              | saxitoxins                        |
| 5  | Microcystis aeruginosa         |                                          | cylindrospermopsins               |
| 6  | M. aeruginosa                  | LB 2061 UTEX                             | lyngbytoxin a                     |
| 7  | M. aeruginosa                  | LE3 S. 3462                              | aplysiatoxins                     |
| 8  | M. flos-aquae                  | LB 2673 UTEX                             |                                   |
| 9  | Nostoc                         |                                          |                                   |
| 10 | Nostoc sp.                     | LB 2210 UTEX                             |                                   |
| 11 | Nostoc sp.                     | B 2211 UTEX                              |                                   |
| 12 | Oscillatoria lutea             | B 1814 UTEX Texas                        |                                   |
| 13 | Volvox                         | Carolina biological                      | not toxic                         |
| 14 | Cylindrospermopsis raciborskii |                                          | cylindrospermopsin, anatoxin-a    |
| 15 | C. raciborskii                 | LB 2897 UTEX                             |                                   |
| 16 | C. raciborskii                 | Thai W. Carmichael Toxic?                |                                   |
| 17 | Nodularia harveyana            | B 2093 UTEX Washington                   |                                   |
| 18 | Calothrix parietina            | LB 1952 UTEX swimming pool               |                                   |
| 19 | Microcoleus                    | LB 2220 UTEX                             |                                   |
| 20 | Aphanizomenon flos-aquae       | AFA S.Wilhelm                            |                                   |
| 21 | A. flos-aquae                  | LB 2557 UTEX Toxic Hebgen Lake, MT       |                                   |
| 22 | A. flos-aquae                  | LB 2558 UTEX NON-TOXIC Hebgen Lake, MT   |                                   |
| 23 | A. flos-aquae                  | B 1444 UTEX Not Listed Mississippi       |                                   |
| 24 | Microcystis flos-aquae         | LB 2673 UTEX Wisconsin                   |                                   |
| 25 | Cyanophora paradoxa            | LB 555 UTEX not listed Not listed        |                                   |
| 26 | Cylindrospermum sp.            | LB 942 UTEX not listed California        |                                   |
| 27 | A. catenula                    | B 375 UTEX Netherlands                   |                                   |
| 28 | Synechocystis sp.              | 2470 UTEX not listed California          |                                   |
| 29 | Synechococcus sp.              | LB 2537 UTEX not listed Vancouver Island |                                   |
| 30 | Planktothrix                   | Tim Davis Lab                            |                                   |

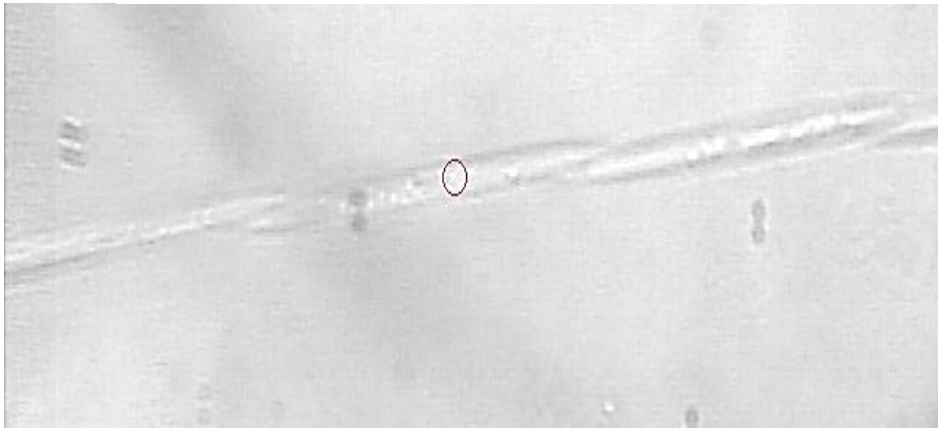
# Can toxins be detected in single HAB cells?

At least 7 peaks for Saxitoxin (STX) standards align with cells known to be toxic

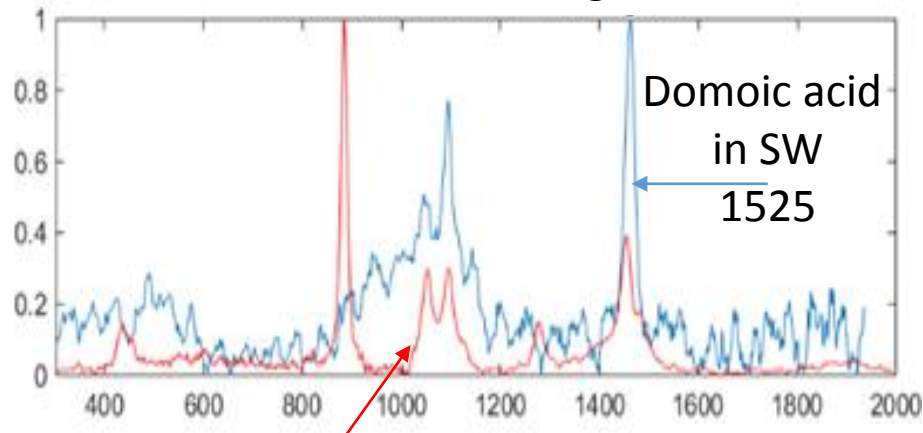


# Single cell analysis of *Pseudo-nitzschia* from Woods Hole harbor

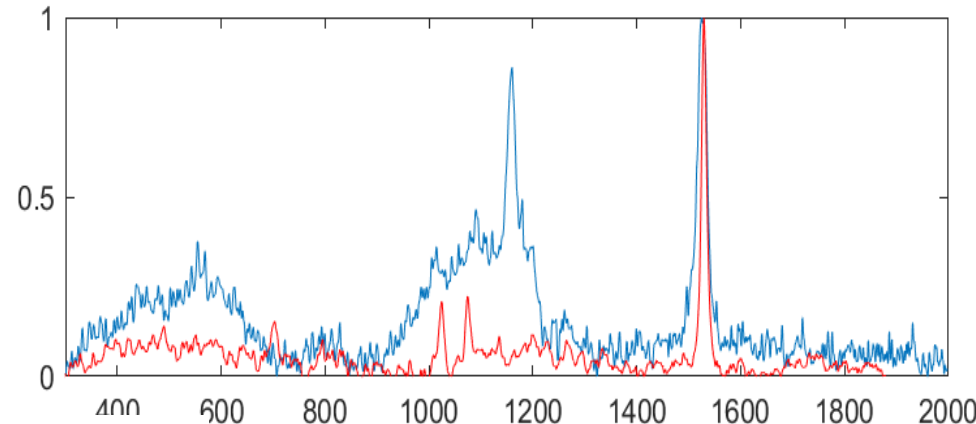
## February 2017



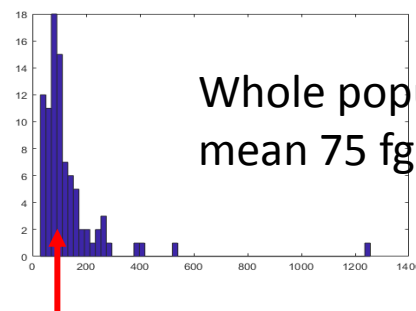
Cell # 28,473 9.5 fg/cell



Cell # 4,274 84 fg/cell

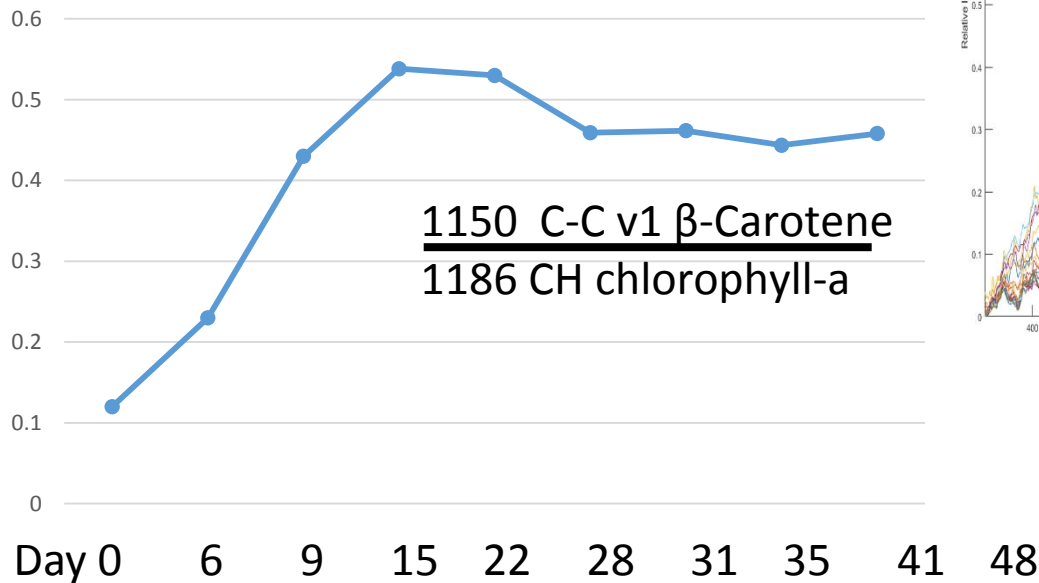
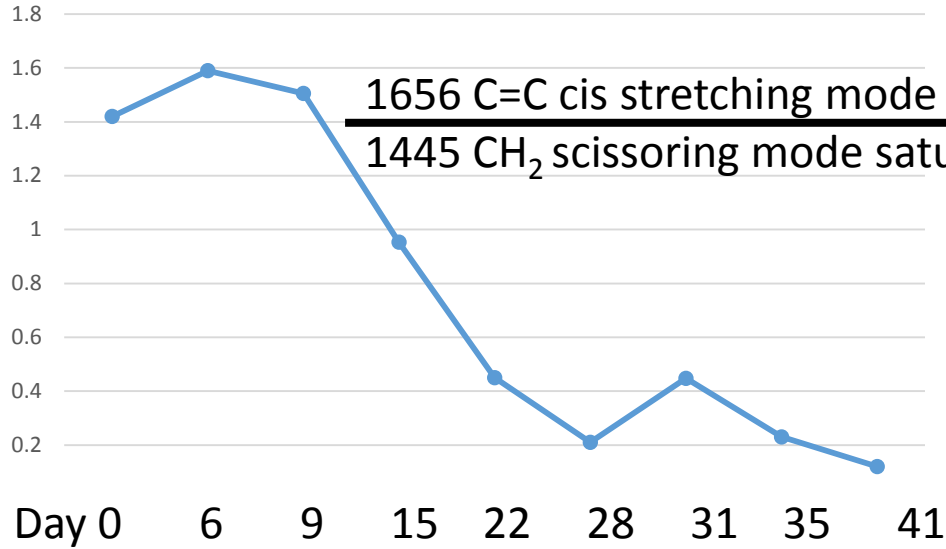


PN cell

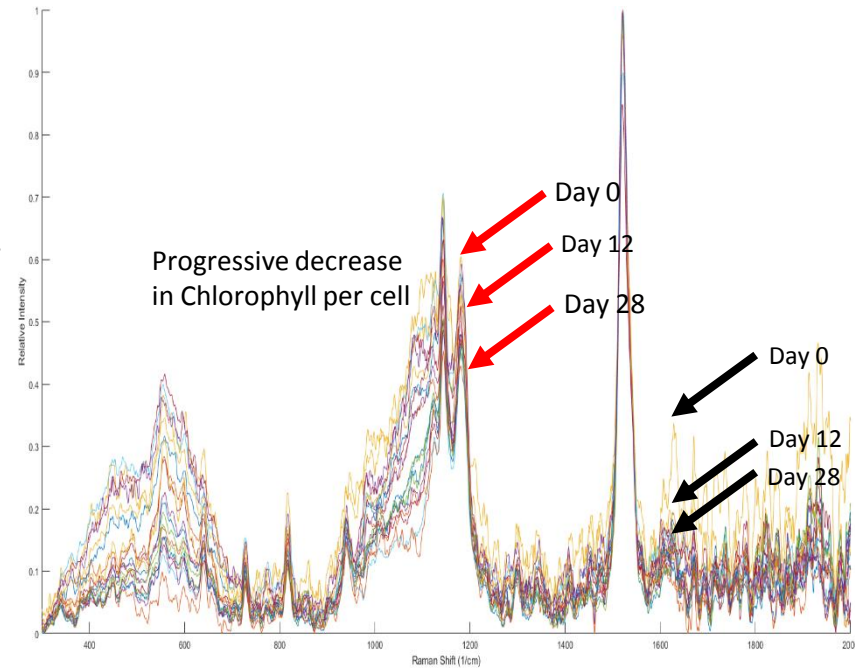


Whole population distribution  
mean 75 fg/cell 166 cells

# Can We Track Cell Dynamics in a Bloom?



## *Alexandrium catenella* ATMP7-E8

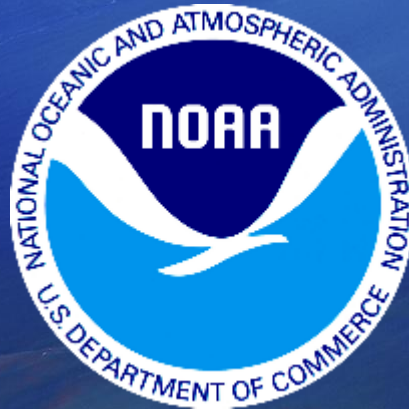


Progressive decrease in degree of unsaturation

# Conclusions and Implications for Further Research

- Raman spectroscopy can be used directly in natural seawater to discriminate between HAB species and non-toxic cells, and some toxins.
- Real-time, automated distributed array of sensors to detect HABS on large scales when HAB species are present before they form blooms.
- Future work: continue peak database, miniaturize flow cytometer
- Need beta testers to use instrument under various conditions- this summer

# Acknowledgements



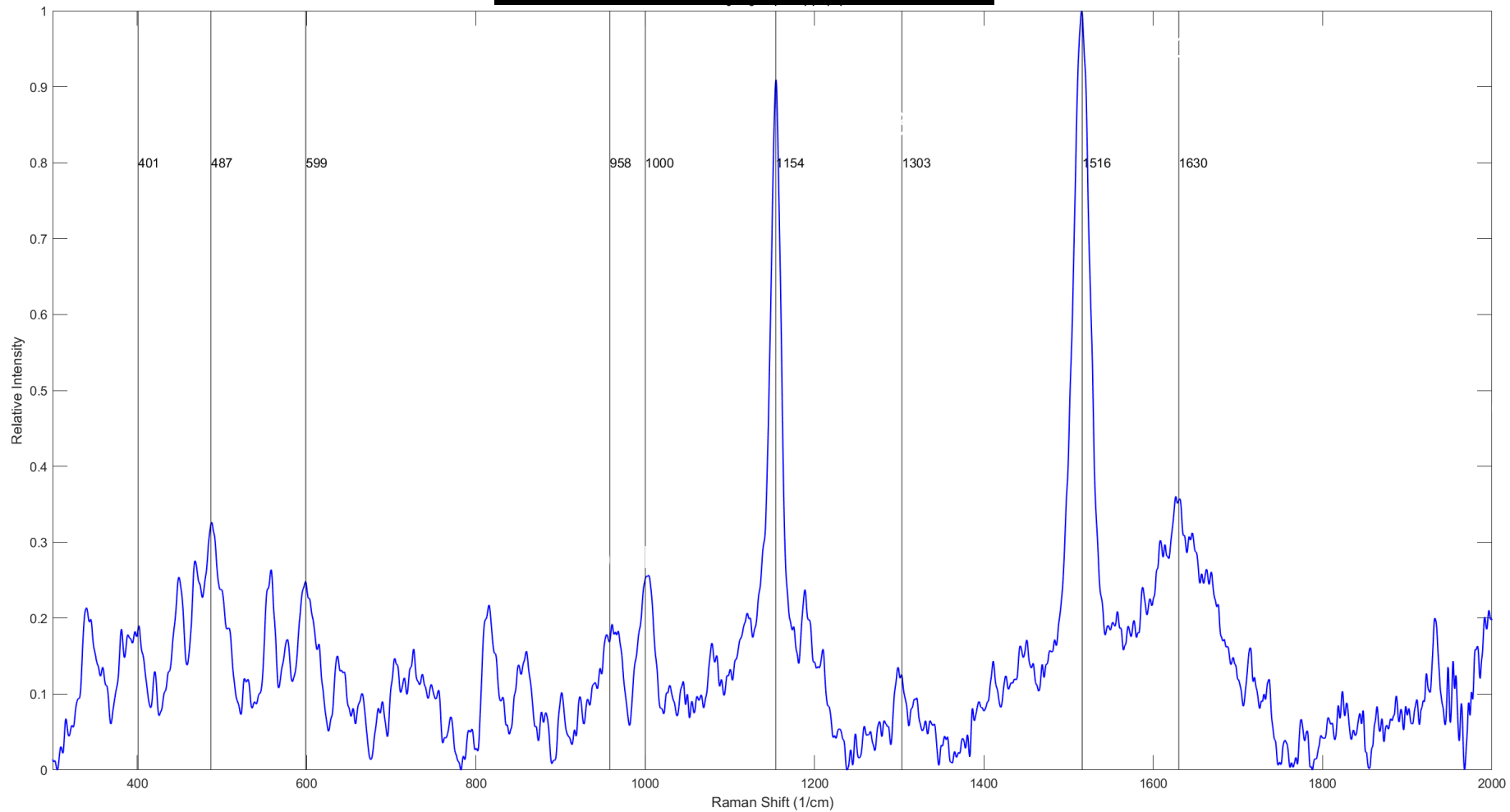
SBIR program



STTR program

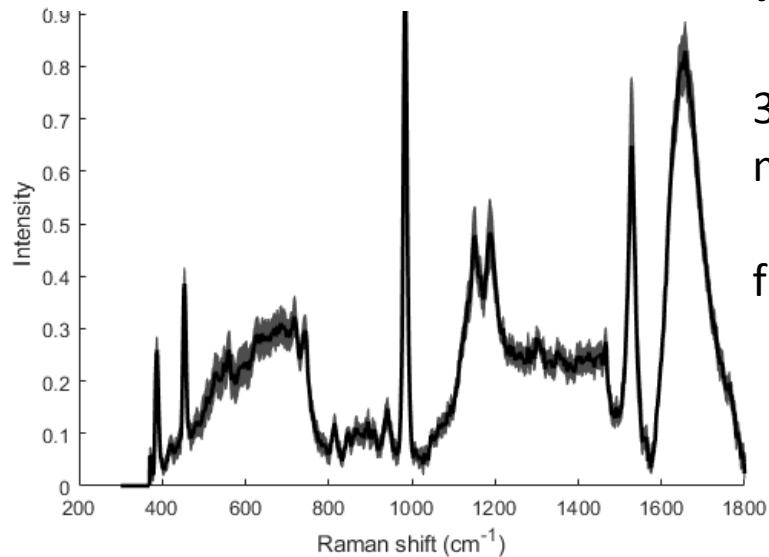


# *Microcystis aeruginosa* 3462



## *Alexandrium catenella*

(mean & SE)



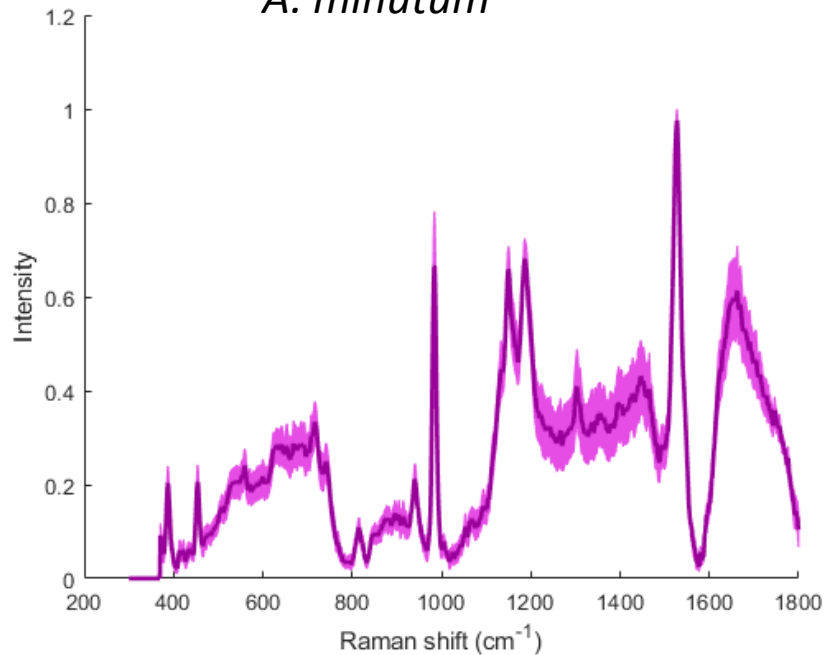
## How much variability cell to cell?

3 species

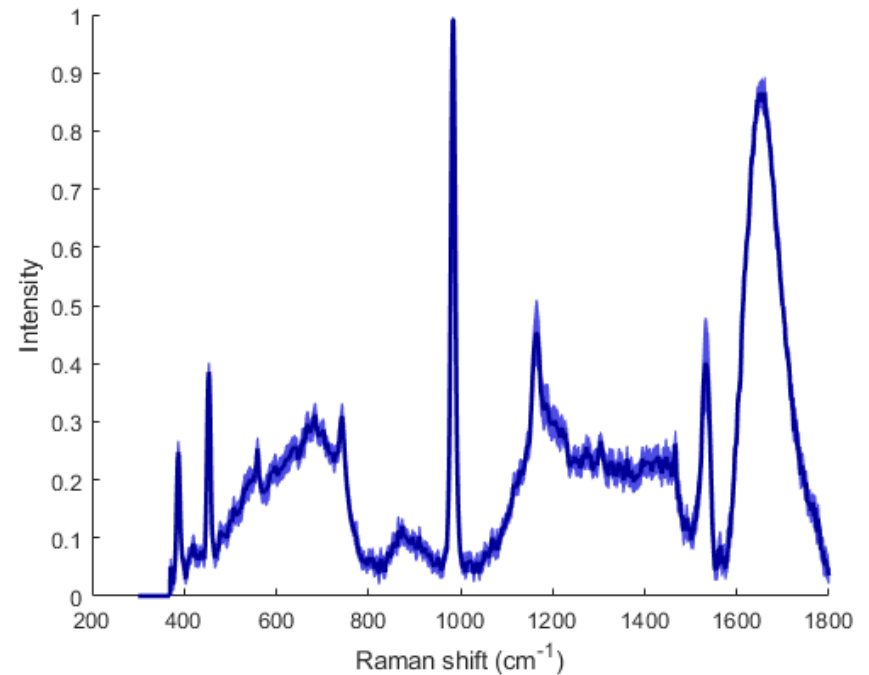
mean and SE of 16 replicate single cells

fingerprint analysis- peak location and area

## *A. minutum*



## *A. tamarense* 1771 -non-toxic



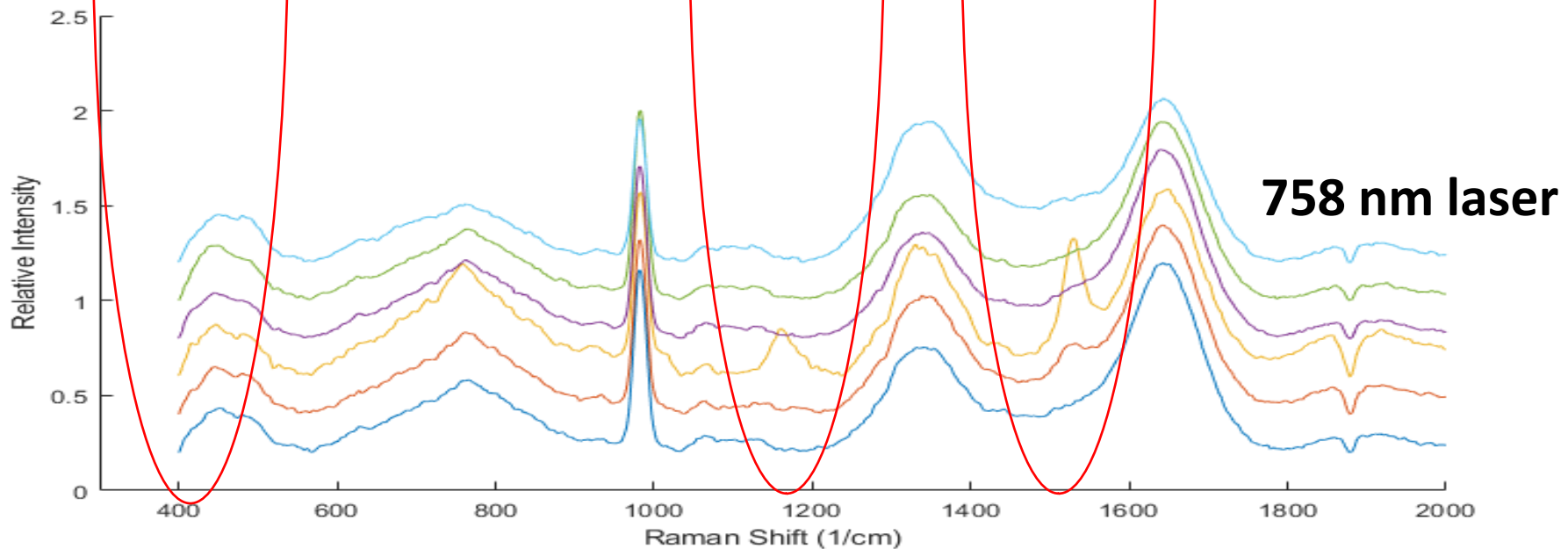
# Effect of Excitation Wavelength on Spectra Detail

Chaetoceros sp.  
A. lamarensis  
C. subsalsus  
C. marinus  
K. brevis  
G. catenatum  
A. fundyensis  
G. belizeanus  
A. minus  
Heterocapsa sp.

All spectra are  
mean of 16 cells

**532 nm laser**

532 nm provides  
greater detail, more  
information



## Can we use indices of cell physiology to assess bloom dynamics?

Pigment ratios:

$\beta$ -carotene / chlorophyll a,c

Triacylglycerols:

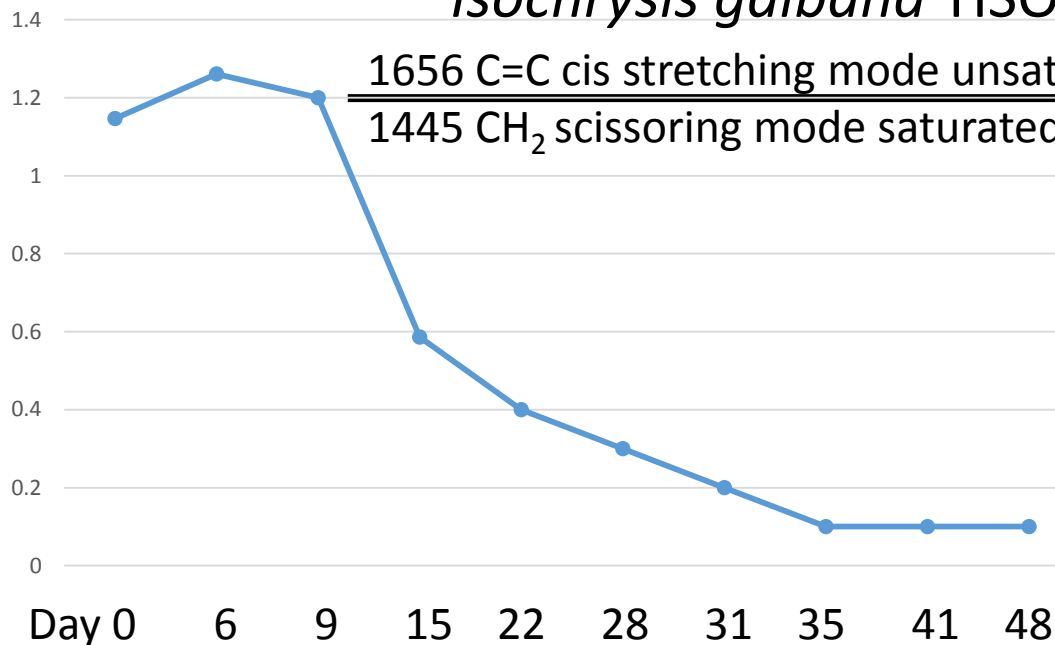
unsaturated / saturated

Iodine Value: Samek, et al. 2010 in biofuels

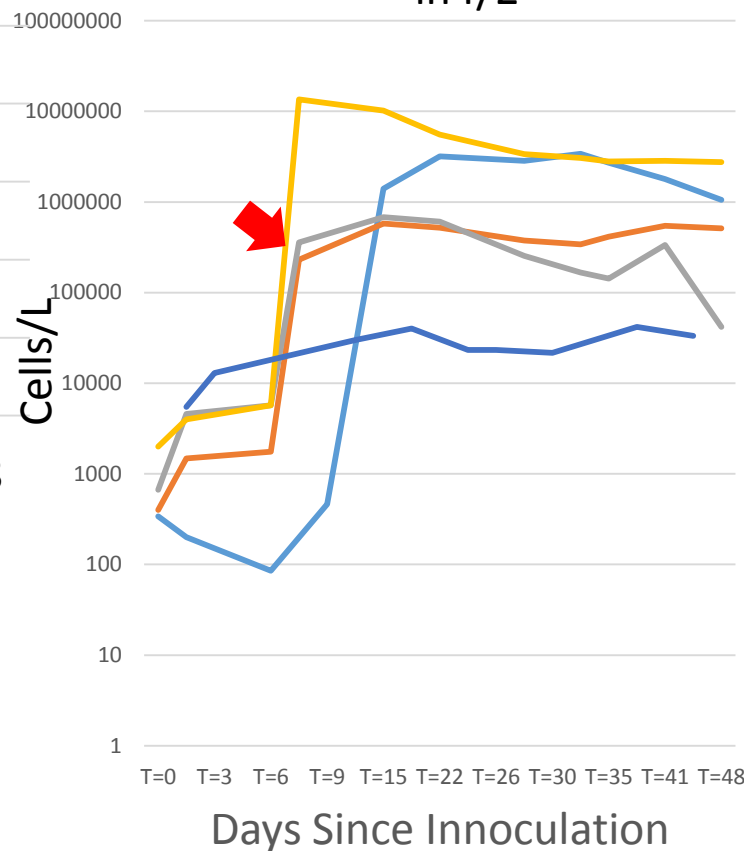
# *Isochrysis galbana* TISO

1656 C=C cis stretching mode unsaturated FA

1445 CH<sub>2</sub> scissoring mode saturated FA

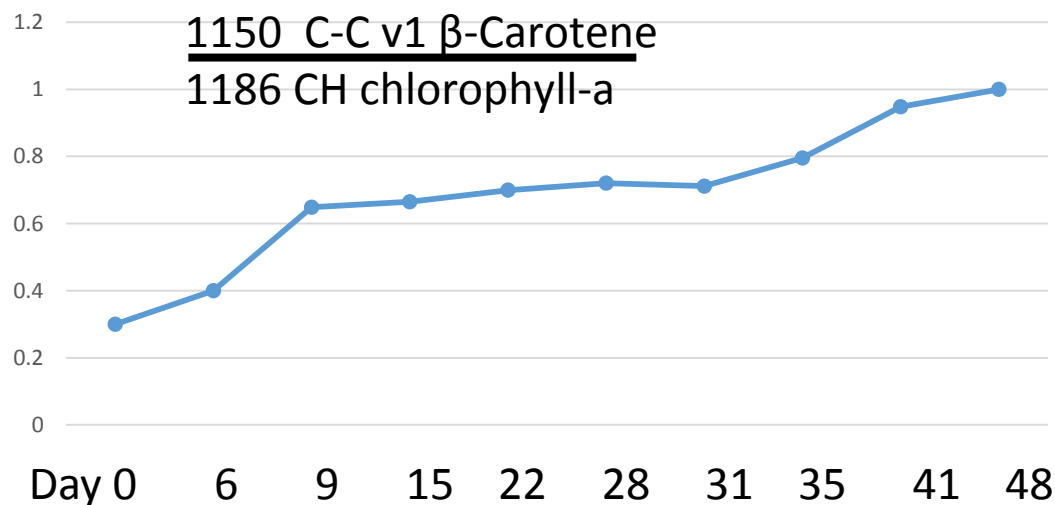


Cell Growth  
in f/2



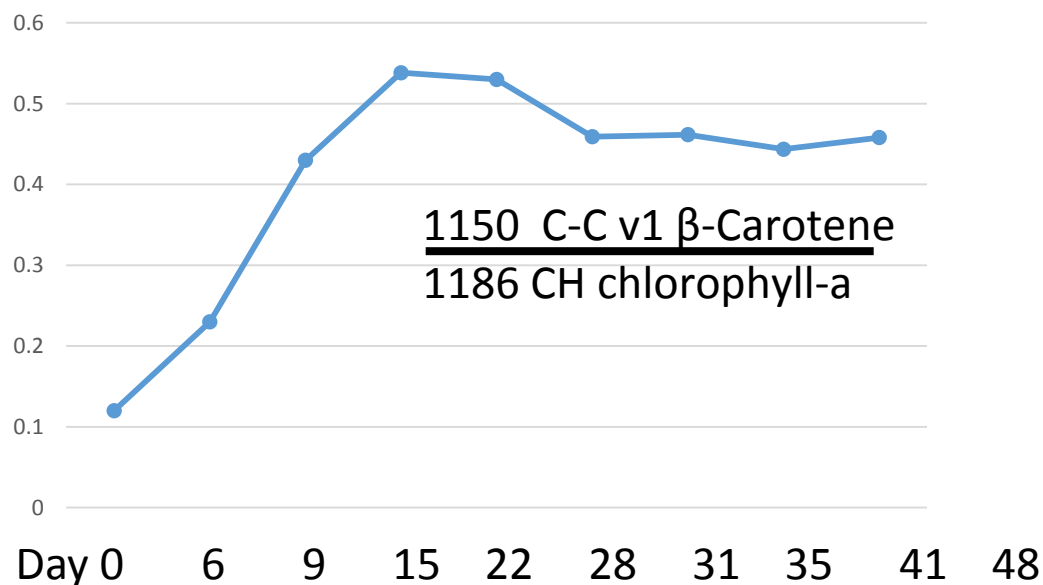
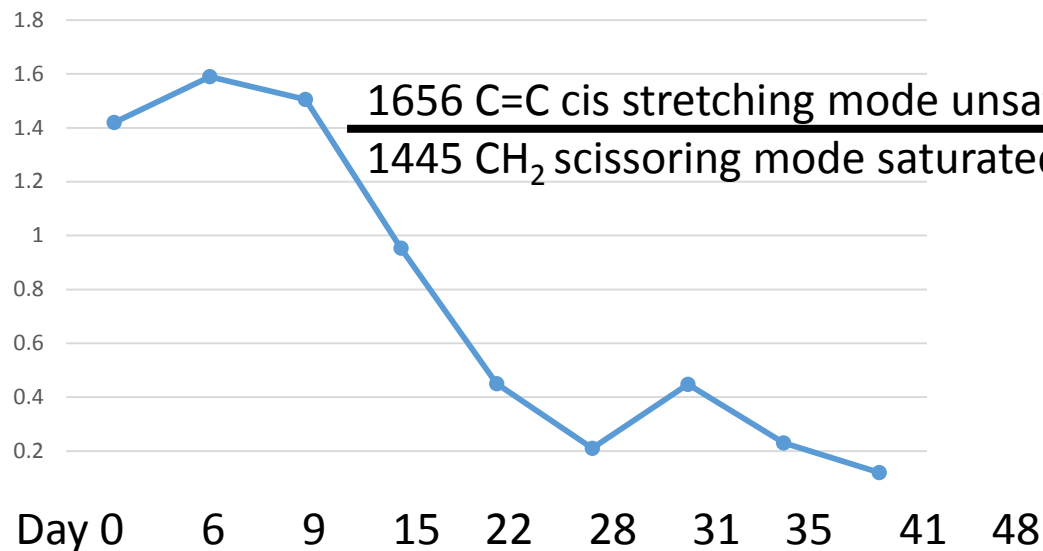
1150 C-C v1  $\beta$ -Carotene

1186 CH chlorophyll-a

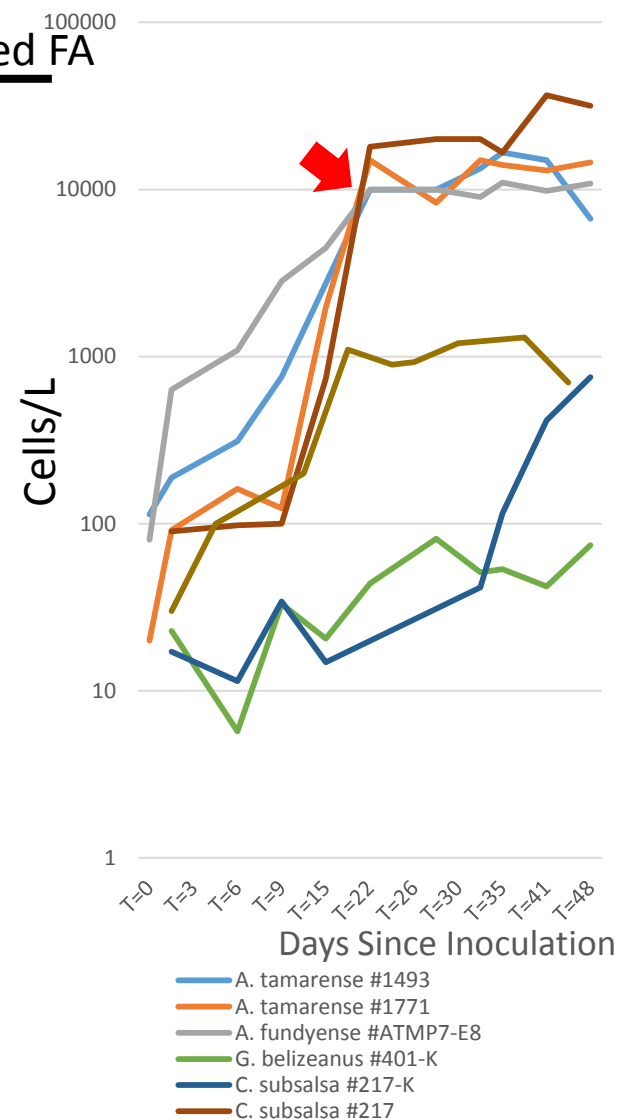


- Chaet
- R.salina #1319
- A. minutum #113
- TETRA
- T.ISO

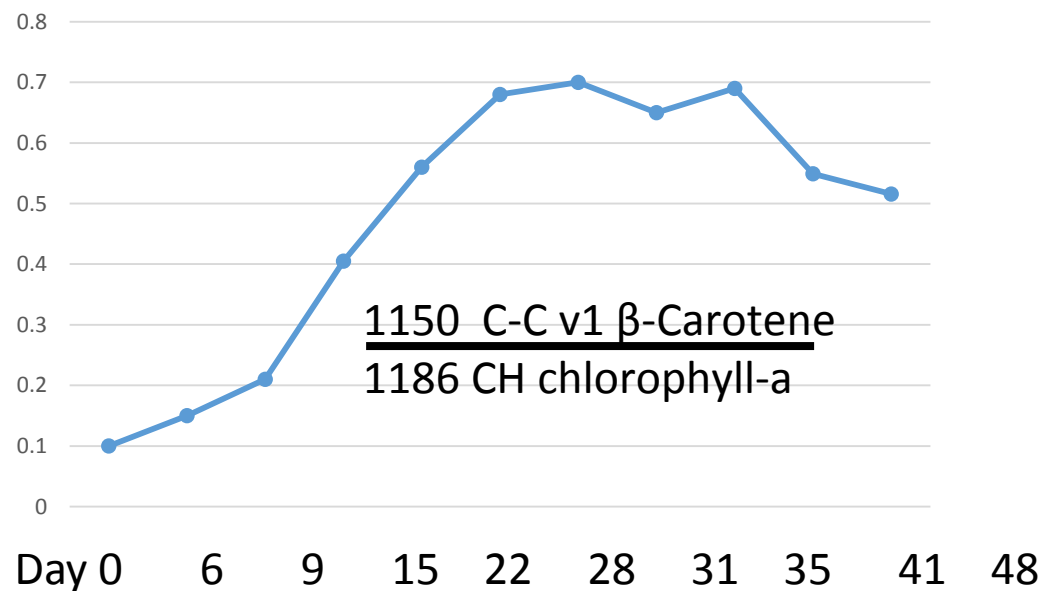
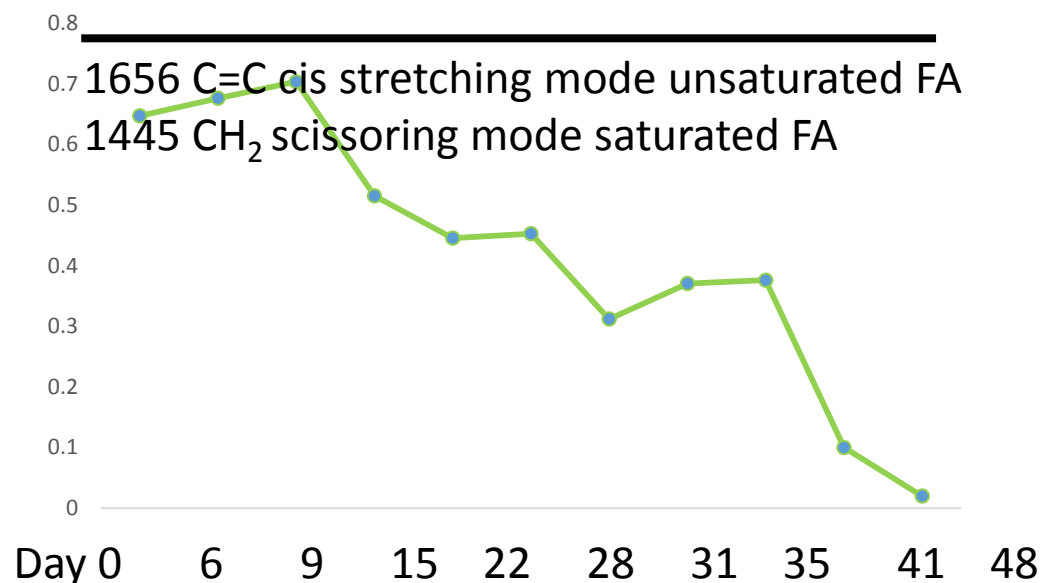
## *Alexandrium catenella* ATMP7-E8



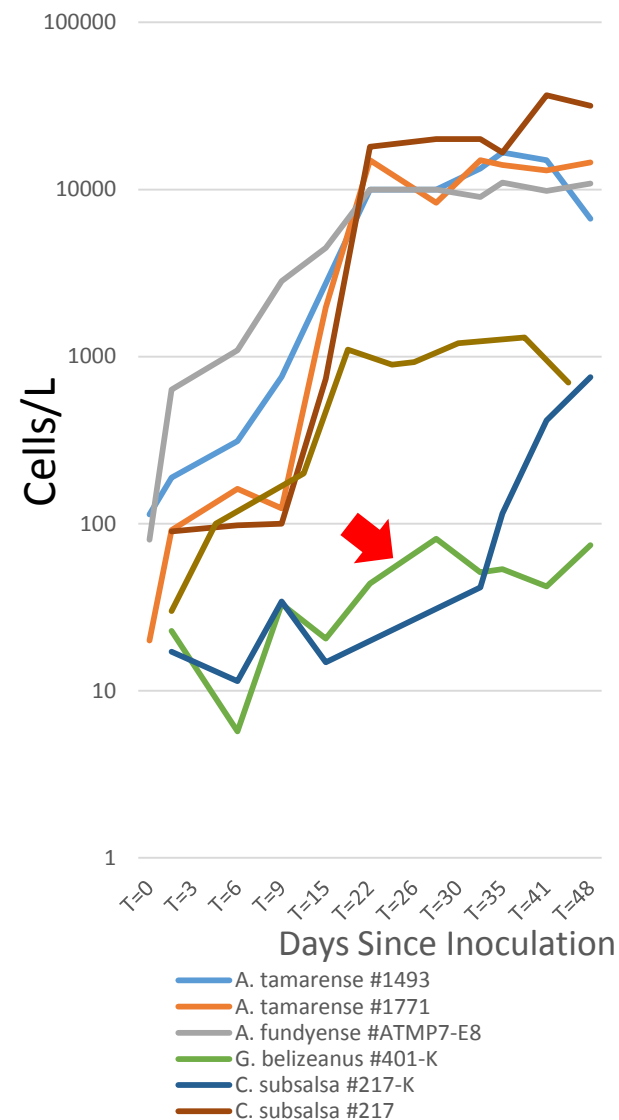
## Cell Growth



## *Gambierdiscus belizeanus* 401-k



## Cell Growth



# *Chattonella subsalsa* 217

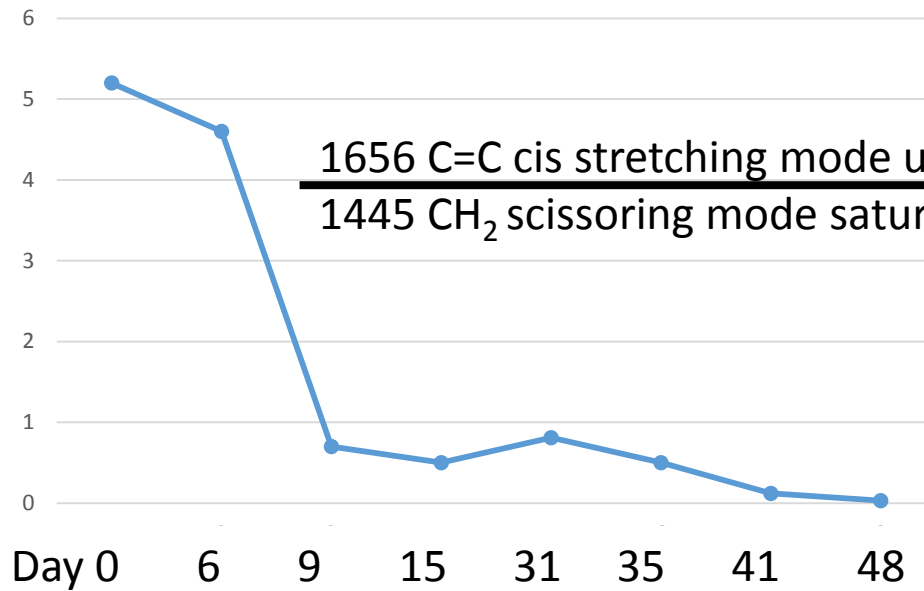
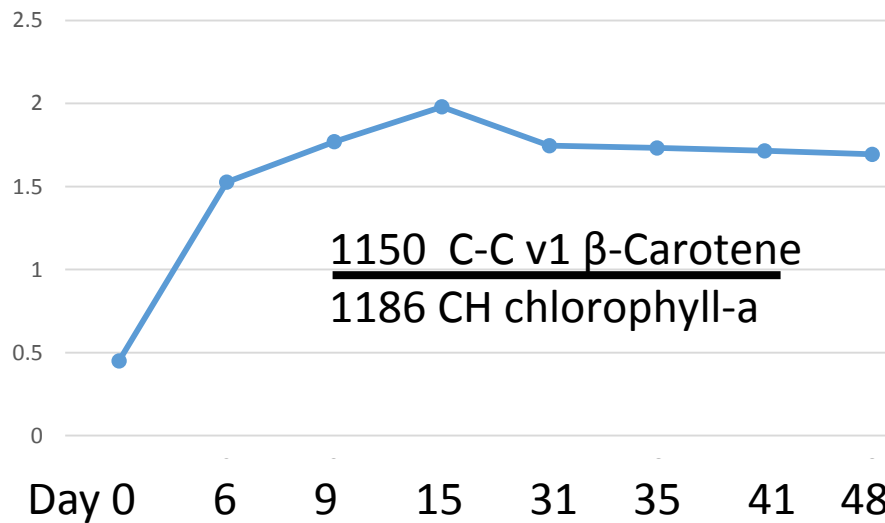
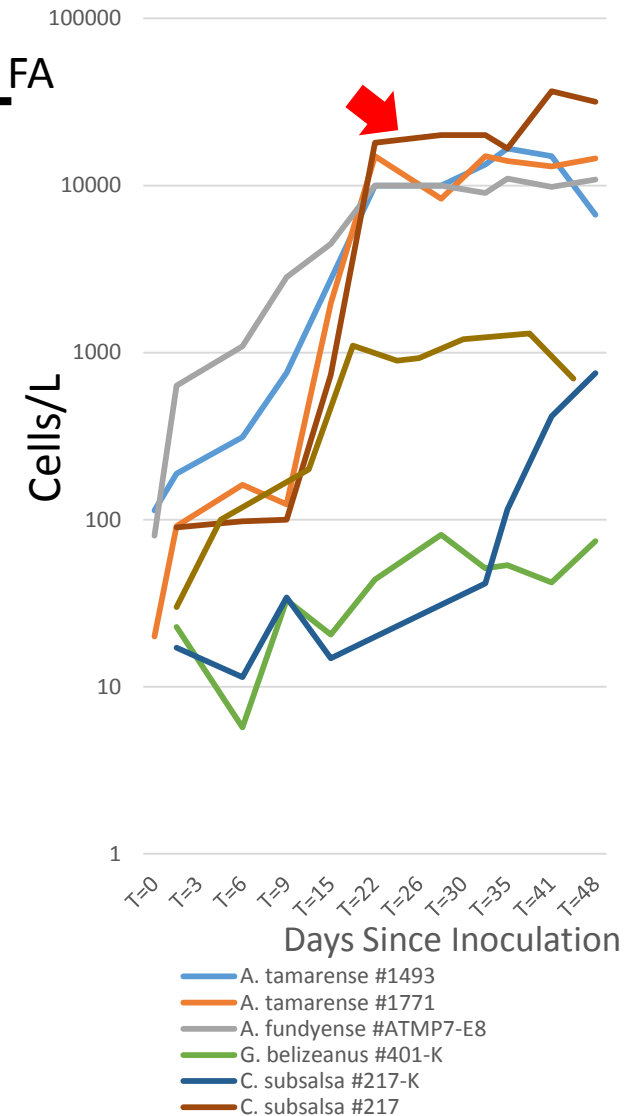


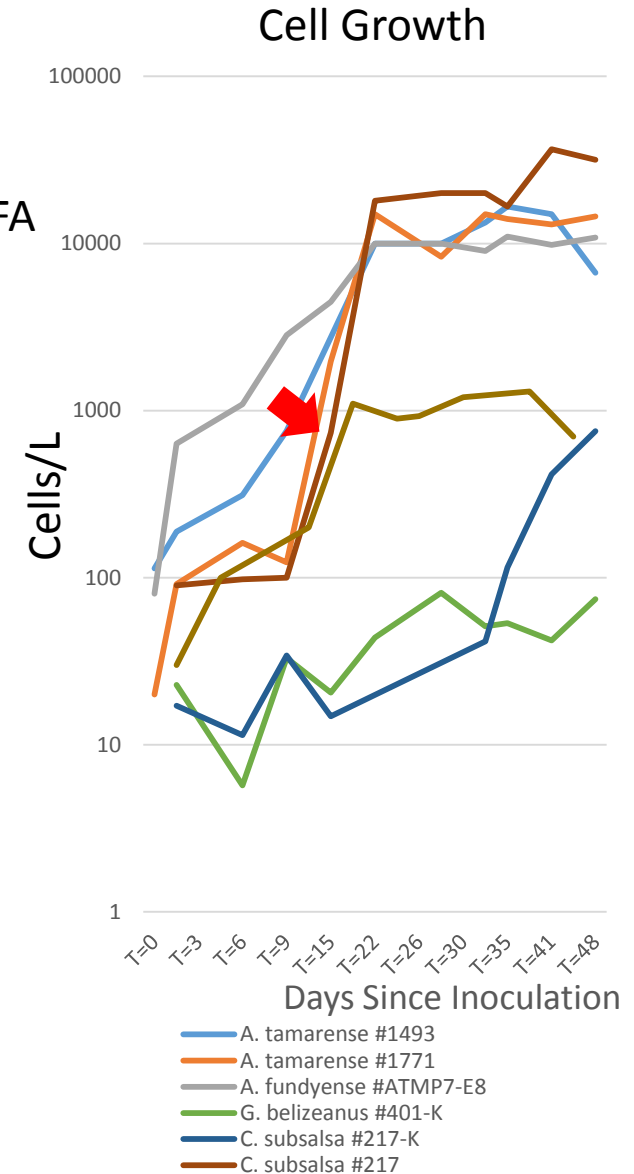
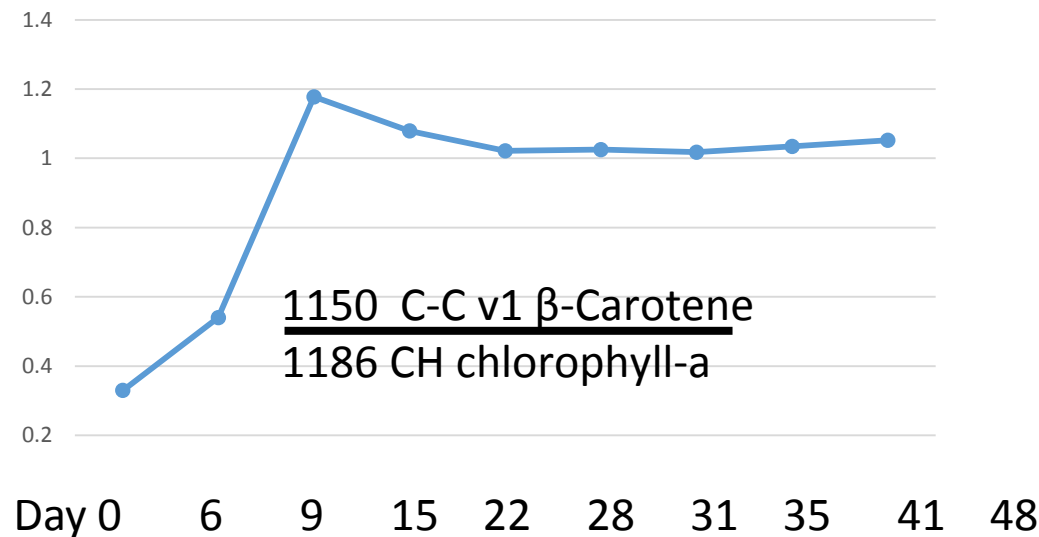
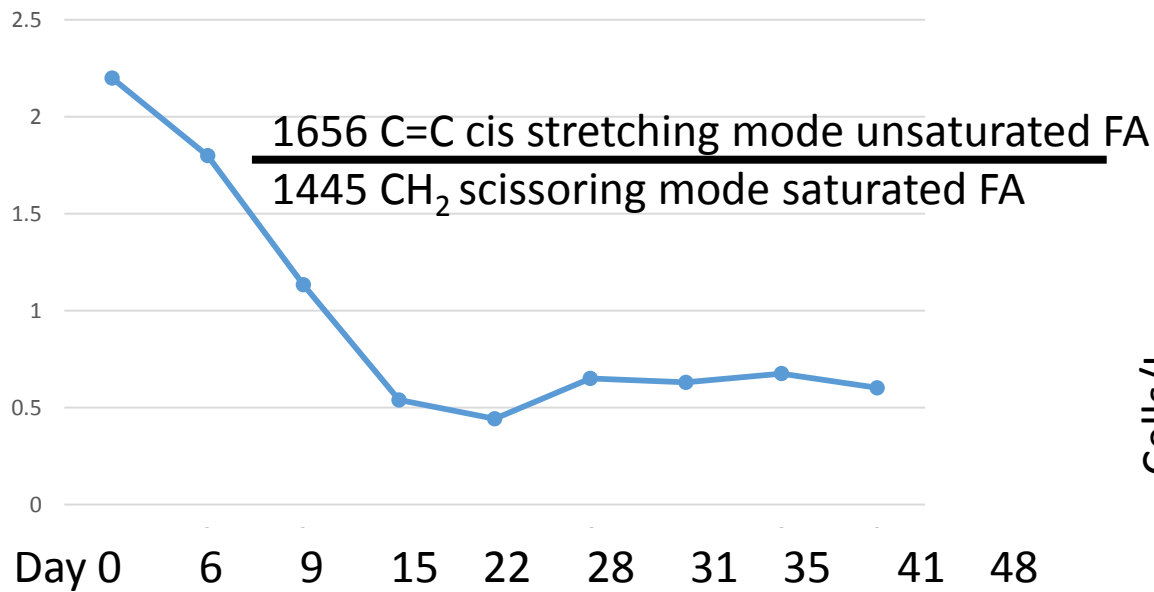
Chart Title



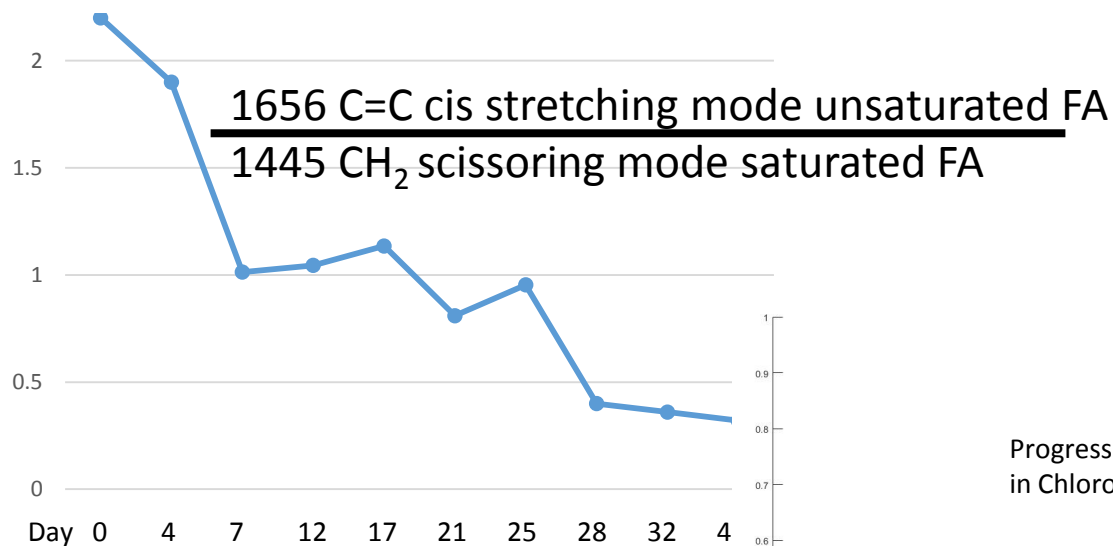
## Cell Growth



# *Alexandrium tamarense* 1771



# *Alexandrium tamarens* 1771 during 28 days of nutrient depletion



At Day 10 of normal growth  
 Cells rinsed 3x  
 Replaced in N-free media

