

## **MINUTES FOR THE 1198<sup>th</sup> BOARD MEETING STATE BOARD OF RETIREMENT**

**DATE:** November 21, 2017

**TIME:** 10:10AM

**PLACE:** One Winter Street – 8<sup>th</sup> Floor, Boston, MA

The meeting of the State Board of Retirement was called to order with Members present for all or part of the meeting: Treasurer Deborah Goldberg; General Counsel Sarah G. Kim / Treasurer's Designee; Theresa McGoldrick, Elected Member; Francis Valeri, Elected Member; Patricia Deal, Appointed Member. Member(s) Absent: Christopher Condon.

Board staff present for all or part of the meeting were: Nicola Favorito, Deputy Treasurer/Executive Director; Marianne Welch, Deputy Executive Director; Melinda Troy, Senior Board Counsel; Candace Hodge, Associate Board Counsel; Katherine Doty, Associate Board Counsel; Julie Noyes, Paralegal; Chanese Brown, Disability Unit Manager; Theresa Kerrigan, Disability Retirement Case Counselor; Diane Scott, Group Classification Coordinator; Paula Daddona, Communications Manager; Janice Coen, Executive Assistant / Office Manager; Mohammed Ali, Finance Manager; Uyen Tran, Board Accountant; Catherine Eufrazio, Survivor Benefits.

Treasury staff present for all or part of the meeting were: David Falcone, Treasury Chief of Staff; Daniel Truong, Treasury Communications; Emily Kowtoniuk, Treasurer's Office; Michael Bergquist, Treasurer's Office; Pratap Modapothala, MARIS Program Manager.

Other parties in attendance for all or part of the meeting were: John Malone, Gartner; Lori Deshler, Sagitec; Seamus Kent, Massachusetts State Police.

*There being a quorum present, Treasurer Goldberg called the meeting to order.*

*Treasurer Goldberg announced in accordance with the Commonwealth's Open Meeting Law that any person may make a video or audio recording of an open session of a meeting of a public body, and she was obligated to inform attendees of any recording at the beginning of the meeting. She then asked that anyone present who is making any recording identify themselves as doing so. No person present indicated that he/she was making a recording.*

**MINUTES OF THE 1197<sup>th</sup> BOARD MEETING:** On a motion by Ms. Deal and seconded by Ms. McGoldrick the Board voted unanimously to approve the Regular and Executive Session Minutes of the 1197<sup>th</sup> Board Meeting, held on October 28, 2017.

### **REPORT OF THE EXECUTIVE DIRECTOR**

1. **MARIS / Robert C. Minue Project Update - Monthly Project Status Report**  
MARIS Program Manager Pratap Modapothala updated the Board on the progress of ongoing work related to the annual processes scheduled for execution in January 2018 (Form 1099-R's, local retirement board COLA reimbursements, and MSERS member data

for PERAC's actuarial valuation). Mr. Modapothala indicated that Sagitec had committed that the work streams remain on schedule and would be executed on time.

John Malone from Gartner reported that a first draft of the project plan from covering activities until June 2018 has been prepared by Sagitec and reviewed by the IV&V team. Sagitec is in the process of updating this project plan, based on IV&V feedback, to detail the activities that can be performed. Once the Project Team has finalized the project plan, it is expected to allow for proactive management of future activities, help to leverage project resources effectively, and provide guidance as to what operational activities can be transferred to MSRB.

Ms. McGoldrick asked for an update on the billable hour issue with Sagitec. Mr. Modapothala indicated he, Gartner, and Sagitec's executive leadership continue working to realign the goals of the MARIS program, identify areas where performance can be improved, and come to mutual agreement on an approach to meet the outlined goals without exceeding the budget stated in the contract.

Mr. Malone added that the offsite meeting held between Gartner, MSRB's project manager and Sagitec executive leadership enabled the support team to identify steps to improve on Sagitec's overall productivity and deliver necessary system fixes and desired enhancements within the budgeted hours. The key activity remains Sagitec's development and maintenance of a detailed project plan for project activities through the end of June 2018.

Treasurer Goldberg indicated that she expected the billable hours issue to be resolved without a significant impact to the existing contract and encouraged the project team to reach a solution as expeditiously as possible.

Mr. Favorito informed the Board that the November pension payroll and weekly disbursement payrolls since the last meeting had been successfully processed. Since the warrant closing for November occurred on Monday the 20<sup>th</sup>, he did not have numbers of members added to the pension payroll for the month, but expected it to be approximately 275 new retirement and survivor payees.

## **2. YTD Fiscal 2018 Operating & Capital Budgets**

Mr. Favorito referred the Board to the YTD Fiscal 2018 Operating and Capital Budgets (through October 31, 2017) which had been handed out as part of the Agenda materials.

## **3. FY '17 Annual Statement of Financial Condition**

The Board reviewed the draft Annual Statement for FY 2017 which is required to be filed with PERAC by December 31, 2017. Mr. Favorito noted that final system membership numbers were being updated but otherwise the report would not substantively change from the draft circulated to the Board.

After discussion, the Board on a motion by Ms. McGoldrick and seconded by Mr. Valeri voted to accept the Fiscal 2017 Annual Statement for submission.

**4. 2018 Board Election Update**

Mr. Favorito informed the Board that the general election notice would be issued on December 8, 2017 to the system membership followed up with postcard notices. Nomination papers will be available starting on December 11, 2017.

**5. Federal Tax Legislation**

Mr. Favorito updated the Board of various pension related measures included in the federal Congressional tax legislation being debated by the House and Senate and referred the Board to communications in their materials received from NASRA, NCPERS, and NCTR.

**6. National Conference of Public Employee Retirement Systems (NCPERS) Membership / Legislative Conference (January 28-30<sup>th</sup> 2018, Washington, D.C.)**

The Board reviewed the possibility of the MSERS once again becoming members of NCPERS. The annual membership fee would be \$1,000.00. After discussion on a motion by Ms. McGoldrick and seconded by Mr. Valeri, the Board authorized staff to finalize membership details for the MSERS to become a participating member.

On a motion by Ms. McGoldrick and seconded by Mr. Valeri the Board authorized out of state travel for Board members and senior staff wishing to attend the NCPERS Legislative Conference January 28-30, 2018 in Washington, D.C.

**7. PRIM Update**

Due to the earlier meeting date this month for the Board the monthly investment performance information provided by PRIM was not available and would be shared at the December meeting.

**8. Legal Update**

Board members reviewed some of the most recent DALA/CRAB and court decisions involving the MSRB as summarized by the legal staff.

**7. Board / Staff Communications**

Mr. Favorito reviewed with the Board some of the most recent member and Board communications received.

**THE BOARD GOES INTO EXECUTIVE SESSION.** At approximately 10:43 A.M. the Board entered into Executive Session to review applications for disability retirement, associated benefits, and to also consider the reputation, character, physical condition or mental health of individuals with business before the Board. The Board indicated that it would return to Open Session after the Executive Session.

**Ms. Deal made a motion to enter Executive Session. Mr. Valeri seconded the motion.**

**ON ROLL CALL THE VOTE WAS AS FOLLOWS:**

Treasurer Goldberg	Yes
Ms. McGoldrick	Yes
Mr. Valeri	Yes
Ms. Deal	Yes

## **CONSENT AGENDA**

After a review of the information submitted in connection with the following matters listed on the Consent Agenda, the Chair confirmed that there was no objection to the items on the Consent Agenda and no items to be removed from the Consent Agenda and so requested a motion for Approval. On a motion by Ms. Deal, and seconded by Ms. McGoldrick, the Board unanimously voted to approve, as a group, the benefits requested in those matters listed on the Consent Agenda, as amended, which include:

### **REQUESTS FOR ACCIDENTAL DISABILITY**

1. Julio Bello
2. Craig Burnes
3. Robert Campbell
4. Mayra Morales
5. John O'Neil
6. Ann Maria Teixeira
7. Jose Vazquez

### **REQUESTS FOR ORDINARY DISABILITY**

1. Kenneth Carson
2. Luke Slatton

### **REQUEST FOR ANNUITY ALLOWANCE UNDER THE PROVISIONS OF CHAPTER 32 § 101**

1. Dennis English - filed by spouse
2. Edward McKenna – filed by spouse

## **ITEMS FOR DISCUSSION**

### **REQUESTS FOR ACCIDENTAL DISABILITY**

1. Edward Coakley – Attorney Michael Akashian and his client, Edward Coakley, appeared before the Board.
  - **Approve request for new panelist to replace Dr. Rockett;** Motion by Ms. McGoldrick, seconded by Ms. Deal.
2. Daniel Conway
  - **Tabled at request of counsel;** Motion by Ms. McGoldrick, seconded by Ms. Deal.

3. Justin Lamonda
  - **Approve Ordinary Disability Application;** Motion by Ms. McGoldrick, seconded by Ms. Deal.
  - **Deny Accidental Disability Application;** Motion by Ms. McGoldrick, seconded by Ms. Deal.
4. Victoria Merritt – Attorney Robert Deubel appeared before the Board on behalf of his client, Victoria Merritt.
  - **Deny Accidental Disability Application;** Motion by Ms. Deal, seconded by Ms. McGoldrick.

**TREASURER GOLDBERG DEPARTS THE MEETING AT 11:37 A.M. DEPUTY TREASURER KIM ASSUMES THE CHAIR.**

5. Mary Murphy – Attorney William Gately and Mary Murphy appeared before the Board.
  - **Deny Accidental Disability Application;** Motion by Ms. Deal, seconded by Mr. Valeri. Ms. McGoldrick voted no on the motion. Ms. Kim abstained from voting.

#### **REQUEST FOR ORDINARY DISABILITY**

1. Robert Kenney
  - **Approve Ordinary Disability Application;** Motion by Mr. Valeri, seconded by Ms. McGoldrick. Ms. Kim abstained from voting.

#### **BENEFICIARY DESIGNATIONS**

1. Lizzetta I. Johnson

Ms. Johnson became a member of the MSERS in 1999 and died in service. She filed an enrollment form naming her mother as the sole beneficiary to receive funds if she were to die prior to retirement.

  - **Approve payment of deductions to beneficiary of record;** Motion by Ms. Deal, seconded by Mr. Valeri. Ms. Kim abstained from voting.

#### **REQUEST FOR BENEFITS UNDER THE PROVISIONS OF §9**

1. Thomas J. O'Brien – filed by his spouse
  - **Approve;** Motion by Mr. Valeri, seconded by Ms. Deal. Ms. Kim abstained from voting.

#### **REQUEST TO RECONSIDER RETIREMENT BENEFITS UNDER THE PROVISION OF §10(2)**

1. Michael Jordan – Mr. Jordan's application for a termination allowance was denied in September 2017. He asked that the Board reconsider its decision.

- **Deny reconsideration;** Motion by Ms. Deal, seconded by Ms. McGoldrick. Ms. Kim abstained from voting.

**BOARD RETURNS TO OPEN SESSION**  
**(Roll call required)**

**THE BOARD COMES OUT OF EXECUTIVE SESSION:** at 11:57 A.M. Ms. McGoldrick made a motion to come out of Executive Session and return to Open Session. Ms. Deal seconded the motion.

**ON ROLL CALL THE VOTE WAS AS FOLLOWS:**

Ms. Kim	Yes
Ms. McGoldrick	Yes
Ms. Deal	Yes
Mr. Valeri	Yes

(Unless otherwise noted all votes taken are unanimous.)

**REQUEST PURSUANT TO §90G ¾**

1. Dianne Solomon – Tabled in October 2017
2. Ms. Solomon attained age 70 in 2014. The Board sent her an election form pursuant to G.L. c. 32, §90G ¾ which was not returned to this office. When Ms. Solomon attained age 70, her retirement contributions ceased. In September 2017 Ms. Solomon asked to be permitted to resume making retirement contributions.
  - **Tabled at request of counsel;** Motion by Ms. Deal, seconded by Ms. McGoldrick.

**RECONSIDERATION REQUEST TO CHANGE OPTION AND BENEFICIARY**

1. Kathleen Egan
2. This member retired in 2009 and filed all the materials to have chosen Option C. Her original Option form did not contain her spouse’s signature. In September 2017 the member requested to change her retirement Option due to a change in family circumstances.
  - **Reconsidered;** Motion by Ms. Deal, seconded by Ms. McGoldrick. Ms. Kim abstained.
  - **Denied;** Motion by Ms. Deal, seconded by Ms. McGoldrick. Ms. Kim abstained from voting.

## **GROUP CLASSIFICATIONS**

### **Pursuant to the Board's Classification Policy the following are reported as approved for**

#### **Group 2 Classification:**

1. **Kathleen Brito** – Social Worker C, DCF
2. **Evelena Brown** – Social Worker D, DCF
3. **Mary Catalano** – MHW 2, Residential/Cape Cod & Islands, DMH
4. **Robert Collette** – MHW I, Residential Program/Community Based Flexible Support, DMH
5. **Linda Damon** – Social Worker C, DCF
6. **Patricia Delisle** – Transitional Aide, Valley Collaborative
7. **Mary Fitzgerald** – Social Worker D, DCF
8. **Nancy Halloran** – Social Worker C, DCF
9. **Wallace Hlava** – Vocational Education Coordinator/Instructor, Hampshire Sheriff's Office
10. **Deborah Hobson** – LPN I, Commonwealth Community Services, DDS
11. **Jean Mann** – Culinary Teachers Assistant, North River Collaborative
12. **Mary Ellen Manning** – Teaching Assistant, CASE Collaborative
13. **Michael Moulding** – RN 2, Brockton Multi Service Center, DMH
14. **Russell Mulhern** – MHW 4, Residential Program/Community Based Flexible Support, DMH
15. **Philip Sandler** – MHW 3/MAP Team Coordinator, Lindemann Inn, DMH
16. **Rochelle Scheib** – Medical Physician Specialist, Lemuel Shattuck Hospital, DPH
17. **John Spencer** – LPN 2, Taunton State Hospital, DMH
18. **Joan Sypek** – Occupational Therapist 2, Commonwealth Community Services, DDS

(THE FOLLOWING GROUP CLASSIFICATION MATTER WAS TAKEN OUT OF ORDER)

#### **GROUP 4 RECONSIDERATION**

1. **Donna Howes** – Supervising CO/Lieutenant/Health Svcs. Unit, Middlesex Sheriff's Office (Denied May 2017, Denied Reconsideration August 2017) in attendance.
  - **Reconsidered**; Motion by Ms. McGoldrick, seconded by Ms. Deal. Ms. Kim abstained.
  - **Approved**; Motion by Ms. McGoldrick, seconded by Ms. Deal. Ms. Kim abstained.

#### **GROUP 2 TO BOARD**

1. **Susan Avila** – RN 4, Taunton State Hospital, DMH
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick. Ms. Kim abstained from voting.
2. **Paul Biscoe** – RN 3, Staff Nurse/Operative & Invasive Services, Lemuel Shattuck, DPH
  - **Denied**; Motion by Ms. McGoldrick, seconded by Ms. Deal. Ms. Kim abstained from voting.

3. **Gary Kellenberger** – RN 3, Worcester Recovery Center & Hospital, DMH
  - **Tabled**; Motion by Ms. McGoldrick, seconded by Mr. Valeri. Ms. Kim abstained from voting.
4. **Leonid Kotkin** – Physician Specialist/Chief of Urology, Lemuel Shattuck Hospital, DPH
  - **Denied**; Motion by Ms. McGoldrick seconded by Ms. Deal. Ms. Kim abstained from voting.
5. **Susan Lynch** – Residential Supervisor C, Central/West Region, DDS
  - **Denied**; Motion by Ms. Deal, seconded by Ms. McGoldrick. Ms. Kim abstained from voting.
6. **Richard Sargent** – MHW 4, Pocasset Mental Health Center, DMH
  - **Approved**; Motion by Ms. McGoldrick, seconded by Ms. Deal. Ms. Kim abstained from voting.
7. **Yvonne Simpson** – RN 3, Lemuel Shattuck Hospital, DPH
  - **Denied**; Motion by Ms. McGoldrick, seconded by Mr. Valeri. Ms. Kim abstained from voting.

#### **HUMAN SERVICE COORDINATOR A/B NEW REQUESTS**

1. **Kathleen Conroy** – HSC A/B, Merrimack Valley, DDS
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick.
2. **Katherine LePage** – HSC A/B, Wrentham Developmental Center, DDS
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick.
3. **Andrea Wilson-Jones** – HSC A/B, Central Middlesex Area, DDS
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick.

#### **HUMAN SERVICE COORDINATOR A/B RECONSIDERATION REQUESTS**

1. **Sherry Buttrick** - Human Service Coordinator A/B, Merrimack Valley, DDS (denied September 2017)
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick.
2. **Christine Harrington** - Human Service Coordinator C, Merrimack Valley, DDS (denied August 2017)
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick.

3. **Michele Scott** – Human Service Coordinator A/B, NE Region, DDS (denied September 2017)
  - **Tabled**; Motion by Ms. Deal, seconded by Ms. McGoldrick.

**GROUP 2 RECONSIDERATION**

1. **Frederick Carleton** – Assistant Deputy Superintendent, Middlesex Sheriff’s Office (denied August 2017)
  - **Denied**; Motion by Ms. Deal, seconded by McGoldrick.
2. **John Jameson** – Physician 2/Ear, Nose and Throat Surgeon, Lemuel Shattuck Hospital, DPH-(denied Sept. 2017)
  - **Denied**; Motion by Ms. McGoldrick, seconded by Ms. Deal.
3. **Lizabeth Lipumano-Paradela** –RN 5/Mgr. Operative & Invasive Services, Lemuel Shattuck Hospital, DMH-(denied Sept. 2017)
  - **Denied**; Motion by Ms. Deal, seconded by Ms. McGoldrick.

**Pursuant to the Board’s Classification Policy the following are reported as approved for Pro Rated Group 2 Classification:**

- |                               |  |
|-------------------------------|--|
| 1. <b><u>Brenda Goins</u></b> | <b><u>Department of Mental Health</u></b><br>LPN I – 7/19/87 – 9/26/92<br>RN 2 – 9/23/93 – 11/3/01   |
| 3. <b><u>Linda Grant</u></b>  | <b><u>Department of Developmental Services</u></b><br>RN 2 – 3/27/88 – 6/3/89<br>RN 3 – 5/15/94 – 5/5/01   |
| 4. <b><u>Donna Gray</u></b>   | <b><u>Department of Developmental Services</u></b><br>DSW 1 – 8/17/80 – 10/17/81<br>Recreational Therapist -10/18/81 – 6/28/86<br>LPN I – 6/29/86 – 6/1/02<br>RN 2 – 6/2/02 – 10/5/13                            |
| 5. <b><u>John Morin</u></b>   | <b><u>Department of Correction</u></b><br>Director of Classification & Treatment – 4/8/12 – 7/12/14<br>Administrator of Secure Treatment Program – 7/12/14 – 6/27/15<br>Director of Security – 6/28/15 – 8/20/16 |

**GROUP 2 PRO RATES TO BOARD**

1. **Brenda Goins** **Department of Mental Health**  
RN 4 – 11/4/01 – 11/7/10
  - **Denied**; Motion by Ms. McGoldrick, seconded by Mr. Valeri.

2. **Linda Grant**

**Department of Developmental Services**

RN 4 – 6/4/89 – 5/14/94

- **Approved**; Motion by Ms. Deal, seconded by Ms. McGoldrick.

RN 4 – 10/16/05 – 9/6/14

- **Denied**; Motion by Ms. Deal, seconded by Ms. McGoldrick.

**Pursuant to the Board’s Classification Policy the following are reported as approved for Group 4 Classification:**

1. **Maryann Alben** – CO, Hampden County Sheriff’s Office
2. **Thomas Balicki** – CO, Hampden County Sheriff’s Office
3. **Scott Brisebois** – Industrial Instructor 2, DOC
4. **Maureen Callahan** – Assistant Deputy Superintendent, Hampshire Sheriff’s Office
5. **Paula Cardoso** – Asst. Deputy Superintendent of Operations, Middlesex Sheriff’s Office
6. **Thomas Dees** – CO I, DOC
7. **Ronald DeRosa** – CO/Chef, Norfolk Sheriff’s Office
8. **Wayne DeSilva** – Assistant Deputy Superintendent, Plymouth County Sheriff’s Department
9. **Paul Desmarais** – CO, Bristol County Sheriff’s Department
10. **Sandra Edwards** – Assistant District Attorney, Plymouth County D.A.’s Office
11. **Kevin Facchini** – CO, Plymouth County Sheriff’s Office
12. **Louis Garneau** – Industrial Instructor 2, DOC
13. **Catrina Goncalves** – Asst. Superintendent/Regional Lock-Up, Bristol County Sheriff’s Office
14. **Timothy Harrington** – CO 3/ Chef, DOC
15. **Daniel Hart** - Assistant Deputy Superintendent, Hampshire County Sheriff’s Office
16. **Edward Jennison** – CO, DOC
17. **Mark Latulippe** – CO, Essex County Sheriff’s Office
18. **Charles Lindberg** – Captain, Barnstable County Sheriff’s Office
19. **Michael McKenna** – CO, Hampshire County Sheriff’s Office
20. **Matthew Murphy** – Assistant Superintendent, Barnstable County Sheriff’s Office
21. **Patrick Murphy** – CO/Captain, Hampden County Sheriff’s Department
22. **Paul Noe** – CO, Norfolk County Sheriff’s Office
23. **Michael O’Donnell** – CO, DOC
24. **Stephen Padeck** – CO, Hampshire County Sheriff’s Office
25. **Stephen Pare** – CO, DOC
26. **Joseph Reid** – Jail Officer/Sergeant, Suffolk County Sheriff’s Department
27. **Albert Roth** – Criminal Identification Officer, Barnstable County Sheriff’s Office
28. **Phillip Syriac** – CO, Hampshire County Sheriff’s Office
29. **James Trase** – CO, Hampden County Sheriff’s Office (POSTHUMOUS)
30. **Mark Wassung** – CO, Hampden County Sheriff’s Office

**GROUP 4 TO BOARD**

1. **Maurice Egan** – Chief Deputy, Hampshire County Sheriff’s Office
  - **Denied**; Motion by Ms. McGoldrick, seconded by Ms. Deal.

**GROUP 4 TABLED**

1. **Thomas Dennehy** – Asst. Deputy Superintendent 2, Middlesex Sheriff’s Office (tabled October 2017)
  - **Approved**; Motion by Ms. McGoldrick, seconded by Ms. Deal.

**20/50’s**

1. **Lisa Antunes** – Sergeant/CO, DOC
2. **Jason Aucoin** – CO, Hampshire County Sheriff’s Office
3. **Jeffrey Fish** – CO/Captain, Hampshire County Sheriff’s Office
4. **Michael Grogan** - CO, Hampshire County Sheriff’s Office
5. **Glenn LaRoche** – CO, DOC
6. **Jeanne Martin** – Assistant Deputy Superintendent, Middlesex Sheriff’s Office
7. **Sergio Mateus** – CO/Corporal, Hampden County Sheriff’s Office
8. **Aldwin Nathan** – Senior CO, Middlesex Sheriff’s Office
9. **Michael Pierce** – CO 3, DOC
10. **Marcus Torrejon** – CO/Lieutenant, Suffolk County Sheriff’s Department
11. **Amy Wilson** - Senior CO/Reintegration Coordinator, Essex County Sheriff’s Department

**ON A MOTION BY MS. MCGOLDRICK AND SECONDED BY MS. KIM THE BOARD VOTED TO ADJOURN THE MEETING. THE MEETING WAS ADJOURNED AT 12:47 P.M.**

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Deborah B. Goldberg, Treasurer / Chair

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Sarah Kim, General Counsel / Third Deputy Treasurer / Designee

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Theresa McGoldrick, Elected Member

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Francis Valeri, Elected Member

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Patricia Deal, Elected Member

**THE NEXT REGULAR BOARD MEETING OF THE STATE BOARD OF RETIREMENT  
WILL BE HELD ON Thursday, December 21, 2017 AT 10:00 AM.**

**Documents Used at the State Board of Retirement Meeting of November 21, 2017**

- Agenda for November 21, 2017 Board meeting.
- Open Session and Executive Session minutes of October 27, 2017 Board meeting.

Supporting documentation for Agenda items as referenced therein.