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REGULATION

SARAH R. WILKINSON
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OCCUPATIONAL LICENSURE

**Board of Public Accountancy
Public Meeting Minutes
June 15, 2023**

Board Members Present:

Richard H. Grueter, CPA, *Chair*
James Redmond, CPA, *Member*
Angela Parziale, CPA, MST, *Member*

Board Members Not Present:

Lunetta Kwan, CPA, *Secretary*

Staff Members Present:

Kerry Cassidy, *Executive Director*
Lynn Read, *Board Counsel*
Jennifer Fraga, *Program Coordinator*

All Board Members and staff appears by videoconference.

CALL TO ORDER: The meeting was called to order at 10:09 a.m. by Jennifer Fraga.

BOARD BUSINESS:

Public Meeting Minutes of May 15, 2023. After a brief discussion, a MOTION was made by Mr. Redmond to approve the Public Meeting Minutes of May 15, 2023, seconded by Ms. Parziale. The Motion passed unanimously by a roll call vote.

Executive Session Minutes of May 15, 2023. After a brief discussion, a MOTION was made by Ms. Parziale to approve the Executive Session Minutes of May 15, 2023, seconded by Mr. Redmond. The Motion passed unanimously by a roll call vote.

2023 Q2 Score Release. After brief discussion, the report was read and filed.

CORI Policies. Board Counsel Lynn Read reviewed the draft revisions of the Agency's CORI Policy for the Board of Public Accountancy. The Board tasked staff to inquire with Agency Management on how to communicate to Applicants on how to report disciplinary action when



applying for licensure. After discussion, a motion was made by Mr. Redmond to accept the draft as policy, seconded by Ms. Parziale. The Motion passed unanimously by a roll call vote.

EXECUTIVE DIRECTOR'S REPORT: None separate from items on the Agenda.

BOARD COUNSEL REPORT: Board Counsel Lynn Read notified the Board that the Agency is in its final stages of renewing the Contract with NASBA and will report back on its finalization.

DISCUSSION:

Request for a Board Member to Attend Adjudicatory Hearing October 19-20. The Board was notified of the upcoming Adjudicatory Hearing and was asked if a Board Member would be able to attend. Staff will follow up via email in September 2023.

UAA Model Rules Implementation Recommendation. Board Counsel Lynn Read recommended that the Board's regulations will require amendment. Board Counsel will provide guidance at the next meeting on a policy for these upcoming changes.

Draft Regulations regarding Accreditation and Exam. Board Counsel Lynn Read will report back to the Board with draft revisions to the regulations over the next few meetings.

Open Session for Topics Not Reasonably Anticipated by the Chair 48 Hours in Advance of Meeting: Chair Richard Grueter notified the Board that the Notice to Schedule for the Business Environment Concepts Exam (BEC) must be taken by Candidates by December 15, 2023. If it is not taken, the Candidate will lose their opportunity.

Adjourn Public Meeting, Enter Executive Session (Closed) under G.L. c. 30A, s. 21(a)(7) to comply with G.L. c. 66, s. 10, G.L. c. 4, s. 7, para. 26(c) and G.L. c. 214, s. 1B (medical record information), and then Investigative Conference (Closed under G.L. c. 112, s. 65C).

At 10:46 a.m., a **MOTION** was made by Ms. Parziale, seconded by Mr. Redmond, to: (1) exit the public meeting; (2) enter a closed executive session under G.L. c. 30A, s. 21(a)(7) to comply with G.L. c. 66, s. 10, G.L. c. 4, s. 7, para. 26(c) and G.L. c. 214, s. 1B to review sensitive medical information in CPA Examination extension requests; (3) then enter closed Investigative Conference under G.L. c. 112 s. 65C; and not return to the public meeting. The Motion passed unanimously by a roll call vote.

See separate minutes of Executive Session.

Investigative Conference CLOSED under G. L. c. 112, § 65C

During the investigative conference, the Board took the following actions:

Settlements:

2021-001110-IT-ENF	Guidance Given to Prosecutor
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Cases:

2023-000121-IT-ENF	Dismissed, Open New Complaint
2023-000062-IT-ENF	Dismissed
2023-000060-IT-ENF	Refer to Prosecutions
2023-000054-IT-ENF	Dismissed
2023-000049-IT-ENF	Dismissed, Open New Complaint

2023-000012-IT-ENF	Dismissed with an advisory
2022-000960-IT-ENF	Dismissed
2022-000827-IT-ENF	Tabled to next meeting

PCAOB: Read and filed.

AICPA:

Refer to Office of Investigations, open 3 complaints 3 Firms

ADJOURNMENT

At 12:32 p.m., a MOTION was made by Mr. Redmond, seconded by Ms. Parziale to adjourn the meeting. The motion passed unanimously by roll call vote.

The above minutes were approved at the public meeting held on August 17, 2023.



Kerry Cassidy, Executive Director

List of Documents Used by the Board at Open Meeting:

Agenda for June 15, 2023
Draft Public Minutes of May 15, 2023
Draft Executive Session Minutes of May 15, 2023
NASBA 2023 Q1 and Q2 Score Release
Draft CORI Policy
UAA Model Rules Implementation Recommendation