The Commonwealth of Massachusetts Board of Registration in Pharmacy Bureau of Health Professions Licensure 250 Washington Street, Boston, MA 02108-4619 Tel: 617-973-0960 TTY: 617-973-0960 Pharmacy.Admin@mass.gov

Pharmacy Application Checklist

Requirements below apply to both resident and non-resident pharmacies unless otherwise noted. Retain a copy of the completed checklist, applications, and supporting documents for your records. Submitted applications are only valid for 1 year. **Please direct any questions to:** <u>Pharmacy.Admin@mass.gov</u>

Transfer of Ownership:

Transfer of ownership occurs when pharmacy ownership will change to a person or entity other than the person or entity who was listed on the initial licensure application **or** when a change in the Internal Revenue Service (IRS)-issued employer identification number (FEIN) is required. <u>The Board views a</u> transfer of ownership as the closing of one pharmacy and the opening of a new pharmacy.

The **<u>outgoing licensee</u>** must notify the Board of the proposed transfer of ownership of a licensed pharmacy at least 14 days prior to the transfer using the closing of a pharmacy form in the <u>licensing</u> <u>system</u>. The outgoing licensee must comply with <u>transfer of ownership and pharmacy closure</u> <u>requirements</u> (including the distribution of controlled substances) outlined in <u>247 CMR 6.00</u>.

The **proposed new licensee** must apply for a new pharmacy license at least 14 days prior to the transfer of ownership, however the application should be submitted at least 45 days in advance to allow for timely review.

Retail Pharmacy:

A completed <u>Retail Pharmacy Application</u> fully and properly completed and signed by the Manager of Record (MOR) or Designated Pharmacist-in-Charge (PIC). <u>A Massachusetts-licensed pharmacist is required in order to apply for a pharmacy license.</u>

(The "Designated Pharmacist-in-Charge" is responsible for assuring non-resident pharmacy compliance with all Massachusetts laws and regulations pertaining to the practice of pharmacy and <u>does not</u> have to be the pharmacy's pharmacist-in-charge.)

* Non-dispensing pharmacy licensing is not available. ** A Controlled Substance Registration is not required for non-resident pharmacies.

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Applicable fee. Fees are non-refundable and non-transferable. \$750 - resident pharmacies \$825 - non-resident pharmacies
Pharmacy hours during which the pharmacy is to remain open, including the time of opening and closing for each day of the week. (Resident pharmacies only.)
A copy of the <u>Business Entity Summary</u> . (Resident pharmacies only.)
Architectural drawing or certified blueprint. See Architectural Drawing / Certified Blueprint Requirements on pages 6-7. (Resident pharmacies only.)
If a Retail Pharmacy is proposing to locate <u>within any healthcare facility</u> , documentation of approval from the facility's licensing body(s) must be attached. (Not required if an existing retail pharmacy is transferring ownership but will continue to operate as a retail pharmacy using a similar business model and will be located in the exact same space.) (Resident pharmacies only.)
If applicable, a completed <u>Waiver Petition</u> for each regulation / policy and section the pharmacy is requesting to be waived. Waivers are not transferable. New petitions for waiver must be submitted in the case of transfer of ownership.
An <u>Inspection Report</u> . See <i>Non-Resident Pharmacy Inspection Requirements</i> on pages 11-13. (Non-resident pharmacies only.)
☐ If applicable, a <u>documented plan of correction</u> for inspectional deficiencies. See <i>Non-Resident Pharmacy Inspection Requirements</i> on pages 11-13. (Non-resident pharmacies only.)
If applicable, items from the <u>Sterile Compounding Checklist</u> below.
If applicable, items from the <u>Complex Non-Sterile Compounding Checklist</u> below.
Sterile Compounding:
Applicable fee. (This fee is IN ADDITION to the Retail Pharmacy fee.) \$4,525 - resident pharmacies \$1,825 - non-resident pharmacies
A completed <u>Sterile Compounding Application</u> fully and properly completed and signed by the Manager of Record (MOR) or Designated Pharmacist-in-Charge (PIC) who is to manage and operate the pharmacy. <i>Do <u>not</u> send certification reports or policies unless requested</i> .

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Architectural drawing or certified blueprint. See Architectural Drawing / Certified Blueprint Requirements on pages 6-7.
An <u>Inspection Report</u> from a satisfactory sterile compounding inspection. See <i>Non-Resident Pharmacy</i> <i>Inspection Requirements</i> on pages 11-13. (Non-resident pharmacies only.)
If applicable, a <u>documented plan of correction</u> for inspectional deficiencies. See <i>Non-Resident Pharmacy Inspection Requirements</i> on pages 11-13. (Non-resident pharmacies only.)
Compliance Checklist for DRAFT sterile compounding regulations <u>247 CMR 17.00</u> on pages 8-10.
Complex Non-Sterile Compounding:
A completed <u>Complex Non-Sterile Compounding Application</u> fully and properly completed and signed by the Manager of Record (MOR) or Designated Pharmacist-in-Charge (PIC) who is to manage and operate the pharmacy. <i>Do <u>not</u> send certification reports or policies unless requested</i> .
Applicable fee. (This fee is IN ADDITION to the Retail Pharmacy fee.) \$2,900 - resident pharmacies \$1,625 - non-resident pharmacies
Architectural drawing or certified blueprint. See Architectural Drawing / Certified Blueprint Requirements on pages 6-7.
An <u>Inspection Report</u> from a satisfactory complex non-sterile compounding inspection. See <i>Non-Resident Pharmacy Inspection Requirements</i> on pages 11-13. (Non-resident pharmacies only.)
If applicable, a <u>documented plan of correction</u> for inspectional deficiencies. See <i>Non-Resident Pharmacy Inspection Requirements</i> on pages 11-13. (Non-resident pharmacies only.)
Review DRAFT non-sterile compounding regulations <u>247 CMR 18.00</u> and the Board's <u>Non-Sterile</u> <u>Compounding Policy</u> . (No submission required.)
Nuclear Pharmacy:
 A completed <u>Nuclear Pharmacy Application</u> fully and properly completed and signed by the Manager of Record (MOR) who is to manage and operate the pharmacy. * Non-resident nuclear pharmacy licensing is not yet available. There is no need to apply for any additional licensure at this time.

§750 fee. Fees are non-refundable and non-transferable.

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Pharmacy hours during which the pharmacy is to remain open, including the time of opening and closing for each day of the week.

A copy of the <u>Business Entity Summary</u>.

If proposing to locate <u>within any healthcare facility</u>, documentation of approval from the facility's licensing body(s) must be attached.

If applicable, a completed <u>Waiver Petition</u> for each regulation / policy and section the pharmacy is requesting to be waived. Waivers are not transferable. New petitions for waiver must be submitted in the case of transfer of ownership.

Copy of DPH <u>Radiation Control Program (RCP) license</u>.

Architectural drawing or certified blueprint. See Architectural Drawing / Certified Blueprint Requirements on pages 6-7.

Institutional Sterile Compounding Pharmacy:

A completed <u>Institutional Sterile Compounding Pharmacy Application</u> for EACH sterile compounding pharmacy area, that is fully and properly completed and signed by the Manager of Record (MOR). *Do <u>not</u> send certification reports or policies unless requested.*

☐ <u>Hospital MCSR number</u> or <u>Clinic MCSR number</u>. MCSR is the Massachusetts Controlled Substances Registration number from DPH and is unique to each physical address. Any additional sterile compounding pharmacy area(s) under the same MCSR number will require a separate application for licensure.

Name of <u>Manager of Record (MOR)</u>. This is the on-site pharmacist who is responsible for the operation of all sterile compounding pharmacy areas under the same MCSR.

Name of <u>supervising pharmacist</u> who is on-site at EACH sterile compounding area, as applicable.

Applicable fees. Fees are non-refundable and non-transferable. \$4,525 - primary sterile compounding area \$4,475 - EACH additional sterile compounding area

Pharmacy hours during which the pharmacy is to remain open, including the time of opening and closing for each day of the week.

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	Architectural drawing or certified blueprint. See Architectural Drawing / Certified Blueprint	
Red	quirements on pages 6-7.	

If applicable, a completed <u>Waiver Petition</u> for each regulation / policy and section the pharmacy is requesting to be waived. Waivers are not transferable. New petitions for waiver must be submitted in the case of transfer of ownership.

<u>Compliance Checklist</u> for DRAFT sterile compounding regulations <u>247 CMR 17.00</u> on pages 8-10.

Completed <u>Sterile Compounding Pharmacy Inspection Template</u> for EACH sterile compounding area.

Architectural Drawing / Certified Blueprint Requirements

Architectural drawings / certified blueprints not meeting these requirements will not be accepted.

* A certified blueprint is one that has been signed and sealed by the architect.

**** DO NOT** include areas such as consultation/immunization rooms, front store area,

offices, or restrooms in the proposed licensed square footage total.

	Outline the proposed licensed prescription area in RED and draw to scale with		
Retail	the following items clearly labeled on the document.		
Pharmacy	1. prescription area (proposed licensed area)		
1 nai macy			
	2. square footage		
	3. patient consultation area		
	4. drop off and pickup windows		
	5. pick-up bins		
	6. refrigerator		
	7. safe		
	8. sink		
	9. designated non-sterile compounding area (draft 247 CMR 18.00 proposes to		
	require 10 square feet of counter space for non-sterile compounding)		
	10. legend explaining all abbreviations		
	11. any other pertinent details		
	Outline the proposed licensed compounding area in RED and draw to scale		
Complex Non-	with the following items clearly labeled on the document.		
Sterile	1. proposed pharmacy layout, including square footage of each room		
Compounding	2. designated non-sterile compounding area and/or dedicated compounding		
	room(s)		
	3. location of containment hood(s), as applicable		
	4. $sink(s)$		
	5. HVAC details (ACPH, negative pressure, externally vented, etc.), as applicable		
	for hazardous compounding area(s) in compliance with USP <800>		
	6. hazardous drug storage area, as applicable		
	7. legend explaining all abbreviations		
	8. any other pertinent details		
Sterile	Outline the proposed licensed compounding area in RED and draw to scale with the following items clearly labeled on the document. Do NOT send		
	certification reports.		
Compounding	1. proposed pharmacy layout, including square footage of each room		
	2. location and ISO classification of each primary and secondary engineering control (PEC and SEC)		
	3. HVAC details (ACPH, positive/negative pressure, etc.) in compliance with		
	USP <797> and/or <800>		
	4. sink(s)		
	5. any pass-throughs 6 hazardous drug storage area, as applicable		
	6. hazardous drug storage area, as applicable		
	7. legend explaining all abbreviations		
	8. any other pertinent details		

Nuclear Pharmacy	 Outline the proposed licensed area in RED and draw to scale with the following items clearly labeled on the document. Do NOT send certification reports. 1. proposed pharmacy layout, including square footage of each room 2. non-sterile compounding room, including placement of containment / fume hood(s), as applicable
	 location and ISO classification of each primary and secondary engineering control (PEC and SEC) HVAC details for compounding area(s) (ACPH, positive/negative pressure, etc.) in compliance with USP <825> sink(s) any pass-throughs legend explaining all abbreviations any other pertinent details

Sterile Compounding Compliance Checklist

If the proposed design of the sterile compounding area(s) meets the listed requirement, please indicate by placing "Y" (yes) or "N" (no) and include comments as to the reason for the non-compliance and plans to mitigate. If not applicable, indicate with "NA".

Please note that this is not an all-inclusive list of proposed standards in <u>Draft 247 CMR 17.00</u> or the requirements of USP. At a minimum, applicants are required to adhere to the standards set forth in the most recent version of USP <797> and USP <800>. It is the responsibility of the applicant to be familiar with the requirements set forth in USP chapters and the Board's regulations.

Draft 247 CMR 17.00	Citation	Y/N	Comments
Miscellaneous			
A pharmacy may not compound non-sterile preparations in any Primary Engineering Control (PEC) or Secondary Engineering Control (SEC) used for sterile compounding.	17.03(8)		
A pharmacy shall have a dedicated changing area for sterile compounding personnel.	17.04(2)		
Primary Engineering Controls (PECs)			
A pharmacy shall utilize only commercially manufactured PECs.	17.06(1)		
All Secondary Engineering Controls			
(SECs)			
The doors leading into and between ISO Classified SECs shall be constructed with an interlocking design or utilize an alternative method to ensure that doors are not opened simultaneously.	17.07(1)(c)		
Unless prohibited by local building or fire code, an SEC may not have more than one door to immediately adjacent areas.	17.07(1)(b)		
Each newly constructed SEC shall allow for visual observation through windows or technology.	17.07(1)(a)		
SECs may not contain windows to the outdoors.	17.07(1)(k)		
A pharmacy shall ensure that any pass-through chambers:a. have an interlocking door design; andb. are not refrigerator units.	17.04(1)		

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Walls shall be made of solid surface materials such	17.07(1)(j)
as locking sealed panels or epoxy-coated gypsum	
board.	
Ceiling panels, fixtures, and other penetrations	17.07(1)(h)
through the ceiling or walls shall be smooth and	
sealed around the perimeter.	
SECs shall utilize light fixtures designed for sterile	17.07(1)(g)
compounding areas (i.e., cleanroom grade) that have	17.07(1)(g)
an exterior surface that is smooth, mounted flush	
with the ceiling, and sealed.	17.07(1)(i)
Sprinkler heads shall be recessed, covered, and	17.07(1)(i)
easily cleanable.	
Floors shall be composed of wide sheet vinyl that is	17.07(1)(1)
heat sealed at the seams, or other solid, smooth	
surface, and coved at the wall or appropriately	
sealed.	
SECs may not contain floor drains.	17.07(1)(f)
A pharmacy may not locate a refrigerator in any ISO	17.07(1)(e)
Classified SEC.	
A pharmacy may not use ISO Classified areas for	17.04(3)
drug storage.	
Ante Rooms	
A newly constructed ante room shall be at least 72	17.07(3)(a)
square feet.	
For hand hygiene, an anteroom shall have a	17.07(3)(b)
stainless-steel sink that is located on the clean side	
of the line of demarcation at least one meter away	
from the buffer room door.	
The stainless-steel sink shall:	17.07(3)(c)
i. be equipped with hands-free controls for	
water and soap dispensing;	
ii. have proper depth and capacity for hand	
washing up to the elbows;	
iii. minimize splashing and dripping of water;	
iv. be designed to prevent standing water; and	
v. have a faucet that does not have an aerator	
mechanism on the nozzle.	
An ante room shall have low-lint, disposable towels	17.07(3)(d)
located in close proximity to the sink.	
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Buffer Rooms		
A newly constructed non-hazardous drug buffer	17.07(2)(a)	
room shall be at least 100 square feet.		
A newly constructed hazardous drug buffer room	17.07(2)(b)	
shall be at least 72 square feet.		
Buffer room doors shall be hands-free.	17.07(2)(c)	
HVAC		
Newly constructed ISO Classified SECs shall	17.05(1)	
utilize a closed loop ducted system, a sealed		
plenum system, or equivalent HVAC design.		
Supply air provided for each ISO Classified SEC	17.05(3)	
shall be provided exclusively through ceiling		
mounted HEPA filters.		
Air returns in ISO Classified SECs shall be mounted	17.05(4)	
low on the walls		
If utilized, relief air vents shall be mounted low on	17.05(5)	
the wall and designed to prevent the ingress of less		
clean air or contaminants from adjacent areas.		
Temperature/Humidity		
A pharmacy shall have a system to continuously	17.10(3)	
measure the temperature and humidity of each SEC.		
The quantitative results shall be reviewed and		
documented at least daily on all days the pharmacy		
is open.		
SECs shall maintain a temperature of 68 degrees	17.10(1)	
Fahrenheit (20 degrees Celsius) or lower.		
SECs shall maintain relative humidity of 60% or	17.10(2)	
lower.		

Non-Resident Pharmacy Inspection Requirements

The Massachusetts Board of Registration in Pharmacy (Board) adopts these requirements for non-resident pharmacy inspections as summarized below. Both the inspection form and a <u>separate</u> plan of correction (if applicable) must be provided.

A "**satisfactory inspection**" for non-resident pharmacies is defined as an on-site, Board-approved pharmacy inspection (as outlined in the chart below) revealing substantial compliance with required standards, provided that any identified deficiencies do not have an impact on patient safety and there is a documented plan of correction.

A "**plan of correction**" for any identified deficiencies must be developed by the non-resident pharmacy and include the regulatory violation, observation / explanation of the deficiency, correction plan, date corrected, on-going compliance plan, and Massachusetts license number of the Designated Pharmacist-in-Charge.

If a **blueprint state inspection** is completed using the state's sterile compounding inspection template, then it must have been cross-walked with NABP's current version that reflects the November 2023 updates to USP <797>. If not, an additional inspection by a Massachusetts Board-approved inspector will be required.

There are two current "Board-approved inspectors" that may provide Board-approved pharmacy inspections:

Gates Healthcare Associates, Inc. ernie.gates@gatesconsult.com

1 Meeting House Square Middleton, MA 01949 Phone: 978-646-0091 National Association of Boards of Pharmacy (NABP®) inspections@nabp.pharmacy 1600 Feehanville Drive Mount Prospect, IL 60056-6014

Massachusetts License Type	Initial Licensure	Renewal
Retail Pharmacy	Most recent inspection report conducted within two (2) years of the application submission date.	In connection with an application to renew a non-resident Retail Pharmacy license, a licensee shall submit copies of all reports or correspondence
	 Acceptable inspections include: a. An inspection conducted by a resident state inspector utilizing the NABP Universal Inspection Form, General Pharmacy Inspection; or b. An inspection conducted by a resident state inspector utilizing 	pertaining to all inspections by any state or federal agency, or any entity inspecting on behalf of a state or federal agency, occurring within the pending licensing period.

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Non-Resident Complex Non- Sterile Compounding	 that state's general pharmacy compliance inspection form; or c. An inspection conducted by a Massachusetts Board-approved inspector (see above) utilizing their approved general pharmacy compliance inspection form. Most recent inspection report conducted within one (1) year of the application submission date. Inspection of USP <800> standards must also occur if the pharmacy is engaged in the compounding of hazardous (HD) drugs. Acceptable inspections include: a. A satisfactory inspection conducted by a resident state inspector utilizing the NABP Universal Inspection Forms: Nonsterile Compounding Inspection for USP <795>, and Hazardous Drugs - Handling in Health Care Settings USP <800> inspection form, if applicable; or; b. A satisfactory inspection conducted by a Massachusetts Board-approved inspector (see above) utilizing their approved nonsterile compounding inspection form and USP <800> inspection form and USP <800> inspection form, if applicable. 	Most recent inspection report conducted within one (1) year of the renewal application submission date. Inspection of USP <800> standards must also occur if the pharmacy is engaged in the compounding of hazardous (HD) drugs. Acceptable inspections include: a. A satisfactory inspection conducted by a resident state inspector utilizing the NABP Universal Inspection Forms: Nonsterile Compounding Inspection for USP <795>, and Hazardous Drugs - Handling in Health Care Settings USP <800> inspection form, if applicable; or b. A satisfactory inspection conducted by a Massachusetts Board-approved inspector (see above) utilizing their approved nonsterile compounding inspection form and USP <800> inspection form, if applicable.
Non-Resident Sterile Compounding	inspection is also required Most recent inspection report conducted within one (1) year of the application submission date. Inspection of USP <800> standards must also occur if the pharmacy is engaged in the compounding of hazardous (HD) drugs. Acceptable inspections include:	Most recent inspection report conducted within one (1) year of the renewal application submission date. Inspection of USP <800> standards must also occur if the pharmacy is engaged in the compounding of hazardous (HD) drugs. Acceptable inspections include:

a.	If the state is part of NABP's	a.	If the state is part of NABP's
	Multistate Pharmacy Inspection		Multistate Pharmacy Inspection
	Blueprint Program, a satisfactory		Blueprint Program, a satisfactory
	inspection conducted by a resident		inspection conducted by a resident
	state inspector utilizing:		state inspector utilizing:
	1. NABP's Universal Inspection		1. NABP's Universal Inspection
	Forms: Inspection for USP		Forms: Inspection for USP
	<797>, and Hazardous Drugs –		<797>, and Hazardous Drugs -
	Handling in Health Care		Handling in Health Care
	Settings USP <800> inspection		Settings USP <800> inspection
	form, if applicable; or		form, if applicable; or
	2. The state's NABP-approved		2. The state's NABP-approved
	sterile compounding inspection		sterile compounding inspection
	form, and USP <800>		form, and USP <800>
	inspection form, if applicable;		inspection form, if applicable;
	or		or
b.	A satisfactory inspection	b.	A satisfactory inspection
	conducted by a Massachusetts		conducted by a Massachusetts
	Board-approved inspector (see		Board-approved inspector (see
	above) utilizing their approved		above) utilizing their approved
	sterile compounding inspection		sterile compounding inspection
	form and USP <800> inspection		form and USP <800> inspection
	form, if applicable.		form, if applicable.
	••		**
* a	Non-Resident Retail Pharmacy		
ins	spection is also required		