### COMMONWEALTH OF MASSACHUSETTS

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MIDDLESEX, ss.

# BOARD OF REGISTRATION IN MEDICINE

Adjudicatory Case No. 2021-036

In the Matter of

Mark J. Sterling, M.D.

# **PROBATION AGREEMENT**

# I. COMPLIANCE WITH AGREEMENT

The Respondent agrees that violation of this Probation Agreement, including such provisions which survive this Agreement, shall constitute sufficient grounds for the immediate suspension of the Respondent's license to practice medicine, or any such lesser sanction as the Board may deem fit to impose, without prior notice to the Respondent. The Respondent hereby waives any claim or defense to any subsequent action by the Board to suspend the Respondent's license or impose such other lesser sanction, for any such violation or violations, except that the Respondent shall be entitled to defend against the assertion of a violation of this Agreement. The Respondent is relinquishing important procedural and substantive rights.

#### **II. PARTIES**

The parties to this Probation Agreement are the Board of Registration in Medicine (hereinafter "the Board") and Mark J. Sterling, M.D. (hereinafter "the Respondent").

#### III. JURISDICTION

The parties agree that the Board has the authority to enter into this Probation Agreement, and that the Board may enforce the terms of this Agreement in accordance with applicable laws and regulations and the provisions of this Agreement.

#### IV. CONDITIONS OF PROBATION

During the probationary period, which shall be effective on the date the Board accepts this Agreement, the Respondent shall comply with each of the following requirements:

A. The Respondent agrees to undergo monitoring by the Board for at least five years from the date of the Board's acceptance of this Agreement and for such further period thereafter as the Board shall for reasonable cause order. Any periods during which the Respondent is not practicing medicine, during the probationary period, shall extend the probationary period. No early termination of the Agreement will be allowed.

B. The Respondent has undergone a sleep study and a physical examination. The Respondent agrees to comply with the recommendations of his

healthcare providers. The Respondent further agrees to provide sufficient authorizations to allow the Board to communicate with his healthcare providers. The Respondent also agrees to have his healthcare providers submit annual reports to the Board, documenting his fitness to practice medicine. The Respondent agrees to submit to the Board the names of the healthcare providers that will fulfill these obligations.

C. The Respondent has undergone an assessment by Physician Health Services and agrees to comply with, and successfully complete any recommendations made by Physician Health Services.

D. All agreements whereby third parties are to provide written reports, releases, records or any other information to the Board under this Probation Agreement shall be submitted to the Board for approval within thirty (30) days after the Probation Agreement is approved by the Board. All such releases and agreements must, in addition to waiving any relevant state law privileges or immunities, provide the Board with access to all material covered by 42 CFR, Part 2, and the Criminal Offender Records Information (CORI) Act, so-called, M.G.L. c. 6, §§ 167-178; all such releases and agreements must provide that the released party shall notify the Board if any waiver is withdrawn. In the event that any such releases or waivers are not sufficient to obtain access to any information which the Board in its discretion considers relevant, the Respondent agrees to obtain personally such information and furnish it to the Board, to the extent permitted by law.

E. In the event that the Respondent seeks licensure to practice medicine in another state, the Respondent shall notify the Board of such fact and shall

disclose to the licensing authority in such state his probationary status with this Board. The Respondent shall submit to the Board copies of all correspondence and application materials submitted to another states' licensing authority.

F. In the event the Respondent should leave Massachusetts to reside or practice out of the state, the Respondent shall promptly notify the Board in writing of the new location as well as the dates of departure and return. Periods of residency or practice outside Massachusetts will not apply to the reduction of any period of the Respondent's probationary licensure, unless the Respondent enters into a monitoring agreement, approved by the Board, in the new location.

G. The Respondent shall appear before the Board or a committee of its members at such times as the Board may request, upon reasonable advance notice, commensurate with the gravity or urgency of the need for such meeting as determined by the Board or such committee.

H. The Respondent shall provide a complete copy of this Probation Agreement, with all exhibits and attachments within ten (10) days by certified mail, return receipt requested, or by hand delivery to the following designated entities: any in- or out-of-state hospital, nursing home, clinic, other licensed facility, or municipal, state, or federal facility at which he practices medicine; any in- or out-of-state health maintenance organization with whom he has privileges or any other kind of association; any state agency, in- or out-of-state, with which he has a provider contract; any in- or out-of-state medical employer, whether or not he practices medicine there; the Drug Enforcement Agency, Boston Diversion Group; Department of Public Health Bureau of Health Care Safety and Quality; and the state licensing boards of all states in which he has any kind of license to

practice medicine. The Respondent shall also provide this notification to any such designated entities with which he becomes associated for the duration of this Probation Agreement. The Respondent is further directed to certify to the Board within ten (10) days that he has complied with this directive. The Board expressly reserves the authority to independently notify, at any time, any of the entities designated above, or any other affected entity, of any action it has taken.

I. The Respondent may engage in the practice of medicine under conditions that the Board may impose. The Respondent may only practice medicine at Tufts Medical Center. The Respondent may not practice medicine at any other location until the Board has approved a practice plan. The Respondent has chosen Affiliated Monitors, Inc. ("AMI") as the entity to monitor his practice of medicine. Pursuant to its monitoring proposal, AMI will also ensure that the Respondent is complying with the recommendations of PHS and is engaging in continued therapy. AMI will submit quarterly reports to the Board. AMI will also immediately report any concerns to the Board.

J. The Respondent, and not the Board, shall be responsible for the payment of any fee or charge occasioned by the Respondent's compliance with this Probation Agreement.

K. The Respondent may request that the Board modify any of the conditions set forth above. The Board may, in its discretion, grant such modification. Except for requests for modifications related to the identities of the health care professionals referenced in Paragraphs B-D and the monitor referenced in Paragraph K, and the Respondent's employment, the Respondent may make

such a request not more than once in any one year period, nor any sooner than one year from the date of this Probation Agreement.

#### ۷. **TERMINATION OF PROBATION**

Α. If the Respondent complies with his obligations as set forth above, the Board, at the expiration of the five-year period, shall, upon petition by the Respondent, terminate the Respondent's probationary period and probation with the Board, unless the Respondent's probation is extended in accordance with paragraph IV(A).

Β. If the Respondent fails to comply with his obligations as set forth above, the Respondent's license to practice medicine may be immediately suspended, as agreed in Section I.

 $\frac{8/19/202}{\text{Date}}$ 

Attorney for the Respondent

\_\_\_day of <u>\_\_\_\_</u>day of \_\_\_\_\_ Accepted this <u>\_\_\_th</u> of Registration in Medicine. 2021, by the Board

George Abraham, M.D. Chair