

DEPARTMENT OF DEVELOPMENTAL SERVICES

LICENSURE AND CERTIFICATION

DDS FOLLOW-UP REPORT

Provider RFK Community Alliance Provider Address 971 Main St , Lancaster
 Survey Team Baldwin, Stephanie; Lunden, Eric; Date(s) of Review 16-JUN-25 to 17-JUN-25

Follow-up Scope and results :						
Service Grouping	Licensure level and duration	# Critical Indicators std. met/ std. rated at follow-up	# Indicators std. met/ std. rated at follow-up	Sanction status prior to Follow-up	Combined Results post-Follow-up; for Deferred, License level	Sanction status post Follow-up
Residential and Individual Home Supports 2 Locations 5 Audits	Defer Licensure	1/1	3/3	<input type="checkbox"/> Eligible for new business (Two Year License) <input checked="" type="checkbox"/> Ineligible for new business. (Deferred Status: Two year mid-cycle review License)	2 Year License with Mid-Cycle Review	<input checked="" type="checkbox"/> Eligible for New Business (80% or more std. met; no critical std. not met) <input type="checkbox"/> Ineligible for New Business (<=80% std met and/or more critical std. not met)
Employment and Day Supports 2 Locations 12 Audits	2 Year License	2/2	3/3	<input checked="" type="checkbox"/> Eligible for new business (Two Year License) <input type="checkbox"/> Ineligible for new business. (Deferred Status: Two year mid-cycle review License)	2 Year License with Mid-Cycle Review	<input checked="" type="checkbox"/> Eligible for New Business (80% or more std. met; no critical std. not met) <input type="checkbox"/> Ineligible for New Business (<=80% std met and/or more critical std. not met)

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Summary of Ratings

Residential and Individual Home Supports Areas Needing Improvement on Standard not met - Identified by DDS

Indicator #	L8
Indicator	Emergency Fact Sheets
Area Need Improvement	For eight individuals, Emergency Fact Sheets did not reflect current information, including medical conditions, medications, and relevant capabilities and preferences. The agency needs to ensure that Emergency Fact Sheets are current, accurately address all required information, and are available at the site where individuals receive services.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L12
Indicator	Smoke detectors
Area Need Improvement	At four placement homes, smoke and carbon monoxide detectors were not operational and/or located where required. At one residential location, the carbon monoxide detectors were not located within 10 feet of sleeping areas. The agency needs to ensure that smoke and carbon monoxide detectors are located where required and are operational.
Status at follow-up	All elements of the fire detection system including smoke and carbon monoxide detectors were in place and functional at all locations.
#met /# rated at followup	2/2
Rating	Met

Indicator #	L39
Indicator	Dietary requirements
Area Need Improvement	For two individuals with medical conditions that required special dietary considerations, staff had not been trained. The agency needs to ensure staff are trained on special diets required in managing individuals' medical conditions.
Status at follow-up	

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#met /# rated at followup	
Rating	Not Rated

Indicator #	L43
Indicator	Health Care Record
Area Need Improvement	For fourteen individuals, Health Care Records were not updated when significant medical information changed, including vaccinations, hospitalization and new diagnoses. The agency needs to ensure that Health Care Records are updated annually or when significant medical information changes throughout the year.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L56
Indicator	Restrictive practices
Area Need Improvement	For one individual, a restrictive practice in place did not include a plan to fade over time. For another individual, there was no mitigation plan in place as the one impacted by a restriction nor notification to the guardian, and for a third individual there was no indication the HRC had reviewed the restriction.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L63
Indicator	Med. treatment plan form

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Area Need Improvement	For fourteen individuals, medication treatment plans did not address required elements and/or the plan was not incorporated into the individual's ISP. The agency needs to ensure that medication treatment plans are developed for medications prescribed to control individuals' behaviors. This includes identifying the behaviors for treatment in observable and measurable terms, specific procedures to minimize risks of taking the medication, clinical indications for adjusting the medication, and frequency of data collection. In addition, medications prescribed to reduce anxiety prior to medical appointments and treatments must include strategies to assist the individuals in reducing or eliminating the need for the medication over time.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L64
Indicator	Med. treatment plan rev.
Area Need Improvement	For eight individuals, medication treatment plans were not incorporated into individuals' ISPs. The agency needs to ensure that the individual's medication treatment plans is shared with the DDS Service Coordinator for review by the ISP team and for incorporation into the individual's ISP.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L67
Indicator	Money mgmt. plan
Area Need Improvement	None of the twenty-two individuals reviewed had written agreement from the individual and/or guardian to the funds management plans in place. Additionally, the plans were not individualized to address the specific needs and support given to each of the individuals with financial management. The agency needs to develop funds-management plans that outline the roles and responsibilities of the agency in supporting individuals to manage and spend their personal funds. These plans must be individualized, and if supported by the individual's ISP, they need to include a training plan to reduce the need for assistance. Additionally, funds management plans are subject to annual written agreement from the individual or his/her guardian.
Status at follow-up	

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#met /# rated at followup	
Rating	Not Rated

Indicator #	L87
Indicator	Support strategies
Area Need Improvement	For seven individuals, provider support strategies were not submitted to DDS at least 15 days prior to the ISP. The agency needs to ensure that provider support strategies are submitted to DDS at least 15 days prior to the ISP.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L91
Indicator	Incident management
Area Need Improvement	At fourteen locations, incidents were not submitted or reviewed within the required timelines. The agency needs to ensure that incident reports are submitted and reviewed within the required timelines.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L99 (05/22)
Indicator	Medical monitoring devices
Area Need Improvement	For two individuals, required documentation was not in place for the use of CPAP machines. When medical monitoring devices are in use, the agency needs to ensure the device is authorization and must include instructions for applying and using the device, along with instructions for the care and cleaning of the device as well as frequency of safety checks.
Status at follow-up	
#met /# rated at followup	

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Rating	Not Rated
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Employment and Day Supports Areas Needing Improvement on Standard not met - Identified by DDS

Indicator #	L1
Indicator	Abuse/neglect training
Area Need Improvement	For three individuals, annual training was not provided on how to report allegations of abuse or neglect to the Disabled Persons Protection Commission (DPPC). The agency needs to ensure that all individuals are trained annually on how to report abuse or neglect to DPPC.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L49
Indicator	Informed of human rights
Area Need Improvement	For three individuals, annual training on human rights and in the agency's grievance procedures had not been provided. The agency needs to ensure that all individuals are trained annually on human rights and on how to file a grievance.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L67
Indicator	Money mgmt. plan

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Area Need Improvement	For one individual requiring assistance with the management of money, there was no funds management plan in place outlining supports needed and given. The agency needs to develop funds-management plans that outlines the roles and responsibilities of the agency in supporting individuals to manage and spend their personal funds. These plans must be individualized, and if supported by the individual's ISP, they need to include a training plan to reduce the need for assistance. Additionally funds management plans are subject to annual written agreement from the individual or his/her guardian.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L86
Indicator	Required assessments
Area Need Improvement	For one individual, ISP assessments were not submitted to DDS at least 15 days prior to the ISP. The agency needs to ensure that ISP assessments are submitted to DDS at least 15 days prior to the ISP.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L87
Indicator	Support strategies
Area Need Improvement	For two individuals, provider support strategies were not submitted to DDS within 15 days prior to the ISP. The agency needs to ensure that provider support strategies are submitted to DDS within 15 days prior to the ISP.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L91
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Indicator	Incident management
Area Need Improvement	At one location, incidents were not submitted or reviewed within required timelines. The agency needs to ensure that incident reports are submitted and reviewed within the DDS required timelines.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L93 (05/22)
Indicator	Emergency back-up plans
Area Need Improvement	For ten individuals, emergency back-up plans were not developed or shared with the individual. The agency needs to ensure that back-up plans for emergencies and/or disasters are developed and shared with individuals and the staff who support them.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Administrative Areas Needing Improvement on Standard not met - Identified by DDS

Indicator #	L4
Indicator	Action taken
Area Need Improvement	For six investigations reviewed, follow-up on action plans issued by the DDS area office had not been submitted within the area office's designated timeframe. The agency needs to ensure when responding to actions issued by the DDS area office, that the response is within the timeframe agreed upon.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

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Indicator #	L65
Indicator	Restraint report submit
Area Need Improvement	Twenty one of the fifty-five restraint reports submitted were not submitted within the required timelines. The agency needs to ensure that restraint reports are submitted and reviewed within the DDS required timelines.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated

Indicator #	L66
Indicator	HRC restraint review
Area Need Improvement	Twenty of the forty restraint reports submitted were not reviewed by the human rights committee within the required 120 days. The agency needs to support its human rights committee to review restraint reports within the required 120-day timeframe.
Status at follow-up	
#met /# rated at followup	
Rating	Not Rated