

DEPARTMENT OF DEVELOPMENTAL SERVICES

LICENSURE AND CERTIFICATION

Mid-Cycle Review Final Report

Provider WESTPORT ASSOCIATES

Provider Address P.O. Box N565, Westport

Survey Team Condon, Kayla;

Date(s) of Review 08-APR-25 to 10-APR-25

Mid-Cycle Scope and results :					
Service Grouping	Licensure level and duration	# Indicators std. met/ std. rated at Mid-Cycle	Sanction status prior to Mid-Cycle	Combined Results post-Mid-Cycle;	Sanction status post Mid-Cycle
Residential and Individual Home Supports 2 Locations 5 Audits	Defer Licensure	14/15	<input checked="" type="checkbox"/> Eligible for new business <input type="checkbox"/> Ineligible for new business.	2 Year License with Mid-Cycle Review 73/74 (98.65%)	<input checked="" type="checkbox"/> Eligible for New Business (80% or more std. met; no critical std. not met) <input type="checkbox"/> Ineligible for New Business (<=80% std met and/or more critical std. not met)

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Summary of Ratings

Organizational Areas Needing Improvement on Standards not met:

Indicator #	L48
Indicator	HRC
Area Need Improvement	The HRC did not have a medical representative present for six of eight meetings. The agency needs to ensure the HRC has all required committee members.
Status at mid-cycle	The HRC has added a member with medical expertise who attended three of the past four meetings. The HRC continues to have a strong membership of individuals supported by the agency as well as members with the required expertise.
#met /# rated at mid-cycle	1/1
Rating	MET

Indicator #	L76
Indicator	Track trainings
Area Need Improvement	Three of four staff reviewed did not have the required incident reporting training. The agency needs to ensure all staff have the mandated trainings.
Status at mid-cycle	The agency has ensured that training on incident reporting occurs for all staff. Four of four staff reviewed had all the required trainings.
#met /# rated at mid-cycle	4/4
Rating	MET

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Residential and Individual Home Supports Areas Needing Improvement on Standards not met:

Indicator #	L7
Indicator	Fire Drills
Area Need Improvement	At two locations awake fire drills were not conducted at the minimum staffing ratio noted in the Safety Plan. The agency needs to ensure all fire drill are conducted with the noted minimum staff to individual ratios.
Status at mid-cycle	At both locations fire drills were conducted as required at the minimum staff ratio noted in the safety plan.
#met /# rated at mid-cycle	2/2
Rating	MET

Indicator #	L15
Indicator	Hot water
Area Need Improvement	The hot water exceeded 120 degrees at one location. The agency needs to ensure hot water temperature tests between 110 and 120 degrees.
Status at mid-cycle	At both locations, water temperature were within the allowable limits.
#met /# rated at mid-cycle	2/2
Rating	MET

Indicator #	L16
Indicator	Accessibility
Area Need Improvement	The toilet was not accessible via Hoyer lift or physical transfer to one of the individuals at one of the two locations reviewed. The agency needs to ensure that the home is accessible to each individual's needs.

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Status at mid-cycle	<p>At one location the home was adapted and accessible to the needs of the individuals.</p> <p>The toilet remains inaccessible to one of the individuals at another location. The agency has evaluated potential solutions, but none were effective for the individual's needs. At this time the individual and guardian would prefer to utilize an alternative to the toilet to ensure the individual is able to remain in their home. This location was not included in the scoring of this rating due to the earnest attempts to rectify the issue.</p> <p>The agency should continue to periodically assess if there are any new options available for the individual. Should their needs increase more, or the accessibility of the bathroom become a significant barrier to them or others in the home, more extensive options such as a bathroom renovation will need to be considered in consultation with the Area Office.</p>
#met /# rated at mid-cycle	1/1
Rating	MET

Indicator #	L35
Indicator	Preventive screenings
Area Need Improvement	Two of three individuals had not received or have preventative screenings/vaccinations discussed with their HCP. The agency needs to ensure that preventative screenings and vaccinations are discussed with the HCP and occur based on their recommendations.
Status at mid-cycle	The agency has increased their oversights to ensure that preventative screens are discussed with the HCP and occur as recommended and with individual and/or guardian agreement. Four of five individuals had received preventative screenings based on their HCP recommendations.
#met /# rated at mid-cycle	4/5

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Rating	MET
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Indicator #	L38
Indicator	Physician's orders
Area Need Improvement	For one of two individuals, staff were not knowledgeable regarding a healthcare management protocol. The agency needs to ensure that when a healthcare management plan is necessary, that all staff are trained and knowledgeable.
Status at mid-cycle	For the four individuals with healthcare management protocols, the protocols had all the required components and staff were trained and knowledgeable in the required actions steps.
#met /# rated at mid-cycle	4/4
Rating	MET

Indicator #	L61
Indicator	Health protection in ISP
Area Need Improvement	There was not authorization for health-related protective equipment for three individuals. The agency needs to ensure that when health related protective equipment is required that it is authorized by a licensed provider.
Status at mid-cycle	For five individuals, there was authorization by the HCP for all health-related protective equipment that the individual requires.
#met /# rated at mid-cycle	5/5
Rating	MET

Indicator #	L64
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Indicator	Med. treatment plan rev.
Area Need Improvement	The medication treatment plan was not included in the ISP. The agency needs to ensure medication treatment plans are reviewed by the required groups.
Status at mid-cycle	For one of three individuals, the medication treatment plan was not included in the ISP. The agency needs to ensure medication treatment plans are reviewed by the required groups.
#met /# rated at mid-cycle	2/3
Rating	NOT MET

Indicator #	L67
Indicator	Money mgmt. plan
Area Need Improvement	The agency had not obtained agreement from the guardian or individuals for three money management plans. For one plan, it did not describe the system for supporting the individual with their funds. The agency needs to ensure that there is a written plan in place when the agency has shared or delegated money management responsibility and that it obtains agreement for these plans by the guardian/individual.
Status at mid-cycle	Agreement from the guardian or individual was present for all five money management plans that were reviewed.
#met /# rated at mid-cycle	5/5
Rating	MET

Indicator #	L68
Indicator	Funds expenditure

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Area Need Improvement	For one of three individuals, funds were used for household expenses. The agency needs to ensure expenditures of an individual's funds are made only for purposes that directly benefit the individual.
Status at mid-cycle	For five individuals, funds were used for things the individual would want/need and purposes that directly benefit the individual.
#met /# rated at mid-cycle	5/5
Rating	MET

Indicator #	L69
Indicator	Expenditure tracking
Area Need Improvement	A gift card was not tracked for one of three individuals. The agency needs to ensure that all funds, including gift card, are documented and tracked.
Status at mid-cycle	The agency has implemented a tracking system for all gift cards. All funds, including gift cards, were tracked and receipts present for all purchases, for all five individuals reviewed.
#met /# rated at mid-cycle	5/5
Rating	MET

Indicator #	L82
Indicator	Medication admin.
Area Need Improvement	At one of two locations non-licensed staff were administering insulin. Additionally, staff were utilizing a glucometer and had not been trained per MAP policy. The agency needs to ensure that medications are administered by licensed professional staff or by MAP certified staff with additional trainings provided as needed.

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Status at mid-cycle	The agency has adjusted their practices to ensure that insulin is only administered by a licensed professional. Staff were trained in the proper use of a glucometer and an epi-pen per MAP policy. All staff administering medication were MAP certified.
#met /# rated at mid-cycle	2/2
Rating	MET

Indicator #	L84
Indicator	Health protect. Training
Area Need Improvement	Staff were not trained in the correct utilization of individuals health-related protective equipment. The agency needs to ensure staff are trained in the correct utilization of all health-related protections.
Status at mid-cycle	For five individuals, staff were trained in the correct utilization of all the individuals' health-related protective equipment.
#met /# rated at mid-cycle	5/5
Rating	MET

Indicator #	L94 (05/22)
Indicator	Assistive technology
Area Need Improvement	One of three individuals had not been assessed to determine if assistive technology (AT) could be used to maximize their independence, nor was any AT being implemented. The agency needs to ensure individuals have assistive technology to maximize independence.
Status at mid-cycle	Assistive technology (AT) assessments were completed for all five individuals to determine areas where additional support may be needed. When AT was appropriate it was being utilized.

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#met /# rated at mid-cycle	5/5
Rating	MET