

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
A.A. Dority Company, Inc.	Producer	7621	Placed surety bonds while unlicensed	Settlement Agreement: \$12,000 fine, Cease & Desist from violations	12/06/10
Allianz Aviation Managers, LLC	Producer	6933	Placed policies while unlicensed	Settlement Agreement: \$250 fine, Cease & Desist from violations	11/22/10
Associations Underwriters, Inc.	Producer	7415	Placed policies while unlicensed	Settlement Agreement: Cease & Desist from violations	11/24/10
Brothers International Corporation	Company	7557	Placed policies while unlicensed	Settlement Agreement: \$200 Fine, Cease & Desist from violations	12/20/10
Camden Fire Insurance Associates	Company	7050	Placed policies while unlicensed	Settlement Agreement: \$300 fine, Cease & Desist from violations	12/17/10
City Securities Corporation	Producer	7534	Placed policies while unlicensed	Settlement Agreement: \$200 fine, Cease & Desist from violations	11/04/10
Cronin, Daniel William Jr.	Producer	6094	Failed to make proper disclosure on licensing application(s), used fraudulent, coercive or dishonest practices, forged another's name to an application for insurance	Settlement Agreement: License Revocation, Cease & Desist from violations	10/18/10
Flaherty Insurance Agency, Inc.	Producer	7307	Issued false certificate of insurance	Settlement Agreement: \$1,000 fine, Cease & Desist from violations	11/17/10

Goetz Insurors	Producer	6674	Failed to timely report administrative action(s).	Settlement Agreement: Cease & Desist from Violations	12/29/10
Gerrior, Jr., Raymond Paul	Producer	6298 & 6402	Demonstrated incompetence or untrustworthiness in the conduct of business by submitting a false document to the Division	Administrative Decision: Suspension of license for 18 months, \$1,000 fine	12/08/10
Gremesco of Massachusetts, LLC	Producer	7417	Placed policies while unlicensed	Settlement Agreement: Cease & Desist from violations	11/09/10
Gunderman & Gunderman, Inc.	Producer	7505	Placed policies while unlicensed	Settlement Agreement: \$150 fine, Cease & Desist from violations	10/14/10
ICI Insurance Claims, Inc./Insurance Claims, Inc. & Lynch, Christopher J.	Adjuster	6157	Adjusted without a license	Consent Agreement: \$4,000 fine, Cease & Desist from violations	12/16/10
International Excess Agency, Inc.	Producer	7422	Placed surplus lines policies while unlicensed	Settlement Agreement: \$450 fine, Cease & Desist from violations	10/25/10
John R. Sharry d/b/a Group Benefit Strategies	Adviser	5818C	Advised while unlicensed	Settlement Agreement: \$44,000 fine, Cease & Desist from violations	10/06/10
M3 Financial, Inc.	Producer	6715	Placed policies while unlicensed	Settlement Agreement: Cease & Desist from violations	10/08/10
McDonough Insurance, LLC	Producer	7159	Placed policies while unlicensed	Settlement Agreement: \$2,250 fine, Cease & Desist from violations	11/09/10

MMGRI, LLC	Producer	7574	Placed policies while unlicensed	Settlement Agreement: \$100 fine, Cease & Desist from violations	10/26/10
Nally Insurance, Inc.	Producer	7228	Placed policies while unlicensed	Settlement Agreement: \$8,000 fine, Cease & Desist from violations	11/05/10
Neace & Associates Insurance Agency of Ohio, Inc.	Producer	7584	Placed policies while unlicensed	Settlement Agreement: \$650 fine, Cease & Desist from violations	12/06/10
Patriot Insurance Agency, Inc.	Producer	7381	Placed policies while unlicensed	Settlement Agreement: \$5,000 fine, Cease & Desist from violations	11/22/10
Perlroth, Morton	Producer	6318	Failed to timely report administrative action(s), failed to make proper disclosure on licensing application(s)	Settlement Agreement: Cease & Desist from violations	10/14/10
Phelan Insurance Agency, Inc.	Producer	7587	Placed policies while unlicensed	Settlement Agreement: \$150 fine, Cease & Desist from violations	11/29/10
Sadick Public Insurance Adjusters, Inc.	Adjuster	7284	Adjusted without a license	Settlement Agreement: \$6,000 fine, Cease & Desist from violations.	12/30/10
Scott Five Insurance Agency, LLC	Producer	7492	Placed policies while unlicensed	Settlement Agreement: \$120 fine, Cease & Desist from violations	10/10/10
Stuckey and Company, Inc.	Producer	6439A	Placed policies while unlicensed	Settlement Agreement: \$4,000 fine, Cease & Desist from violations	11/30/10
Sullivan Insurance & Financial, Inc.	Producer	7221	Placed policies while unlicensed	Settlement Agreement: \$250 fine, Cease & Desist from violations	10/18/10


