

## SPECIAL INVESTIGATIONS UNIT – 1st QUARTER 2015

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Boring, Leonard Allen	Non-Resident Producer	9048	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	3/11/15
Brasseur, Gregg L.	Non-Resident Producer	8993	Late Notice of Administrative Action	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	3/30/15
Brunswick Insurance Agency, Inc.	Non-Resident Producer	9000	Late Notice of Administrative Action	Settlement Agreement: Cease & Desist from Violation	2/18/15
Gulde Cook Insurance Agency, LLC	Producer	9041	Placed or renewed policies while unlicensed	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	3/16/15
Hebert, Bonney J.	Producer	8397	Failure to remit premium	Settlement Agreement: Permanent License Revocation; Cease & Desist from all insurance activity	1/15/15

## SPECIAL INVESTIGATIONS UNIT – 1st QUARTER 2015

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Henry O. Baker, Inc.	Non-Resident Producer	8988	Failure to Report Administrative Action	Settlement Agreement: \$750 Fine; Cease & Desist from Violation	2/24/15
Ippolito, Michael	Producer	8136A	Unfair Trade Practices	Settlement Agreement: Permanent License Revocation; Cease & Desist from all insurance activity	1/12/15
Link-Allen & Associates, Inc.	Non-Resident Producer	9044	Misrepresentation on license application	Settlement Agreement: \$750 Fine; Cease & Desist from Violations	1/30/15
Marechal, Daniel	Producer	8341C	Continuing Education Non-Compliance	Hearing Officer Decision: License Suspension	1/8/15
Mullen, Michael Guy	Producer	8975	Trustworthiness	Settlement Agreement: License Revocation; Cease & Desist from all insurance activity	3/25/15

## SPECIAL INVESTIGATIONS UNIT – 1st QUARTER 2015

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Wallace, James W.	Non-Resident Producer	9018	Late Notice of Administrative Action	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	2/11/15