

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Rowan, William T.	Producer	4014A/ 4455/ 4490/ 4639	Failed to remit premiums, issued false certificates of insurance, failed to place coverage	Administrative Decision: License Revocation; \$17,000 fine, cease & desist from all insurance activity	10/02/06
Kranberg, Ervin Arnold	Producer	5321	Failed to report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	10/03/06
Capital Benefit Insurance Associates	Producer	5621	Placed policies while unlicensed	Settlement Agreement: \$400 civil penalty, cease & desist from violations	10/18/06
Massachusetts Insurance Agency, Inc.	Producer	5639	Failure to make proper disclosure on licensing application(s)	Settlement Agreement: \$2,000 fine, cease & desist from violations	10/30/06
J.F.S. Insurance Agency, Inc.	Producer	5596	Placed policies while unlicensed	Settlement Agreement: \$100 civil penalty, cease & desist from violations	11/01/06
Atwood, Barry D.	Producer	5589	Failure to make proper disclosure on licensing application(s)	Settlement Agreement: \$50 fine, cease & desist from violations	11/07/06
Clarendon National Ins. Co.	Company	4705	Issued unapproved policy endorsements	Settlement Agreement: \$2,500 administrative assessment, cease & desist from violations	11/10/06

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Randall, Chris	Producer	5668A	Failed to report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	11/16/06
National Specialty Underwriters, Inc.	Producer	5668B	Failed to report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	11/16/06
Reilly, Terrance P.	Producer	5632	Failed to report administrative action(s)	Settlement Agreement: \$200 fine, cease & desist from violations	11/27/06
Games, Stephen P.	Producer, Public Insurance Adjuster	4515	Failure to make proper disclosure on licensing application(s)	Settlement Agreement: \$1,500 civil penalty, cease & desist from violations	11/27/06
Epstein, Richard A.	Producer	5400	Failure to make proper disclosure on licensing application(s), failure to report administrative action(s)	Settlement Agreement: \$2,000 civil penalty, cease & desist from violations	11/27/06
Willis of Pennsylvania Insurance Services	Producer	5608C	Failed to report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	11/27/06
Burke Public Adjusters, Inc.	Public Insurance Adjuster	5468	Entered into public insurance adjuster contracts while unlicensed	Settlement Agreement: \$3,000 civil penalty, cease & desist from violations	11/29/06
Simmons, Catherine Budvarson	Producer	5595	Failed to report administrative action(s)	Settlement Agreement: \$200 fine, cease & desist from violations	11/30/06

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Laurie, Christopher Allen	Producer	5687	Failed to report administrative action(s)	Settlement Agreement: \$150 fine, cease & desist from violations	12/05/06
Breed's Hill Insurance Agency, Inc.	Producer	5653	Placed policies while unlicensed	Settlement Agreement: \$50,000 civil penalty, cease & desist from violations	12/18/06
Beshaw, Darlene F.	Producer	4371	Trustworthiness	Settlement Agreement: \$500 fine, cease & desist from violations	12/18/06
Combined Insurance Company of America	Company	5228	Unfair Claim Settlement Practice	Settlement Agreement: \$3,000 fine, cease & desist from violations	12/18/06
RPG Insurance Administrators, Inc.	Producer	5709	Placed policies while unlicensed	Settlement Agreement: \$50 fine, cease & desist from violations	12/19/06
Front Page Insurance Agency, Inc.	Producer	5119	Placed policies while unlicensed	Settlement Agreement: \$825 fine, cease & desist from violations	12/19/06
Ionescu, Gabriel	Producer	5628	Failed to report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	12/20/06
Franklin, Richard, Jr.	Producer	5584	Placed policies while unlicensed	Settlement Agreement: \$225 civil penalty, cease & desist from violations	12/20/06

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Holub, Louis Jude	Producer	5146	Failure to make proper disclosure on licensing application(s), failure to report administrative action(s)	Settlement Agreement: \$750 fine, cease & desist from violations	12/20/06
Lehner, Diane Juanita	Producer	5686	Failed to report administrative action(s)	Settlement Agreement: \$250 civil penalty, cease & desist from violations	12/20/06
Gordon & Gordon Adjusters, Inc./Post, Matthew	Public Insurance Adjuster	5215	Engaged in public insurance adjusting in a name not on its license	Consent Agreement: \$3,500 fine, cease & desist from violations	12/20/06
Fell, Jessica Marie	Producer	5581	Failed to report administrative action(s)	Settlement Agreement: \$150 fine, cease & desist from violations	12/22/06
Polk, Dana B.	Producer	4879	Failure to make proper disclosure on licensing application(s), failure to report administrative action(s)	Settlement Agreement: \$250 fine, cease & desist from violations	12/22/06
Argonaut Great Central Ins. Co.	Company	5666	Placed policies while unlicensed	Settlement Agreement: \$250 administrative assessment, cease & desist from violations	12/28/06
Jesup & Lamont Securities Corporation	Producer	5510	Placed policies while unlicensed, failed to respond to Division requests for information	Settlement Agreement: \$500 civil penalty, cease & desist from violations	12/28/06

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Brown, Eric James	Producer	5461	Failed to report administrative action(s)	Administrative Decision: License Revocation; \$1,000 fine, cease & desist from all insurance activity	12/20/06