

SPECIAL INVESTIGATIONS UNIT – 2nd QUARTER 2015

<u>Licensee Name</u>	<u>Type of License</u>	<u>Case No.</u>	<u>Primary Allegation(s)</u>	<u>Disposition</u>	<u>Effective Date</u>
Best, Richard Taylor	Non-Resident Producer	9045	Misrepresentation on License Application	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	4/11/15
Coscia, Richard R.	Producer	8996	Misrepresentation on License Application	Settlement Agreement: \$5,000 Fine; Cease & Desist from Violation	4/23/15
Dean Insurance Agency, Inc.	Non-Resident Producer	9040	Placed or renewed policies while unlicensed	Settlement Agreement: \$200 Fine; Cease & Desist from Violation	5/8/15
Dressander BHC, Inc.	Non-Resident Producer	8872	Placed or renewed policies while unlicensed	Settlement Agreement: \$750 Fine; Cease & Desist from Violation	5/18/15
George, Joseph John	Producer	9109	Misrepresentation on License Applicatoin	Settlement Agreement: \$750 Fine; Cease & Desist from Violation	6/30/15
Insurance Designers of Colorado, Inc.	Non-Resident Producer	8928X	Misrepresentation on License Application	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	4/9/15

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Jordan, Christopher M.	Non-Resident Producer	9086	Late Notice of Administrative Action	Settlement Agreement: Cease & Desist from Violation	6/5/15
Kelly, William A. Jr.	Non-Resident Producer	9025	Late Notice of Administrative Action	Settlement Agreement: \$250 Fine; Cease & desist from violation	4/30/15
Peninsula Excess Insurance Brokers, Inc.	Non-Resident Producer	9052	Placed policies while unlicensed	Settlement Agreement: \$150 Fine; Cease & Desist from Violation	6/17/15
Perry, Mollie Ann	Non-Resident Producer	8942	Termination for Cause; Misrepresentation on License Application	Settlement Agreement: Permanent License Revocation; Cease & Desist from Violation	4/28/15
Power Group Company	Non-Resident Producer	9072	Placed or Renewed Policies While Unlicensed	Settlement Agreement: \$250 Fine; Cease & Desist from Violation	4/30/15
The Buckner Company	Non-Resident Producer	8951	Failure to Report Administrative Actions; Misrepresentation on License Application	Settlement Agreement: \$500 Fine; Cease & Desist from Violation	4/1/15

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Vaughan, Mark R.	Non-Resident Producer	8966	Late Notice of Criminal Prosecution	Settlement Agreement: Permanent License Revocation; Cease & Desist from Violation	6/23/15