MANAGEMENT AND OPERATIONS PROFILE
Request for a Certificate to Registration to
Operate a Registered Marijuana Dispensary

INSTRUCTIONS

This application form is to be completed by a non-profit corporation that wishes to apply for a Certificate of Registration to operate a Registered Marijuana Dispensary ("RMD") in Massachusetts, and has been invited by the Department of Public Health (the "Department") to submit a Management and Operations Profile.

Once invited by the Department to submit a Management and Operations Profile, the applicant must submit the Management and Operations Profile within 45 days from the date of the invitation letter, or the applicant must submit a new Application of Intent and fee.

If invited by the Department to submit a Management and Operations Profile for more than one proposed RMD, you must submit a separate Management and Operations Profile, attachments, and application fee for each proposed RMD. Please identify each application of multiple applications by designating it as Application 1, 2 or 3 in the header of each application page. Please note that no executive, member, or any entity owned or controlled by such an executive or member, may directly or indirectly control more than three RMDs.

However, even if submitting a Management and Operations Profile for more than one RMD, an applicant need only submit one background check packet, including authorization forms for all required individuals, and fee associated with the background checks.

Unless indicated otherwise, all responses must be typed into the application forms. Handwritten responses will not be accepted. Please note that character limits include spaces.

Attachments should be labelled or marked so as to identify the question to which it relates.

Each submitted application must be a complete, collated response, printed single-sided, and secured with a binder clip (no ring binders, spiral binding, staples, or folders).
Mail or hand-deliver the *Management and Operations Profile*, with all required attachments, the $30,000 application fee, and completed Remittance Form to:

Department of Public Health  
Medical Use of Marijuana Program  
RMD Applications  
99 Chauncy Street, 11th Floor  
Boston, MA 02111

All fees are non-refundable and non-transferable.

REVIEW

Applications are reviewed in the order they are received.

After a completed application packet and fee is received by the Department, the Department will review the information and will contact the applicant if clarifications/updates to the submitted application materials are needed. The Department will notify the applicant whether they have met the standards necessary to be invited to submit a *Siting Profile*.

PROVISIONAL CERTIFICATE OF REGISTRATION

Applicants have one year from the date of the submission of the *Management and Operations Profile* to receive a Provisional Certificate of Registration. If an applicant does not receive a Provisional of Certificate of Registration after one year, the applicant must submit a new *Application of Intent* and fee.

REGULATIONS

For complete information regarding registration of an RMD, please refer to 105 CMR 725.100.

It is the applicant’s responsibility to ensure that all responses are consistent with the requirements of 105 CMR 725.000, et seq., and any requirements specified by the Department, as applicable.

PUBLIC RECORDS

Please note that all application responses, including all attachments, will be subject to release pursuant to a public records request, as redacted pursuant to the requirements at M.G.L. c. 4, § 7(26).

QUESTIONS

If additional information is needed regarding the RMD application process, please contact the Medical Use of Marijuana Program at 617-660-5370 or RMDapplication@state.ma.us.
CHECKLIST

The forms and documents listed below must accompany each application, and be submitted as outlined above:

☐ A fully and properly completed Management and Operations Profile, signed by an authorized signatory of the applicant non-profit corporation (the “Corporation”)

☐ A copy of the Corporation’s Articles of Incorporation

☐ A copy of the Corporation’s Certificate of Good Standing from the Massachusetts Secretary of State

☐ A copy of the Corporation’s bylaws

☐ An Employment and Education form (use template provided) for each of the following individuals: The Corporation’s Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations

☐ A bank or cashier’s check made payable to the Commonwealth of Massachusetts for $30,000

☐ A completed Remittance Form (use template provided)

☐ A sealed envelope with the name of the Corporation and marked “authorization forms,” that contains the background check authorization forms (use forms provided) and fee, for each of the following actors:

  • Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, authorizations forms must be completed and signed by the entity’s Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.
SECTION A. APPLICANT INFORMATION

1. Legal name of Corporation
   Mission Massachusetts, Inc.

2. Name of Corporation’s Chief Executive Officer
   Andrew Fisher Thut
   369 Elm Street
   Concord, MA 01742

3. Address of Corporation (Street, City/Town, Zip Code)
   Andrew Fisher Thut

4. Applicant point of contact (name of person Department of Public Health should contact regarding this application)
   (617) 413-0069

5. Applicant point of contact’s telephone number
   andrew@4frontventures.com

6. Applicant point of contact’s e-mail address

7. Number of applications: How many Management and Operations Profiles do you intend to submit?
   3

SECTION B. INCORPORATION

8. Attach a copy of the corporation’s Articles of Incorporation, documenting that the applicant is a non-profit entity incorporated in Massachusetts.

9. Attach a copy of the corporation’s Certificate of Good Standing from the Massachusetts Secretary of State.

10. Attach a copy of the corporation’s bylaws.
SECTION C. NON-PROFIT COMPLIANCE

Answer each of the questions below to explain how the Corporation will remain in compliance with the non-profit requirements of Ch. 369 of the Acts of 2012, the regulations at 105 CMR 725.000, and “Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance.” Please refer to the “Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance" document in completing this form.

11. Please identify any management company that the applicant intends to utilize and summarize the terms of any agreement or contract, executed or proposed, with the management company.

RMD intends to enter into a management services agreement with 4Front Management Associates, LLC for the provision of back-office and administrative services. The Managers of 4Front Management Associates, LLC have years of extensive experience in providing advisory services, supporting core operating activities such as security, banking, bookkeeping/accounting and human resources to medical marijuana dispensaries in Arizona, Illinois, Nevada and California. RMD is in the process of negotiating an agreement with the service provider, the current draft of which proposes a cost-plus fee structure with a 20% markup for direct costs incurred by 4Front Management Associates on RMD’s behalf. In addition, the draft includes a termination provision allowing RMD the option to end the contract upon breach by the service provider, a mutual indemnity clause, and other standard commercial terms, including a payment deferral option until RMD is cash flow positive. Before entering into this agreement, the Board of Directors of RMD will carefully review it to ensure that the terms are fair to RMD and compare favorably to those available from alternative service providers.
12. Please identify any agreements or contracts, executed or proposed, in which the applicant will engage in a Related Party Transaction and summarize the terms of each such agreement.

RMD intends to enter agreements with related parties for the procurement of:

1) Best practices intellectual property and management training services with 4Front Advisors, LLC (includes upfront payment of $25,000 and a 5% licensing agreement tied to revenue);

2) Management services agreement with 4Front Management Associates, LLC (cost plus contract with a 20% markup on direct costs); and

3) Loan to support the capital needs from MMA Capital, LLC (18% interest rate).

4Front Ventures, Inc. is the sole member of 4Front Advisors, LLC and 4Front Capital, LLC. Three RMD directors own partial interests in 4Front Ventures. 4Front Management Associates, LLC and MMA Capital, LLC are partially owned by 4Front Capital, LLC.

RMD has adopted a Conflict of Interest Policy to govern related party transactions under which the disinterested directors review the terms of agreement and determine whether they are commercially reasonable and fair. The disinterested directors make this determination by exploring available alternatives and deciding whether the related party transaction is a comparatively good deal. RMD will not enter an agreement unless a majority of its disinterested directors vote in favor of doing so.
13. Please identify whether any members of the Board of Directors are also serving as employees of the proposed RMD and, if so, their title and role with the proposed RMD.

Andrew Thut is the CEO and COO of the RMD and on the Board of Directors. He is one of seven members of the Board and the only Board member-employee of the RMD.
14. Please identify whether any members of the Board of Directors are serving as officials, executives, corporate members or board members for any management company, investor or other third party proposed to contract or otherwise conduct business with the proposed RMD.

Kris Krane is the Managing Partner of 4Front Advisors, LLC. Additionally, Kris Krane, Andrew Thut and Shaleen Title are minority shareholders in 4Front Ventures, Inc., the parent company to 4Front Advisors, LLC and 4Front Capital, LLC. Kris Krane and Andrew Thut are on the executive committee at 4Front Ventures. These individuals represent three of the seven Board members for the RMD, leaving a majority (4) independent/disinterested Board members to negotiate and approve or deny related party transactions.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: AT

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15. Please identify any contract or agreement, executed or proposed, under which a percentage or portion of
the applicant’s revenue will be distributed to a third party and summarize the terms of any such
agreement or contract.

RMD intends to enter into a services and licensing agreement with 4Front Advisors, LLC to support the development
and maintenance of its operations. 4Front Advisors has invested heavily to develop best-in-class standard operating
procedures with an emphasis on regulatory compliance, supply chain management (strong focus on diversion
prevention), and patient education to support safe and consistent access to medical marijuana. The agreement proposes
a ten-year term with various cancellation provisions. In addition to an upfront fee equal to $25,000, it includes an
ongoing fee escalating to a maximum of 5% of the RMD’s revenue. While this agreement has yet to be approved by the
disinterested Board members, it’s important to point out that the agreement is consistent with the commercial terms of
4Front Advisors services and intellectual property as accessed by clients across the country. 4Front Advisors has also
provided the RMD a letter from an attorney specializing in nonprofit law outlining the commercial reasonableness of
the agreement based upon the purpose of the nonprofit RMD and the value of services provided.

The applicant agrees and attests that it will operate in compliance with all applicable state laws and
regulations, including, but not limited to, laws regarding child support and taxation, as well as the
“Guidance for Registered Marijuana Dispensaries Regarding Non-Profit Compliance.”

Signature of Authorized Signatory  9/9/2015
Andrew Fisher Thut  CEO/COO
Print Name of Authorized Signatory  Title of Authorized Signatory

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as
indicated by the initials of the authorized signatory here: AT
SECTION D. EXPERIENCE

16. Attach an Employment and Education form (use template provided) for each of the following individuals: The Corporation’s Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations.

17. Describe the experience, and length of experience, of the Corporation’s Chief Executive Officer, Chief Operations Officer, and Chief Financial Officer with running a non-profit organization or business.

Andrew Thut (CEO/COO):
Andrew was previously a Portfolio Manager at BlackRock, Inc. where he was responsible for client portfolios with assets in excess of $1.5 billion. In addition to his duties as a portfolio manager, during an 11-year tenure at BlackRock, Andrew’s responsibilities included managing the operations of the firm’s small cap growth platform. He was directly responsible for client marketing and service as well managing a team of trading research professionals. Andrew’s experience in the non-profit sector includes serving on the finance committee of his local church in Concord, MA, where he has volunteered to assist in the organization’s financial management for the last 4 years. The church’s finance committee is integral in setting and managing an annual budget of approximately $1 million. The budgeting process includes oversight and analysis of compensation packages for employees, insurance needs of the organization and the management and funding of capital projects. The finance committee is also responsible for overseeing an endowment of approximately $2 million, which entails monitoring the risk profile of the portfolio as well as managing disbursements in a financially sustainable manner.

Benjamin Smith (CFO):
N/A
18. Describe the experience, and length of experience, of the Corporation's Chief Executive Officer, Chief Operations Officer, and Chief Financial Officer with providing health care services.

Andrew Thut (CEO/COO):
Andrew has seen firsthand the ravaging effects that cancer has on the body. While he has a mother, brother and sister who are all cancer survivors, he has also watched an uncle and a childhood friend succumb to the disease. As his childhood friend struggled to manage the pain at the end of his life, Andrew was struck that his oncologist at a world renowned medical center suggested medical marijuana might be the best way to ease the pain and help with his appetite. After bearing witness to the therapeutic benefits of a plant that did so much to ease his friend's suffering, Andrew became interested the medical marijuana industry. As such, Mission Massachusetts, Inc. was founded with the patient in mind; to provide the highest quality medicine and care to those suffering from cancer and other debilitating ailments.

Benjamin Smith (CFO):
N/A
19. Describe the experience, and length of experience, of the Corporation’s Chief Executive Officer, Chief Operations Officer, and Chief Financial Officer with providing services for marijuana for medical purposes.

Andrew That (CEO/COO):
Andrew currently serves as the Chief Investment Officer of 4Front Ventures, a leading company in the emerging state-legal medical marijuana industry, overseeing 4Front’s investments in the market. For almost two years Andrew has been exposed to every facet of the industry. This has afforded him experience in the application process of numerous states, as well as hands on experience with dispensaries, cultivation facilities, regulatory compliance, and relevant financial drivers of the industry.

After spending a career investing in and advising small growth companies, Andrew is uniquely qualified to bring mainstream business experience and professionalism to the emerging industry of medical marijuana. Mission Massachusetts, Inc. will use tried and true best practices, policies and procedures in every aspect of their operation, from cultivation to customer care.

Benjamin Smith (CFO):
Ben brings his experience from the highly regulated trading industry and applies that expertise to operations and compliance in the medical marijuana industry. Before his transition to the medical marijuana industry, Ben was an Operations/Compliance Manager at Cadence Capital Management in Boston, MA where he managed accounts in excess of $5 billion.

Ben established a medical marijuana consulting firm, Greenfin, in December of 2014 and was engaged by Cure Colorado shortly after to provide consulting services to improve operational effectiveness. The scope of the project was to review the operational procedures currently in place and provide recommendations on process and policy for his dispensary staff that replicate the operations/compliance of a trading desk that is audited regularly.

In his capacity as a consultant with Cure, Ben also worked with their Master Grower tracking patient to plant ratios and streamlining their product processing and storage, including their inventory transportation procedures. He continues to advise Cure regarding their capturing of patient data, the retention of such data, and how to maintain such records to be in compliance with state law.
20. Describe the experience, and length of experience, of the Corporation’s individual/entity responsible for marijuana for medical use cultivation operations and individual/entity responsible for the RMD security plan and security operations with providing services for marijuana for medical purposes.

Security:
The Winnmill Group (TWG) has extensive knowledge of the medical marijuana security industry and is a leading expert on establishing nationally recognized best practices for security, including those for anti-diversion, risk management, employee safety training, local community outreach, and collaborative partnerships with law enforcement. TWG’s engagements include supporting multiple dispensaries and cultivation facilities located in Massachusetts including Plymouth and Quincy. TWG is also supporting ten other medical marijuana projects in Nevada, Illinois, and Colorado.

Headquartered in the Washington D.C. area, TWG’s team includes talented professionals drawn from high-level posts including former executives from the FBI, U.S. DEA, U.S. Department of Homeland Security, U.S. Navy, Massachusetts State Police, and the Boston Fire Department, among others. Prior to working in the MMJ industry, TWG has been providing homeland security and emergency planning consulting services and advice on tactical and strategic planning for state, local and the federal government for the past eight years. Notable projects included three Presidential Inaugurations, the G8 Summit, Republican National Convention, Democratic National Convention, and law enforcement intelligence training for New York, Boston, and Washington D.C., among others.

Germaine to Massachusetts’s nonprofit regulations, TWG also supported the Memorial Institute for the Prevention of Terrorism a 501(c)(3) non-profit organization which included business finance and management of over $15,000,000 in federal grant funds from the U.S. Department of Homeland Security.

Cultivation:
Hired as the assistant grower for a wholesale medical marijuana company in Colorado called Zengold’s Cannabis Wholesale, Cory Ravelsop learned virtually all aspects of the cultivation of marijuana, including and not limited to: setup of lighting, ventilation and co2 distribution, proper watering methods, how to create a soil blend and transplant plants from stage to stage (flipping cycles), brew nutrients and apply properly to plants at various stages of growth, manicure plants during growth cycles, measure pH levels of water and soil, and clean and sterilize all equipment. Within 10 months Cory was promoted to Manager of Distribution for Zengold’s.

After nearly a year of working in the cultivation center, Cory transitioned over to the associated medical cannabis dispensary called Headquarters MMC where he stayed for 3 years and was again promoted to General Manager. In this capacity, he continued to communicate directly with Master Grower to determine product grow cycles and played a major role in acquiring new strains. He also oversaw the budget reports and analysis of both the cultivation and retail facilities.

Cory’s experience has given him a high level of knowledge for both the cultivation and retail sides of the industry.
SECTION E. OPERATIONS

21. Provide a summary of the RMD’s operating procedures for the cultivation of marijuana for medical use.

We have designed our operations to reflect the very highest standards for medical marijuana cultivation management. We strive to demonstrate the highest levels of integrity in everything we do, and to exist as the standard-bearer among Massachusetts’ cultivation centers. In this spirit, MM has designed an eco-friendly, cleanroom facility equipped with next generation security equipment and protocols. The facility is strategically designed to yield a steady supply of organic, scientifically-screened (always consistent), professionally packaged medical marijuana raw flower and preparations.

Our facility’s operations are governed by detailed administrative plans, tools, and processes that we have developed with the assistance of industry leaders in medical marijuana cultivation center design and management, including training and human resources tools and systems to ensure strict compliance and high performance across the entire staff.

All phases of cultivation will take place in designated, locked, limited access areas that are monitored by a surveillance camera system in accordance with 105 CMR 725.110(D)(1)(d)-(i). All cultivation at MM will be consistent with U.S. Department of Agriculture organic requirements at 7 CFR Part 205. MM will ensure that all soil used for cultivation meets the U.S. Agency for Toxic Substances and Disease Registry’s Environmental Media Evaluation Guidelines for residential soil levels.

We employ a cultivation process called perpetual harvest. We have plants ready to harvest every week. This process keeps the flower production consistent, enables the growing staff to effectively manage contamination and/or infestation, and allows us to manage our processes to meet market demand.

We use soilless growth medium comprised of coconut shell shavings and perlite. This medium is sterile when it arrives and minimizes insects, thus reducing the need for insecticide. Our watering/fertigation process will be completely automated to minimize waste. We use a highly efficient reverse osmosis water filtration system, which minimizes waste water and waste nutrients. Our application of nutrients follows a “less is better” mentality to reduce water and nutrient waste.

All cultivation facility employees are highly trained on cultivation best practices. This training will include methods and procedures for identifying, treating, and/or removing contaminants such as mold, mildew, insects, fungi and other contaminates that would adversely affect the crop, reduce yields, and/or alter the quality of finished product. Inspections will be conducted throughout all phases of the growth process, including vegetation, flowering, trimming, drying, curing, and packaging.
22. Describe the types and forms of Marijuana Infused Products ("MIPs") that the RMD intends to produce, if any.

Our goal is to provide consistent and safe extracts for both the producer and the consumer. MM intends to make a variety of Marijuana Infused Products available to our patients. These products include:

- 12oz infused beverage
- 1oz infused sublingual tincture
- 9ml infused sub-lingual spray
- 12oz infused lotion
- Infused Edibles including, chocolate Bars, Cookies, Brownies, Mints, Capsules, Peach Rings, Gumdrops
- Vape Pen Oil Cartridges

Sublingual Tinctures are a one (1) oz agave based cannabis infused edible administered sublingually by the use of a dropper. Sublingual Tinctures offer patients the ability to micro-dose cannabinoids in measurements of 2-3 mg of active cannabinoids.

Cannabis Infused Lotions products are lab tested cannabis concentrate infused into a lotion or topical cream base to be applied directly to the skin, not meant for oral consumption.

Each chocolate bar will be comprised of four (4) segments that can be easily broken apart. Bars come in three (3) different dosages of THC (single = 50 mg, double = 100 mg, triple = 200 mg) and different flavor profiles, such as, Dark Chocolate, Milk Chocolate, Fire Chocolate, Cookies & Cream, Ice Chocolate, and Cherries & Cream Chocolate.

Cannabis Extract Vaporizer Cartridges are CO2 extracted cannabis oil that is lab tested for purity and accurate cannabinoid profile packaged in a cartridge ready to use in a vaporizer.
23. Provide a summary of the RMD’s methods of producing MIPs, if the RMD intends to produce MIPs.

We plan on utilizing traditional sieved extraction methods, both dry and water-assisted, as well as safe and environmentally friendly CO2 supercritical fluid extraction (SFE). Sifted extracts involve mechanical separation of the trichomes from the plant material. The trichomes contain the essential oils and cannabinoids of the plant. Once separated from the raw botanical material, the trichomes can then be used for vaporization and further processing into infused products and edibles. Ultimately, these extracts will be used to produce safe and desirable products, including hashish, oils, ointments, tinctures, sublingual sprays, medicated gels, and food products of consistent dose and cannabinoid profile.

All products, including marijuana-infused baked goods, capsules, tinctures and topical treatments, will be prepared in a processing facility that complies with the Good Manufacturing Practices for Food and food handlers, as well as the Reportable Diseases, Surveillance, and Isolation and Quarantine Requirements. MM will ensure that all processing of the leaves and flowers of the female marijuana plant will take place in a safe and sanitary manner. The leaves and flowers used for processing will be well cured, free of seeds, stems, dirt, sand, debris, and other foreign matter. In order to ensure sanitary conditions and prevent contamination, MM intends to construct multiple MIP processing areas.
24. Provide a summary of the RMD’s operating procedures for the provision for security at the RMD.

MM is committed to implementing sufficient security measures to deter and prevent unauthorized entrance into areas containing marijuana and theft of marijuana at our facility. In consultation with a leading security provider, we have developed a security plan that details medicine and assets will be secured against external threats.

MM will limit access to all areas where marijuana will be cultivated, processed and stored to authorized dispensary agents. MM will control access to secure areas through the use of access control devices, including biometric locks (thumbprint access), which will ensure that access is limited to only authorized personnel. All staff will visibly display a MM-issued identification card at all times while on-site and when making deliveries.

Visitor access will be strictly controlled. All outside vendors, contractors, and visitors must obtain and wear a visitor identification badge prior to entering any limited access area. All visitors will be escorted by a security associate escort at all times inside the enclosed, locked facility where marijuana is stored and/or cultivated. All visitors must be logged in and out; that log will be available for inspection by DPH at all times. All badges must be returned to the RMD upon exit.

All security personnel will be trained prior to deployment in industry standards and crime prevention. Security personnel will ensure that only eligible patients who comply with MM’s Code of Conduct and other dispensary policies are served and will patrol the area to ensure non-diversion and prevent use of medicine in public areas.

MM will use a Honeywell VISTA-20P security system to prevent and detect diversion, theft, or loss of marijuana, as well as unauthorized intrusion. This system allows for sending alarm signals via an Internet Protocol. MM will feature an alarm system on all perimeter entry points and windows, including glass breaks for each window, door contacts for each perimeter door, motion detectors, and strobe and siren interior and exterior alarms.

MM’s electronic monitoring system includes a failure notification system that provides an audio and visual notification should a failure in the system occur. Senior management will also receive email/text notification of the system failure within minutes after the failure.

Video cameras will be directed at all safes, vaults, sales areas, and areas where marijuana is cultivated, harvested, processed, prepared, stored, handled, or dispensed. In addition, exterior cameras will ensure monitoring of the property and surrounding area for suspicious behavior. Exterior cameras will be weather and vandal-proof and equipped and use a 2.8-12mm varifocal lens. Interior cameras will use a 3.6mm fixed lens. All cameras will be equipped with low-light illumination, digital wide dynamic range, on-screen display, and digital noise reduction. Our video recording will be operational 24/7. Videos will be retained for a minimum of 90 days.
25. Provide a summary of the RMD’s operating procedures for the prevention of the diversion of marijuana.

MM will prevent diversion in the following ways:

Set Appropriate Pricing – When prices are set too far below the midpoint of current scale, dispensaries may unintentionally open the door to “black market” purchases. Through its responsible pricing practices, MM will support state law by discouraging diversion.

Security Department – Through identifying, installing, and maintaining the right equipment, protocols, and personnel, diversion can be prevented. All security personnel will be required to complete safety training and a formal evaluation as a condition of employment. Training will include the proper use of security measures and controls that have been adopted by MM for the prevention of diversion, theft, or loss of marijuana; procedures and instructions for responding to an emergency; state and federal statutes and regulations regarding confidentiality of information related to medical use of marijuana. In addition to its focus on the proper use of security measures and controls, security training will include acceptable currency identification and counterfeit detection, warning signs of possible diversion to the illegal market, lock and alarm procedures, perimeter and entrance control, robbery and emergency response techniques, conflict resolution techniques, and diversion detection techniques.

In addition, we have developed comprehensive security policies and procedures for employees regarding threats and acts of violence, and reporting security-related incidents such as theft, loss, vandalism, malicious or unauthorized use of company equipment or facilities, and allegations of employee misconduct. The Security Manager is responsible for ensuring this training occurs and for periodically quizzing dispensary agents to ensure the knowledge is retained.

Staffing our facilities with the right people and ensuring adequate training provides the greatest opportunity to prevent theft and diversion and provide the highest level of products. All new patients will be required to complete a New Patient Orientation upon registration. The orientation will offer a security overview to ensure patients are aware of the security policies and the consequences for violating the rules.

Allow Access Only to Qualified Patients – Through the use of a sophisticated integrated patient database and POS system with proper training, MM will ensure that every dispensary agent enforces the requirements for dispensary access and patient purchases. Only qualified, valid patients and caregivers will be permitted to purchase medicine at our dispensary. In addition, every staff member completes training on diversion detection/prevention techniques as part of new employee orientation.

Strict Inventory Controls & Analysis – Real time reporting about the state of our inventory through a seed-to-sale POS system, combined with oversight and analysis can help resolve issues before they become problems.
26. Provide a summary of the RMD's operating procedures for the storage of marijuana for medical use.

In regards to product storage, MM will provide adequate lighting, ventilation, temperature, humidity, space, and equipment, in accordance with applicable provisions of 105 CMR 725.105 and 725.110. MM will store all finished medical marijuana product in a private, secured vault room that is climate-controlled and monitored 24-hours a day, for both security and changes in environment (temperature and humidity).

Rather than using a traditional safe, we will convert the storage space into a walk-in safe ("vault"). In addition, MM will have separate areas for storage of marijuana that is outdated, damaged, deteriorated, mislabeled, or contaminated, or whose containers or packaging have been opened or breached, until such products are destroyed.

Access to the secure storage area will be heavily restricted and monitored through the use of electronic locks. MM will keep our sales and vaults securely locked and protected from entry, except for the actual time required to remove or replace marijuana, as conducted by authorized personnel.

All storage areas will be maintained in a clean and orderly condition, free from infestation by insects, rodents, birds, and pests of any kind. MM will provide sufficient space for the placement and storage of equipment, materials and finished products under conditions that will protect them against physical, chemical, and microbial contamination as well as against deterioration of them or their container.
27. Provide a summary of the RMD's operating procedures for the transportation of marijuana for medical use.

Only dispensary agents, a minimum of two, with access to a secure form of communication and visibly displaying their MM badge will transport marijuana between RMDs and RMD sites. Medicine will be weighed and accounted for on video prior to leaving the RMD. Medicine will be re-weighed and accounted for on video within 8 hours after arrival at the destination. Any unusual discrepancy in weight or inventory will be reported to DPH and local law enforcement within 24 hours.

MM will use the required DPH-approved shipping manifest. All shipping manifests will be kept for no less than 1 year and made available to DPH upon request. All marijuana will be transported at random delivery times in a GPS monitored vehicle equipped with a secure, locked storage compartment that is part of the vehicle. the vehicle will bear no markings of MM or markings that indicate that the vehicle is being used to transport marijuana; product will not be visible from outside.

Vehicles transporting marijuana will travel directly to the receiving RMD. In case of an emergency stop, MM will document the reason for the event, the duration, the location, and any activities of personnel exiting the vehicle. At least 1 agent will remain with the vehicle at all times that the vehicle contains marijuana. Any vehicle accidents, diversions, losses, or other reportable incidents that occur during transport, will be reported to local law enforcement and to DPH within 24 hours.
28. Provide a summary of the RMD's operating procedures for inventory management.

MM has developed detailed inventory policies and procedures based on best practices in the retail and medical marijuana industries. For inventory management, among other things, MM plans to utilize BioTrackTHC. BioTrack is a fully integrated Point of Sale (POS), Inventory Control, Growhouse Tracking, and Patient Management system and is one of the only complete seed-to-sale systems available on the market today. This software has been specifically designed to serve registered medical marijuana dispensaries.

From an inventory control perspective, BioTrack supports ordering, receiving, storing, sales, adjustments, labeling, disposal of unusable medicine, and audits. BioTrack fully supports the recording and tracking of the daily beginning inventory, daily ending inventory, acquisitions, harvests, sales, disbursements, and disposal of unusable marijuana. Records are retained indefinitely. It is a true seed-to-sale POS solution.

MM will conduct and document an audit of our inventory, using generally accepted accounting principles, at least once every 30 calendar days. At a minimum, per regulations, documentation will include the date of the inventory, a summary of the inventory findings, and the names, signatures, and titles of the individuals who conducted the inventory.

Should any material reduction in the amount of medical marijuana in the dispensary's inventory occur, MM will determine where the loss has occurred and take and document corrective action.
29. Provide a summary of the RMD’s operating procedures for quality control and testing of product for potential contaminants.

All marijuana cultivated by MM will be tested for the cannabinoid profile and for contaminants as specified by DPH, including but not limited to molds (ultraviolet light and high power microscopy inspection), residual insecticides, fungicides (both through chromatographic analysis), and cannabinoid profiles (THC/THC-A/THC-V/CBN/CBD/CBD-A) using HPLC (High Performance Liquid Chromatography). All testing will be conducted by an independent laboratory that has all required accreditations and at a frequency as required by DPH. MM will maintain the results of all testing for no less than one year. MM intends to execute a contractual arrangement with a laboratory for the purposes of testing marijuana upon notice of provisional registration.

MM has established detailed policies and procedures for responding to results indicating contamination, including methods of contaminated product destruction and contamination source assessment. MM will use best practices to limit contamination, including but not limited to mold, fungus, bacterial diseases, rot, pests, non-organic pesticides, mildew, and any other contaminant identified as posing potential harm.

Using a sophisticated barcoding and tracking system all end user product will track back to a production lot. In the unlikely event of contamination or residual ballast, insecticide or fungicide level deemed unacceptable by third party standards, the entire lot can easily be identified and disposed of in a legal and safe manner.
30. Provide a summary of the RMD’s operating procedures for maintaining confidentiality of registered qualifying patients, personal caregivers, and dispensary agents, as required by law.

MM will implement recordkeeping policies and procedures to ensure that records are maintained as required in any section of 725.000.

MM will use BioTrack THC – an encrypted, secure electronic patient database that is strictly controlled and continually backed up to store required patient records.

A patient record will be established and maintained for each qualifying patient who obtains marijuana from the dispensary. All entries made to the qualifying patient record will be dated and signed electronically by the authorized dispensary agent making the entry and will include the dispensary agent registry identification number. An entry within the patient record will be made to reflect each purchase, denial of sale, and educational materials provided. MM will manually access and consult with the DPH web-based system. Verification and update of information to the system will occur during the patient check-in and/or sales process.

All systems accessed by dispensary agents will be password protected. Each authorized dispensary agent will be assigned a unique code that will be used as their electronic signature. A record will be kept of all logins and records created or edited during that login time. Any paper documents that require retention will be stored in a locked cabinet with access limited to the Patient Services Manager and General Manager. Any hard-copy information not stored will be shredded and disposed of in a secure receptacle.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: AT
31. Provide a summary of the RMD's personnel policies.

MM has a highly developed set of policies to ensure employees have clarity as to what is expected of them and what they can expect from us.

Every employee will receive a detailed Employee Handbook (EHB) including: alcohol, smoke, and drug-free workplace; immediate dismissal for dispensary agents who divert marijuana or engage in unsafe practices; reporting these infractions to law enforcement and/or DPH; safety and security policies; complying with FMLA, COBRA, EEO, Non-Discrimination, Anti-Harassment, ERISA; HIPAA; e-mail policy; 105 CMR 725.000 et. seq.; business and working hours; wages and benefits; employment categories; performance reviews; disciplinary procedures; veteran preferences; CORI checks; and compliance hotline.

MM employees will complete extensive operations and compliance training specific to 105 CMR 725.000 et. seq.

MM will maintain worker's compensation insurance for all employees.

MM will comply with both Massachusetts and Federal requirements for workplace posting.
32. Provide a summary of the RMD’s operating procedures for dispensing of marijuana for medical use.

MM will only dispense medical marijuana to a registered qualifying patient who has a current valid certification, or to his or her personal caregiver, and only the amounts of marijuana set forth pursuant to 105 CMR 725.010(H) and the calendar set by the patient’s certifying physician.

Before medicine is dispensed, MM will identify registered qualifying patients and personal caregivers by both their valid registration card AND photo identification proving identity (name, photo, DOB), such as a driver’s license, government-issued ID card, military ID card, or passport. If a patient cannot produce required identification, and/or does not have a valid certification in the DPH database, patient/caregiver will be unable to obtain medicine.

Upon entry to the RMD, patients must first check in with a dispensary agent at reception before accessing the dispensary floor. The agent will check the patient or caregiver’s registration card and photo ID and verify that the patient is current in the DPH electronic database. The agent will then direct the patient to the dispensary floor where they will be assisted with selecting their medicine. In any instance of denial of medicine to a patient due to a perceived risk to themselves or the public, MM will notify the patient’s certifying physician within 24 hours.

To further assist our patients, MM will make interpreter services available that are appropriate to the population served, including for the visually- and hearing-impaired.
33. Provide a summary of the RMD’s operating procedures for record keeping.

In compliance with section 725.000, MM will maintain records of:

- Operating procedures – security measures, employee security policies, storage of marijuana, recordkeeping and inventory protocols, plans for staffing and quality control, emergency procedures, drug-free workplace policies, patient education description, pricing standards and procedures, production and distribution policies and procedures – as required by 725.105(A).
- Inventory records as required by 725.105(G).
- Seed-to-sale tracking records for all marijuana and MIPs as required in 725.105(G)(5).
- Personnel records – job descriptions, a personnel record for each dispensary agent that includes a copy of the dispensary agent application submitted to DPH, performance evaluations, documentation of all required training and verification of reference, a staffing plan, personnel policies and procedures, and all CORI reports obtained – in accordance with 725.030 (C).
- Business records including assets and liabilities, monetary transactions, books of account, sales records, and salary and wage information.
- Waste disposal records as required by 725.105(J)(5).
- Purchases, denials of sale, and any delivery options using BioTrackTHC – an encrypted, secure electronic patient database that is strictly controlled and continually backed up.

Any paper documents that require retention will be stored in a locked cabinet with limited access, or will be shredded and disposed of in a secure receptacle.
34. Provide a summary of the RMD’s plans for providing patient education.

MM will ensure the availability of an adequate supply of up-to-date educational materials. These materials will be available in languages accessible to all patients we serve, as well as for the visually and hearing-impaired. These materials will be made available for inspection by DPH upon request.

Each patient and caregiver who registers for membership at MM will receive his or her own copy of our Patient Handbook (PH). The contents of the PH will be reviewed in detail with every patient during the patient orientation and registration process. The PH contains a wide variety of topics to educate patients about MM, including: all required warnings as required by DPH; information to assist in the selection of marijuana, including effects of various strains of marijuana, as well as various forms and routes of administration; tools for tracking strains and their associated effects; information describing the impact of potency and its role in determining proper dosages and titrations for different routes of administration; a discussion of tolerance, dependence, and withdrawal; facts regarding substance abuse signs and symptoms, as well as referral information for substance abuse treatment programs; a statement that registered qualifying patients may not distribute marijuana to any other individual, and that they must return unused, excess, or contaminated product to the selling RMD, for disposal; and any other information required by DPH.
35. Provide a summary of the RMD’s operating procedures for patient or personal caregiver home-delivery, if the RMD plans to provide home-delivery services.

Home delivery of marijuana will only be made by MM to a registered qualifying patient or a personal caregiver and conducted in accordance with 105 CMR 725.105(F) and 105 CMR 725.110(E). Home delivery orders may be received by telephone or through a password-protected, internet-based platform.

When a home delivery order has been placed in MM’s POS system, a ticket will be generated and sent to the Inventory Department. Delivery software will be used to cluster orders and create delivery routes. The Inventory Assistant Manager will assemble each order and prepare shipments for the Couriers. Once verified, recorded and documented on video by the Inventory representative and the Couriers, the Couriers will load the delivery vehicle while security oversees the process. When the vehicle has been fully loaded deliveries will commence.

For home deliveries, upon arriving at a delivery location, one agent will remain in the vehicle while the other agent will take the order to the patient. The patient or caregiver must produce a registration card and valid proof of identification, and have a valid certification in the DPH-supported interoperable database which will be verified by the agent on site, otherwise the agent will refuse to deliver the order. Upon returning to the vehicle the agent will communicate back to MM and report whether the delivery was successful or not, along with any details. The agent will also record notes in the trip log.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: AT
36. Provide a summary of the RMD’s policies and procedures for the provision of marijuana for medical use to registered qualifying patients with verified financial hardship without charge or at less than the

MM plans to provide free and reduced cost marijuana on a sliding scale to patients with verified documented financial hardship.

To be considered for the program, patients must be residents of the dispensary’s county or an adjacent county and must complete a Compassion Program Registration Form used to determine patient eligibility using standards established by DPH.

The following guidelines will be used to make this determination: (A) Is the patient a recipient of MassHealth? If yes, 1 point. (B) Is the patient a recipient of Supplemental Security Income? If yes, 1 point. (C) Is the patient’s annual gross household income less than 150% of the federal poverty level for the current year? If yes, 2 points. (D) Is the patient’s annual gross household income between 151% and 300% of the federal poverty level for the current year? If yes, 1 point. Any single patient is eligible for up to four (4) points.

MM has established the following sliding fee scale based on patients’ eligibility for discounted medicine: 4 points / patient responsible for 50% of cost for medicine beyond free 1.0 gram of marijuana flowers or the equivalent in non-smoked medicine products every week; 3 points / patient responsible for 70% of cost for medicine beyond free 1.0 gram of marijuana flowers or the equivalent in non-smoked medicine products every week; 2 points / patient responsible for 80% of cost; 1 point / patient responsible for 90% of cost.
37. Provide a summary of the training(s) that the RMD intends to provide to Dispensary Agents.

All employees go through Orientation Training, Safety Training, and Medical Training, irrespective of department. Upon completion of those modules, employees then complete their respective departmental training programs that cover all of the policies, procedures, knowledge, and skills required to operate effectively and in full compliance within the respective departments.

MM's Orientation training module includes such topics as:
- Review of the Patient Handbook
- Review of the Employee Handbook
- Review of the Safety Handbook
- Legal Training
- Tour of the dispensary facilities and introductions to fellow staff
- Injury & Illness Prevention Program

Safety Training includes acceptable currency identification and counterfeit detection, warning signs of possible diversion to the illegal market, lock and alarm procedures, perimeter and entrance control, robbery response techniques, conflict resolution techniques, and diversion detection techniques.

Medical Training includes:
- HIPAA
- Rights of and sensitivity toward disabled individuals
- Medical Marijuana Risks & Benefits
- How to provide support to patients and caregivers related to the assessment of symptoms
- Cannabis Use Patterns & the Detection of Dependence
- How to effectively refuse medical marijuana to patients who appear impaired or abusing marijuana

At a minimum staff will receive 8 hours of ongoing training each year, but generally much more.
38. Will the Corporation provide worker's compensation coverage to the RMD's Dispensary Agents?
   Yes ☒ No ☐

39. Will the Corporation obtain professional and commercial insurance coverage?
   Yes ☒ No ☐

40. Describe the Corporation's plan to obtain liability insurance or place in escrow the required amount to be expended for coverage of liabilities.

   MM has met with multiple insurers to discuss options for obtaining and maintaining general and product liability insurance coverage in compliance with 735.105(Q). Specifically, MM has confirmed the availability of policies including:
   - General liability insurance for no less than $1,000,000 per occurrence and $2,000,000 in aggregate, annually,
   - Product liability insurance coverage for no less than $1,000,000 per occurrence and $2,000,000 in aggregate annually, with a liability policy deductible of less than $5,000 per occurrence.

   MM will work with the insurer to meet the strict underwriting criteria concerning loss by theft or diversion. Our analysis indicates that many of these criteria match the Department's security requirements, in some cases exceeding them. For example, insurers require security measures to deter and prevent unauthorized entrance into areas containing marijuana, as well as the use of a highly-rated, permanent safe for its storage. Insurers also offer credits to dispensaries, which test 100% of their product, defined as at least one flower from each crop of each strain being harvested, as certified by an independent testing laboratory. The general and product liability coverage we will obtain, upon being awarded a certificate of registration, will meet or exceed DPH requirements.
SECTION F. CAPITAL CONTRIBUTORS

List all persons and entities known to date that are committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, list the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

Attach additional tables if needed.

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>Amount of Initial Capital Committed</th>
<th>Percentage of Initial Capital Committed</th>
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<td>Leadership Names</td>
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<tr>
<td>MMA Capital, LLC</td>
<td>Entity CEO/ED: Josh Rosen (Manager)</td>
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<td>Entity President/Chair: Steve Goodman (Manager)</td>
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ATTESTATIONS

Signed under the pains and penalties of perjury, I, the authorized signatory of the non-profit applicant corporation, agree and attest that all information included in this application is complete and accurate and that I have an ongoing obligation to submit updated information to the Department if the information presented within this application has changed.

[Signature]
Signature of Authorized Signatory

[8/12/15]
Date Signed

Andrew Fisher Thut
Print Name of Authorized Signatory

CEO/COO
Title of Authorized Signatory

I hereby attest that if the corporation is allowed to proceed to submit a Siting Profile, the corporation is prepared to comply with all Siting Profile requirements.

[Signature]
Signature of Authorized Signatory

[8/12/15]
Date Signed

Andrew Fisher Thut
Print Name of Authorized Signatory

CEO/COO
Title of Authorized Signatory
The Commonwealth of Massachusetts  
William Francis Galvin  
Secretary of the Commonwealth, Corporations Division  
One Ashburton Place, 17th floor  
Boston, MA 02108-1512  
Telephone: (617) 727-9640

Articles of Organization  
(General Laws, Chapter 180)

Identification Number: 001171432

ARTICLE I
The exact name of the corporation is:

DP MASSACHUSETTS WELLNESS, INC.

ARTICLE II
The purpose of the corporation is to engage in the following business activities:

TO ENGAGE IN ANY OR ALL LAWFUL ACTS OR ACTIVITIES FOR WHICH NON-PROFIT CORPORATIONS MAY BE ORGANIZED UNDER THE GENERAL NON-PROFIT CORPORATION LAWS OF MASSACHUSETTS, AND PERMITTED UNDER GENERAL LAWS OF MASSACHUSETTS, PART I, TITLE XXII, CHAPTER 180

ARTICLE III
A corporation may have one or more classes of members. If it does, the designation of such classes, the manner of election or appointments, the duration of membership and the qualifications and rights, including voting rights, of the members of each class, may be set forth in the by-laws of the corporation or may be set forth below:

ARTICLE IV
Other lawful provisions, if any, for the conduct and regulation of the business and affairs of the corporation, for its voluntary dissolution, or for limiting, defining, or regulating the powers of the corporation, or of its directors or members, or of any class of members, are as follows:
(If there are no provisions state "NONE")

Notes: The preceding four (4) articles are considered to be permanent and may only be changed by filing appropriate Articles of Amendment.

ARTICLE V
The by-laws of the corporation have been duly adopted and the initial directors, president, treasurer and clerk or other presiding, financial or recording officers, whose names are set out on the following page, have been duly elected.

ARTICLE VI
The effective date of organization of the corporation shall be the date approved and filed by the Secretary of the Commonwealth. If a later effective date is desired, specify such date which shall not be more than thirty days after the date of filing.
ARTICLE VII

The information contained in Article VII is not a permanent part of the Articles of Organization.

a. The street address (*post office boxes are not acceptable*) of the principal office of the corporation *in Massachusetts* is:

No. and Street: 369 ELM STREET  
City or Town: Concord  
State: MA  
Zip: 01742  
Country: USA

b. The name, residential street address and post office address of each director and officer of the corporation is as follows:

<table>
<thead>
<tr>
<th>Title</th>
<th>Individual Name</th>
<th>Address (no PO Box)</th>
<th>Expiration of Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>President</td>
<td>ANDREW THUT</td>
<td></td>
<td>04/30/2016</td>
</tr>
<tr>
<td>Treasurer</td>
<td>KRISTOPHER KRANE</td>
<td></td>
<td>04/30/2016</td>
</tr>
<tr>
<td>Secretary</td>
<td>KRISTOPHER KRANE</td>
<td></td>
<td>04/30/2016</td>
</tr>
<tr>
<td>Director</td>
<td>KRISTOPHER KRANE</td>
<td></td>
<td>04/30/2016</td>
</tr>
<tr>
<td>Director</td>
<td>ANDREW THUT</td>
<td></td>
<td>04/30/2016</td>
</tr>
</tbody>
</table>

c. The fiscal year (i.e., tax year) of the business entity shall end on the last day of the month of: December

d. The name and business address of the resident agent, if any, of the business entity is:

Name: INCORP SERVICES, INC.  
No. and Street: 10 MILK STREET, SUITE 105  
City or Town: BOSTON  
State: MA  
Zip: 02108-4600  
Country: USA

I/We, the below signed incorporator(s), do hereby certify under the pains and penalties of perjury that I/we have not been convicted of any crimes relating to alcohol or gaming within the past ten years. I/We do hereby further certify that to the best of my/our knowledge the above-named officers have not been similarly convicted. If so convicted, explain:

IN WITNESS WHEREOF AND UNDER THE PAINS AND PENALTIES OF PERJURY, I/we, whose signature(s) appear below as incorporator(s) and whose name(s) and business or residential address(es) beneath each signature do hereby associate with the intention of forming this business entity under the provisions of General Law, Chapter 180 and do hereby sign these Articles of Organization as incorporator(s) this 4 Day of May, 2015. *(If an existing corporation is acting as incorporator, type in the exact name of the business entity, the state or other jurisdiction where it was incorporated, the name of*
the person signing on behalf of said business entity and the title he/she holds or other authority by which such action is taken.

ANDREW THUT
THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

May 04, 2015 02:10 PM

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth
The Commonwealth of Massachusetts  
William Francis Galvin  
Secretary of the Commonwealth, Corporations Division  
One Ashburton Place, 17th floor  
Boston, MA 02108-1512  
Telephone: (617) 727-9640

Articles of Amendment  
(General Laws, Chapter 180, Section 7)

Identification Number: 001171432

We, ANDREW THUT  X President  __ Vice President,  
and KRISTOPHER KRANE  X Clerk  __ Assistant Clerk,

of DP MASSACHUSETTS WELLNESS, INC.  
located at: 369 ELM STREET CONCORD, MA 01742 USA

do hereby certify that these Articles of Amendment affecting articles numbered:

X Article 1  __ Article 2  __ Article 3  __ Article 4

(Select those articles 1, 2, 3, and/or 4 that are being amended)

of the Articles of Organization were duly adopted at a meeting held on 6/1/2015, by vote of: 0 members, 2  
directors, or 0 shareholders,  
being at least two-thirds of its members/directors legally qualified to vote in meetings of the corporation (or, in the case  
of a corporation having capital stock, by the holders of at least two thirds of the capital stock having the right to vote  
therein):

ARTICLE I  
The exact name of the corporation, as amended, is:  
(Do not state Article I if it has not been amended.)

MISSION MASSACHUSETTS, INC.

ARTICLE II  
The purpose of the corporation, as amended, is to engage in the following business activities:  
(Do not state Article II if it has not been amended.)

ARTICLE III  
A corporation may have one or more classes of members. As amended, the designation of such classes, the manner  
of election or appointments, the duration of membership and the qualifications and rights, including voting rights, of the  
members of each class, may be set forth in the by-laws of the corporation or may be set forth below:

ARTICLE IV  
As amended, other lawful provisions, if any, for the conduct and regulation of the business and affairs of the  
corporation, for its voluntary dissolution, or for limiting, defining, or regulating the powers of the business entity, or of its
directors or members, or of any class of members, are as follows:
(If there are no provisions state "NONE")

The foregoing amendment(s) will become effective when these Articles of Amendment are filed in accordance with General Laws, Chapter 180, Section 7 unless these articles specify, in accordance with the vote adopting the amendment, a later effective date not more than thirty days after such filing, in which event the amendment will become effective on such later date.

Later Effective Date:

Signed under the penalties of perjury, this 3 Day of June, 2015, ANDREW THUT, Its, President / Vice President, KRISTOPHER KRANE, Clerk / Assistant Clerk.
THE COMMONWEALTH OF MASSACHUSETTS

I hereby certify that, upon examination of this document, duly submitted to me, it appears that the provisions of the General Laws relative to corporations have been complied with, and I hereby approve said articles; and the filing fee having been paid, said articles are deemed to have been filed with me on:

June 03, 2015 05:36 PM

WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth
To Whom It May Concern:

I hereby certify that according to the records of this office, 

MISSION MASSACHUSETTS, INC.

is a domestic corporation organized on May 04, 2015.

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 180 section 26 A, for revocation of the charter of said corporation; that the State Secretary has not received notice of dissolution of the corporation pursuant to Massachusetts General Laws, Chapter 180, Section 11, 11A, or 11B; that said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.

In testimony of which,

I have hereunto affixed the Great Seal of the Commonwealth on the date first above written.

William Francis Galvin
Secretary of the Commonwealth

Certificate Number: 15063997590
Verify this Certificate at: http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx
Processed by: smc
BY-LAWS

OF

MISSION MASSACHUSETTS, INC.

SECTION 1
NAME AND PURPOSES

1.1 Name and Purposes. The name of this corporation shall be Mission Massachusetts, Inc. (referred to herein as the "Corporation"). It was created to engage in any or all lawful acts or activities as a not-for-profit entity registered under 105 CMR 725.100, including but not limited to operating for the benefit of qualifying patients and their personal caregivers as defined therein.

SECTION 2
OFFICES

2.1 Offices. The Corporation shall have and continuously maintain an office in the Commonwealth of Massachusetts and may have other offices within or without the Commonwealth of Massachusetts as the Board (as such term is defined below) may from time to time determine.

SECTION 3
MEMBERS

3.1 Members. The Corporation shall not have any voting members. Any action or vote required or permitted by law to be taken by voting members shall be taken by action or vote of the same percentage of the Board of Directors of the Corporation.

SECTION 4
BOARD OF DIRECTORS

4.1 Powers. The Board of Directors of the Corporation ("Directors" or the "Board") shall have the entire charge, control and management of the Corporation and its property and may exercise all or any of its powers to manage the affairs of the Corporation, shall exercise all the powers of the Corporation and shall be solely responsible for making policies of the Corporation.

4.2 Number and Election. Except as otherwise provided by these By-Laws or in the Articles of Organization, the number of Directors that shall constitute the whole Board shall be fixed by the Board of Directors from time to time, and the Directors shall be elected by vote of a majority of the Directors then in office at the annual meeting. Any person may be re-elected to unlimited consecutive terms as a Director.
4.3 Tenure. Except as otherwise provided by law, by the Articles of Organization or by these By-Laws, Directors shall hold office until the next annual meeting of Directors and thereafter until their successors are chosen and qualified.

4.4 Removal. A Director may be removed from office, with or without cause, by vote of a majority of the Directors then in office. A Director may be removed for cause only after reasonable notice and opportunity to be heard before the body or individuals proposing such Director's removal.

4.5 Resignation. Any Director may resign by delivering his/her written resignation to the Corporation at its principal office or to the President or Clerk. Such resignation shall be effective upon receipt unless it is specified to be effective at some other time or upon the happening of some other event.

4.6 Vacancies. Any vacancy at any time, existing in the Board, may be filled by the Directors at any meeting. Should the resignation or removal of a Director pursuant hereto result in there being fewer than the number fixed by the Board, the Board shall elect such number of new Directors necessary to bring the number of Directors in compliance with these By-Laws, or at its discretion, may vote to reduce the total number of Directors.

4.7 Annual Meeting. The date, place and time of the annual meeting of the Directors shall be fixed by the Directors, and no notice need be given of the annual meeting held on a date, time and place so fixed. In the event that no date for the annual meeting is established or if no annual meeting is held in accordance with the foregoing provisions, a special meeting may be held in lieu thereof, and any action taken at such meeting shall have the same effect as if taken at the annual meeting.

4.8 Regular Meetings. Regular meetings of the Directors may be held at such times and places as shall from time to time be fixed by resolution of the full Board and no notice need be given of regular meetings held at times and places so fixed, provided, however, that any resolution relating to the holding of regular meetings shall remain in force only until the next annual meeting of Directors, or the special meeting held in lieu thereof.

4.9 Special Meetings. Special meetings of the Directors may be called by the President by the Clerk, by any two Directors, or by one Director in the event that there is only one Director, and shall be held at the place designated in the notice or call thereof.

4.10 Notice of Meetings. Notices of any special meeting of the Directors shall be given to each Director by an officer of the Corporation in person, by telephone or via electronic mail at least three (3) business days in advance of the meeting. If an officer refuses or neglects for more than 24 hours after receipt of a call to give notice of such special meeting, such notice may be given by one of the Directors calling the meeting. Notice need not be given to any Director if a written waiver of notice, executed by him/her before or after the meeting, is filed with the records of the meeting, or to any Director who attends the meeting without protesting prior thereto or at its commencement the lack of notice to him/her. A notice or waiver of notice of a
Directors' meeting need not specify the purposes of the meeting except as otherwise required by the Articles of Organization, these By-laws, or by law.

4.11 **Quorum.** At any meeting of the Directors a majority of the Directors then in office shall constitute a quorum.

4.12 **Action of Meeting.** At any meeting of the Directors at which a quorum is present, the action of the Directors on any matter brought before the meeting shall be decided by vote of a majority of those present, unless a different vote is required by law, the Articles of Organization, or these By-Laws.

4.13 **Action by Written Consent.** Any action by the Directors, or any committee thereof, may be taken without a meeting if a written consent thereto signed by all the Directors and filed with the records of the Directors' meetings. Such consent shall be treated as a vote of the Directors for all purposes. Written consents may be, without limitation, by fax, letter or email.

4.14 **Telephone Conference Meeting.** The Directors or the members of any committee may participate in a meeting of the Directors or such committee by means of a conference telephone or similar communications equipment by means of which persons participating in the meeting can hear each other at the same time, and participating by such means shall constitute presence in person at a meeting.

4.15 **Committees.** The Directors may, by vote of a majority of the Directors then in office, elect from within the Board an executive or other committees and may, by like vote, delegate thereto some or all of their powers except those which by law, the Articles of Organization or these By-Laws they are prohibited from delegating. Except as the Directors may otherwise determine, any such committee may make rules for the conduct of its business, but unless otherwise provided by the Directors or in such rules, its business shall be conducted as nearly as may be in the same manner as is provided by these By-Laws for the Directors. The Directors shall have the power to fill vacancies in, change the membership of, or to disband, any such committee. A report of all material actions taken by each committee shall be made to the Board no later than the next meeting of the Board. Minutes of each committee meeting shall be available to any Director for inspection.

4.16 **Conflicts of Interest.** The Corporation may enter into contracts or transact business with one or more of its Directors or officers, or with any firm of which one or more of its Directors or officers are members, or with any corporation, or association or business trust or other entity on which any one of its Directors or officers is a stockholder, director, officer, beneficiary and/or trustee, and such contract or transaction shall not be void or voidable solely by the fact that such director or officer has or may have interests therein which are or might be adverse to the interests of the Corporation, provided that that Corporation shall adopt and comply with a Conflict of Interest Policy to address such situations, and such policy shall require the interested Director(s) to reveal any potential conflict and refrain from participating in a vote on the matter.
SECTION 5
OFFICERS AND SPECIAL COMMITTEES

5.1 Number and Qualification. The officers of the Corporation shall be a President, Treasurer and Clerk ("Officers") and such other officers as may from time to time be determined by the Board. Any two or more offices may be held by the same person.

5.2 Election. The President, Treasurer and Clerk shall be elected by the Directors at the Annual Meeting or at a special meeting held in lieu thereof. Other officers, if any, may be elected by the Directors at any time.

5.3 Tenure. Except as provided by law or these By-Laws, the President, Treasurer and Clerk shall hold office until the next annual meeting or the special meeting of the Board held in lieu thereof, and thereafter until his/her successor is chosen and qualified. Other officers shall hold office until the next annual meeting, or the special meeting held in lieu thereof, unless a shorter term is specified in the vote choosing or appointing them.

5.4 President. Unless otherwise determined by the Directors, the President shall, subject to the control of the Directors, have general charge and supervision of the affairs of the Corporation, and shall preside at board meetings. It shall be the President's duty and he or she shall have the power to see that all orders and resolutions of the Directors are carried into effect. The President shall have such other duties and powers as the Directors shall determine.

5.5 Treasurer. The Treasurer shall be the chief financial officer and the chief accounting officer of the Corporation. He or she shall have charge of all Corporation investments and shall receive all contributions, bequests and other amounts payable to the Corporation. The Treasurer shall, subject to the orders and under the supervision of the Board, have the custody and care of securities, cash and valuable papers of the Corporation. The Treasurer shall keep full and accurate accounts of receipts and disbursements in the books belonging to the Corporation and shall deposit all moneys and other valuable effects in the name and to the credit of the Corporation in such depositories as shall be designated by the Directors or, in the absence of such designation, in such depositories as he or she shall deem proper. The Treasurer shall render to the President and the Directors such statement of transactions and accounts as the President and Directors may from time to time require. The Treasurer shall perform such duties and have such powers in addition to the foregoing as the Directors may designate.

5.6 Clerk. The Clerk shall record and maintain records of all proceedings of the Directors in a book or books kept for that purpose and shall have custody of the seal of the Corporation. The Clerk shall perform such duties and have such powers in addition to the foregoing as the Directors shall designate. If the Clerk is absent from any meeting of the Directors, a temporary Clerk appointed by the President shall exercise the duties of the Clerk at the meeting.

5.7 Other Officers. Other officers shall have such duties and powers as may be designated from time to time by the Directors.
SECTION 6
DIRECTOR COMPENSATION

6.1 Directors as such shall not receive any compensation for their services on the board, but directors shall not be precluded from serving the corporation in any other capacity and receiving reasonable compensation, or from receiving reimbursement for reasonable expenditures made in furtherance of their duties. The compensation of Directors, officers and key employees shall be set in accordance with an Executive Compensation Setting Policy to be adopted by the Board of Directors.

SECTION 7
INDEMNIFICATION

7.1 The Corporation shall, to the extent legally permissible, indemnify any person serving or who has served as a Director, officer, employee or other agent of the Corporation, or at its request as a Director, officer, employee or other agent of any organization, or at its request in any capacity with respect to any employee benefit plan, against all liabilities and expenses, including amounts paid in satisfaction of judgments, in compromise or as fines and penalties, and counsel fees, reasonably incurred by him/her in connection with the defense or disposition of any action, suit or other proceeding, whether civil or criminal, in which he/she may be involved or with which he/she may be threatened, while in office or thereafter, by reason of his/her being or having been such a Director or officer (or in any capacity with respect to any employee benefit plan), except with respect to any matter as to which he/she shall have been adjudicated in any proceeding not to have acted in good faith in the reasonable belief that his/her action was in the best interests of the Corporation (or, to the extent that such matter relates to service with respect to an employee benefit plan, in the best interest of the participants or beneficiaries of such employee benefit plan); provided, however, that as to any matter disposed of by a compromise payment by such person, pursuant to a consent decree or otherwise, no indemnification either for said payment or for any other expenses shall be provided unless such compromise and indemnification therefore shall be approved:

(a) by a majority vote of a quorum consisting of disinterested Directors;

(b) if such a quorum cannot be obtained, then by a majority vote of a committee of the Board consisting of all the disinterested Directors;

(c) if there are not two or more disinterested Directors in office, then by a majority of the Directors then in office, provided they have obtained a written finding by special independent legal counsel appointed by a majority of the Directors to the effect that, based upon a reasonable investigation of the relevant facts as described in such opinion, the person to be indemnified appears to have acted in good faith in the reasonable belief that his/her action was in the best interests of the Corporation (or, to the extent that such matter relates to service with respect to an employee benefit plan, in the best interests of the participants or beneficiaries of such employee benefit plan); or

(d) by a court of competent jurisdiction.
If authorized in the manner specified above for compromise payments, expenses including counsel fees, reasonably incurred by any such person in connection with the defense or disposition of any such action, suit or other proceeding may be paid from time to time by the Corporation in advance of the final disposition thereof upon receipt of (a) an affidavit of such individual of his/her good faith belief that he/she has met the standard of conduct necessary for indemnification under this Article, and (b) an undertaking by such individual to repay the amounts so paid to the Corporation if it is ultimately determined that indemnification for such expenses is not authorized by law or under this Article, which undertaking may be accepted without reference to the financial ability of such person to make repayment.

If both the Corporation and any person to be indemnified are parties to an action, suit or proceeding (other than an action or suit by or in the right of the Corporation to procure a judgment in its favor), counsel representing the Corporation therein may also represent such indemnified person (unless such dual representation would involve such counsel in a conflict of interest in violation of applicable principles of professional ethics), and the Corporation shall pay all fees and expenses of such counsel incurred during the period of dual representation other than those, if any, as would not have been incurred if counsel were representing only the Corporation; and any allocation made in good faith by such counsel of fees and disbursements payable under this paragraph by the Corporation versus fees and disbursements payable by any such indemnified person shall be final and binding upon the Corporation and such indemnified person.

The right of indemnification hereby provided shall not be exclusive of or affect any other rights to which any such indemnified person may be entitled. Nothing contained in this Article shall affect any rights to indemnification to which corporate personnel other than the persons designated in this Article may be entitled by contract, by vote of the Board, or otherwise under law.

By action of the Board, notwithstanding any interest of the Directors in such action, the Corporation may purchase and maintain insurance, in such amounts as the Board may from time to time deem appropriate, on behalf of any person who is or was an officer, Director, employee or other agent of the Corporation or who is or was serving at the request of the Corporation as an officer, Director, employee or other agent or another organization, or with respect to any employee benefit plan, against any liability incurred by such person in any such capacity, or arising out of his/her status as such, whether or not the Corporation would have the power to indemnify such person against such liability.

As used in this Article the terms "person," "Director," "officer," "employee," and "agent" include their respective heirs, executors and administrators, and an "interested" Director or officer is one against whom in such capacity the proceedings in question or other proceedings on the same or similar grounds is then pending.

If any term or provision of this Article, or the application thereof to any person or circumstances, shall to any extent be held invalid or unenforceable, the remainder of this Article, or the application of such term or provision to persons or circumstances other than those as to
which it is held invalid or unenforceable, shall not be affected thereby, and each term and provision of this Article shall be held valid and be enforced to the fullest extent permitted by law.

SECTION 8
FISCAL YEAR

8.1 The Fiscal Year of the Corporation shall end in each year on December 31.

SECTION 9
CORPORATE SEAL

9.1 The Board may adopt a corporate seal, and if it does so, the Clerk shall keep and maintain such seal.

SECTION 10
EXECUTION OF INSTRUMENTS, RECEIPT AND DISBURSEMENT OF FUNDS

10.1 Except as otherwise provided in these By-Laws or as the Board may generally or in particular cases authorize, all instruments, documents, deeds, leases, transfers, contracts, bonds, notes, checks, drafts and other obligations made, accepted or endorsed by the Corporation shall be signed by the President or Treasurer. Facsimile signatures may be used in the manner and to the extent authorized generally or in particular cases by the Board. The Board may designate such other officer or officers who, in addition to or instead of the Treasurer, shall be authorized to receive and receipt for all monies due and payable to the Corporation from any source whatever, to endorse for deposit checks, drafts, notes, or other negotiable instruments, and to give full discharge and receipt therefore. Funds of the Corporation may be deposited in such banks or with such other corporations, firms, or individuals as the Board may from time to time designate.

SECTION 11
LIMITATIONS

11.1 At all times, notwithstanding any change in name, merger, consolidation, reorganization, termination, dissolution, or winding up of the Corporation, voluntary or involuntary, or by operation of law, or any other provision hereof:

(a) No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to its Directors, officers, or other private persons, except that the Corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article II of its Articles of Organization, as may be amended from time to time.

(b) The Corporation shall at all times comply with the regulations governing medical marijuana dispensaries at 105 CMR §725.100 et seq.
(c) At no time shall the Corporation engage in any activities which are unlawful under the laws of the Commonwealth of Massachusetts.

SECTION 12
DISSOLUTION

12.1 By an affirmative vote of the majority of the Board entitled to vote at a meeting duly called for such purpose, the Corporation may be dissolved by the filing of a petition for its dissolution with the Supreme Judicial Court of Massachusetts. Upon dissolution of the Corporation, any remaining assets shall be distributed to non-profit organizations, associations or entities to be chosen by the Board. Any such assets not so disposed of shall be disposed of by a Court of Competent Jurisdiction of the county in which the principal office of the Corporation is then located, exclusively for the purposes of the Corporation or to such organization or organizations, which are organized and operated exclusively for such purposes.

SECTION 13
AMENDMENT

13.1 These By-Laws may be altered, amended or repealed, in whole or in part, and new by-laws may be adopted by a majority of the Directors then in office at any regular meeting or special meeting, provided that notice is given of intention to alter, amend or repeal or to adopt new By-Laws at such meeting.
MISSION MASSACHUSETTS, INC.
CONFLICT OF INTEREST POLICY

I. Definitions

For purposes of this policy, the term "interest" shall include any personal connection or
connection as a director, officer, member, stockholder, shareholder, partner, manager,
trustee, beneficiary, employee or consultant of any concern on the part of a director,
oficer or key employee of Mission Massachusetts, Inc. ("MM") or his/her immediate
family member.

The term "concern" shall mean any corporation, association, trust, partnership, limited
liability group, firm, person or entity other than MM.

II. Policy

No director, officer or key employee of MM shall be disqualified from holding any office
or post in MM by reason of any interest in any concern. A director, officer or key
employee of MM shall not be disqualified from engaging, either as vendor, purchaser or
otherwise, or contracting or entering into any transaction with MM or with any entity of
which MM is an affiliate, provided, however, that the following precautions are
undertaken:

1. The interest of such director, officer or key employee is fully disclosed to
   the board of directors prior to its entering into the transaction.

2. No interested director, officer or key employee may vote or lobby on the
   matter or be counted in determining the existence of a quorum at the
   meeting of the board of directors at which such matter is voted upon.

3. Any transaction in which a director, officer or key employee has an interest
   shall be duly approved by the disinterested directors as being in the best
   interest of MM. The disinterested directors shall seek and examine
   comparison data, showing the availability and price of alternative
   transactions, in making such determination.

4. Payments to the interested director, officer, or key employee shall be
   reasonable and shall not exceed fair market value.

5. The minutes of the meeting at which the disinterested directors vote on
   the transaction shall reflect that disclosure of the potential conflict was
   made, that the interested director(s) abstained from voting, the rationale
   for approval, and how each disinterested director voted. The minutes
   shall be prepared and finalized within 30 days of such meeting.

Directors, officers and key employees are required to disclose interests that could give
rise to conflicts at least annually.
MISSION MASSACHUSETTS, INC.
WHISTLEBLOWER POLICY

I. Expectation

Mission Massachusetts, Inc. ("MM") expects directors, officers and employees to observe high ethical standards in carrying out their responsibilities and to comply with all applicable laws and regulations.

II. Open Door Policy

If any director, officer or employee has complaints, concerns, or questions as to the ethics or legality of a particular action taken by another director, officer or employee, he/she is encouraged to raise such complaints, concerns or questions with the relevant individual. With respect to directors, the relevant individual is the chair of the board of directors or any other director. With respect to officers and employees, the relevant individual is the President, if there is one in office, and if not, any member of the board. In the event the director, officer or employee believes there may have been a legal transgression, and that it is not reasonable to raise the issue with a board member or the President, he/she should contact an outside attorney. Anyone filing a complaint concerning a violation or suspected violation of a law, regulation or ethical requirement must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Individuals making baseless or malicious accusations will be disciplined up to and including termination.

III. Requirement of Investigation

Within a reasonable time of receiving a complaint, concern or question regarding compliance with a law, regulation or ethics requirement, the President and/or board member shall open an investigation into the matter and pursue it to resolution. Should the President or board member find that a law, regulation or ethics requirement has been violated, appropriate action should be taken.

IV. Confidentiality

To the degree possible, the names of the individuals reporting under this Whistleblower Policy shall be kept confidential.

V. Protection from Retaliatory Action

Neither MM nor its managers may take any negative employment or other retaliatory action against any director, officer or employee who in good faith reports a violation of a law or regulatory requirement. An employee who retaliates against someone who has reported a violation in good faith is subject to discipline including, but not limited to, termination of employment.
VI. General Policy
This general policy is not a contract and it may be rescinded or amended at any time by MM. It is not intended to and does not create any legally enforceable rights whatsoever for any employee.
MISSION MASSACHUSETTS, INC.  
DOCUMENT RETENTION AND DESTRUCTION POLICY

I. Retention Policy

Mission Massachusetts, Inc. ("MM") takes seriously its obligations to preserve information relating to litigation, audits, and investigations. The information listed in the retention schedule below is intended as a guideline and may not contain all the records MM may be required to keep in the future.

From time to time, MM may suspend the destruction of records due to pending, threatened, or otherwise reasonably foreseeable litigation, audits, government investigations, or similar proceedings.

<table>
<thead>
<tr>
<th>File Category</th>
<th>Item</th>
<th>Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate Records</td>
<td>Bylaws and Articles of Organization</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Corporate resolutions</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Board and committee meeting agendas and minutes Minutes</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Conflict-of-Interest disclosure forms</td>
<td>4 years</td>
</tr>
<tr>
<td>Finance and Administration</td>
<td>Financial statements (audited)</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Auditor management letters</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Payroll records</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Check register and checks</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Bank deposits and statements</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Chart of accounts</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>General ledgers and journals (includes bank reconciliations)</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Investment performance reports</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Equipment files and maintenance records</td>
<td>7 years after disposition</td>
</tr>
<tr>
<td></td>
<td>Contracts and agreements</td>
<td>7 years after all obligations and</td>
</tr>
<tr>
<td></td>
<td>Correspondence — general</td>
<td>3 years</td>
</tr>
<tr>
<td>Insurance Records</td>
<td>Policies — occurrence type</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Policies — claims-made type</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Accident reports</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Safety (OSHA) reports</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Claims (after settlement)</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>Group disability records</td>
<td>7 years after end of benefits</td>
</tr>
<tr>
<td>Real Estate</td>
<td>Deeds</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>Leases (expired)</td>
<td>7 years after all obligations end</td>
</tr>
<tr>
<td></td>
<td>Mortgages, security agreements</td>
<td>7 years after all obligations end</td>
</tr>
<tr>
<td>Tax</td>
<td>IRS Tax returns and related correspondence</td>
<td>Permanent</td>
</tr>
<tr>
<td></td>
<td>IRS Form 1120s</td>
<td>7 years</td>
</tr>
<tr>
<td></td>
<td>State Tax returns</td>
<td>7 years</td>
</tr>
</tbody>
</table>
II. Electronic Documents and Records

Electronic documents will be retained as if they were paper documents. Therefore, any electronic files that fall into one of the document types on the above schedule will be maintained for the appropriate amount of time. If a user has sufficient reason to keep an e-mail message, the message should be printed in hard copy and kept in the appropriate file or moved to an "archive" computer file folder. Backup and recovery methods should be tested on a regular basis.

III. Emergency Planning

MM’s records should be stored in a safe, secure, and accessible manner. Documents and financial files that are essential to keeping MM operating in an emergency should, if possible, be duplicated or backed up at least weekly and maintained off-site.

IV. Document Destruction

Documents should be eliminated at the end of the relevant retention period. Destruction of financial and personnel-related documents should be accomplished by shredding.

Document destruction with respect to relevant documents will be suspended immediately, upon any indication of an official investigation or when a lawsuit is filed or appears imminent. Destruction will be reinstated upon conclusion of the investigation or lawsuit.

V. Compliance

MM will periodically review these procedures with legal counsel or MM’s certified public accountant to ensure that they are in compliance with new or revised regulations.
MISSION MASSACHUSETTS, INC.
EXECUTIVE COMPENSATION SETTING POLICY

I. Introduction

This policy codifies the procedures by which the board of directors of Mission Massachusetts, Inc. ("MM") sets the compensation of directors, top management officials, officers and key employees ("executive compensation"). These procedures are designed to comply with the "safe harbor" requirements set forth in the tax regulations on intermediate sanctions to create a rebuttable presumption of reasonableness in compensation levels.

II. Policy

The board of directors shall oversee the setting of executive compensation and shall (1) determine compensation of all directors, top management officials, officers and key employees, and (2) review, assess and approve the reasonableness of such compensation on a regular basis.

In order to be approved as reasonable, compensation must be an amount that would ordinarily be paid for comparable work by similarly situated organizations under like circumstances. In general, a similarly situated organization is one that (1) has an anticipated annual budget of approximately $5,000,000 to $15,000,000, and (2) has a medium staff working in a suburban/urban area. The particular education, experience and skill of the compensated individual may also be taken into account.

III. Guidelines

Compensation determinations made by the directors will be made in accordance with the following guidelines:

In setting and determining the reasonableness of executive compensation, the board shall obtain and rely upon compensation information for comparable work by similarly situated organizations under like circumstances, as defined in Section II above.

Board members involved in setting and approving executive compensation, as well as any third parties providing professional advice to the board members in connection with setting and approving executive compensation shall be independent and have no conflicts of interest as to the executive whose compensation is being reviewed. Board members shall have no conflict of interest for these purposes if they (i) will not economically benefit from the compensation arrangement, (ii) are not family members of a person who will economically benefit, (iii) have no material financial interest affected by the compensation arrangement, and (iv) are not family members of a person who has a material financial interest affected by the compensation arrangement.

Timely and accurate minutes of all final actions by the board regarding the setting and approval of executive compensation will be recorded and held with board records. Such minutes will include (1) the terms of the approved compensation arrangement and the
date approved, (2) a list of the board members present during discussion, showing those who approved the arrangement, those who rejected it and those who recused themselves due to conflicts of interest, (3) the comparability data relied upon and how such data was obtained, and (4) the rationale for determining that the arrangement was reasonable if it exceeded the range of the comparability data.
SECTION D. EMPLOYMENT AND EDUCATION FORM

This Employment and Education form must be completed and signed by each of the following individuals: The Corporation's Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations. Submit one Employment and Education form for each of the above individuals when submitting a Management and Operations Profile to the Department of Public Health.

Name

Ryan Winmill

Residential Address

10307 Saddleview Court
Vienna, VA 22182

Title (at applicant non-profit corporation)

Mission Massachusetts

Name of Applicant Non-Profit Corporation

Mission Massachusetts

Highest Education Attained – Institution, Degree, and Year

Suffolk University Law School, Juris Doctorate, 2003
Boston College, Bachelor of Arts, 2000
Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.

<table>
<thead>
<tr>
<th>Employer</th>
<th>Title</th>
<th>Time Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Winmill Group, LLC</td>
<td>President and CEO</td>
<td>2007 - Present</td>
</tr>
<tr>
<td>The Olson Group, LTD</td>
<td>Senior Security Consultant</td>
<td>2005 -2007</td>
</tr>
</tbody>
</table>

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

Signature of the Individual: [Signature]
Date Signed: 2/10/15
SECTION D. EMPLOYMENT AND EDUCATION FORM

This Employment and Education form must be completed and signed by each of the following individuals: The Corporation's Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations. Submit one Employment and Education form for each of the above individuals when submitting a Management and Operations Profile to the Department of Public Health.

Name
Cory Ravelson

Residential Address
17 Tileston St Apt 1
Boston, MA
02113

Title (at applicant non-profit corporation)
Head of Cultivation

Name of Applicant Non-Profit Corporation
Mission Massachusetts, Inc.

Highest Education Attained – Institution, Degree, and Year
University of Colorado at Boulder, BA Environmental Studies, 2010
Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.

<table>
<thead>
<tr>
<th>Employer</th>
<th>Title</th>
<th>Time Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corben Equity Partners</td>
<td>Property Manager</td>
<td>October 2014 - Present</td>
</tr>
<tr>
<td>Headquarters Medical Marijuana Center (MMC)</td>
<td>General Manager</td>
<td>July 2011 - June 2014</td>
</tr>
<tr>
<td>Headquarters MMC</td>
<td>Head Budtender</td>
<td>December 2010 - July 2011</td>
</tr>
<tr>
<td>Zengold's Cannabis Wholesale</td>
<td>Assistant Grower</td>
<td>February 2010 - Dec. 2010</td>
</tr>
<tr>
<td>University of Colorado at Boulder</td>
<td>Setup Operations</td>
<td>February 2008 - July 2010</td>
</tr>
</tbody>
</table>

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

Signature of the Individual

Date Signed
SECTION D. EMPLOYMENT AND EDUCATION FORM

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Name

Benjamin E Smith

Residential Address

5 Studley Road
Hingham, MA
0203

Title (at applicant non-profit corporation)

CFO (Treasurer/Clerk)

Name of Applicant Non-Profit Corporation

Mission Massachusetts, Inc.

Highest Education Attained – Institution, Degree, and Year

Bachelor of Science in Environmental Design, U-MASS-Amherst, Amherst, MA
Associates of Science in Landscape Operations, Stockbridge School of Agriculture, Amherst, MA
1993
## Past 10 Years of Employment by Employer, Title and Time Period

List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.

<table>
<thead>
<tr>
<th>Employer</th>
<th>Title</th>
<th>Time Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>GREENFIN SUPPLY, LLC, Hingham, MA</td>
<td>Founder</td>
<td>October 2014-Present</td>
</tr>
<tr>
<td>CADENCE CAPITAL MANAGEMENT, Boston, MA</td>
<td>Operations Manager/Account Officer/Trader</td>
<td>June 2007 - September 2014</td>
</tr>
<tr>
<td>CHAPDELAINE INSTITUTIONAL EQUITIES, Boston, MA</td>
<td>Equity Trader</td>
<td>December 2005 - May 2007</td>
</tr>
<tr>
<td>LEERINK, SWANN &amp; COMPANY, Boston, MA</td>
<td>Operations Specialist/OTC Trading Assistant</td>
<td>January 2003 - December 2005</td>
</tr>
</tbody>
</table>

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

Signature of the Individual: [Signature]

Date Signed: [11/14/15]
SECTION D. EMPLOYMENT AND EDUCATION FORM

This Employment and Education form must be completed and signed by each of the following individuals: The Corporation's Chief Executive Officer, Chief Operations Officer, Chief Financial Officer, individual/entity responsible for marijuana for medical use cultivation operations, and individual/entity responsible for the RMD security plan and security operations. Submit one Employment and Education form for each of the above individuals when submitting a Management and Operations Profile to the Department of Public Health.

Name
Andrew Fisher Thut

Residential Address
369 Elm Street
Concord, MA
01742

Title (at applicant non-profit corporation)
Chief Executive Officer / Chief Operating Officer

Name of Applicant Non-Profit Corporation
Mission Massachusetts, Inc.

Highest Education Attained – Institution, Degree, and Year
Dartmouth College, Bachelor of Arts, 1995
Past 10 Years of Employment by Employer, Title and Time Period. List chronologically, beginning with most recent employment. Add more forms if space is needed for additional employment history entries.

<table>
<thead>
<tr>
<th>Employer</th>
<th>Title</th>
<th>Time Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>4Front Ventures</td>
<td>Chief Investment Officer</td>
<td>October 2014 - Present</td>
</tr>
<tr>
<td>Kea Private Capital</td>
<td>Partner</td>
<td>May 2014 - Present</td>
</tr>
<tr>
<td>BlackRock</td>
<td>Managing Director</td>
<td>April 2002 - April 2013</td>
</tr>
</tbody>
</table>

Signed under the pains and penalties of perjury, I agree and attest that all information included in this form is complete and accurate.

Signature of the Individual: _______________________

Date Signed: 8/11/15