Appendix U is applicable to the following MassHealth providers.

- acute inpatient and acute outpatient hospitals and hospital-licensed health centers (HLHCs)
- privately owned chronic disease and rehabilitation inpatient and outpatient hospitals
- state-owned non-acute inpatient and outpatient hospitals operated by the Department of Public Health (DPH)
- acute inpatient and acute outpatient hospitals and HLHCs billing for acute inpatient and acute outpatient hospital-based physician services
- freestanding ambulatory surgery centers (FASCs)
- substance abuse treatment inpatient and outpatient hospital

The following events that are designated by the Massachusetts Department of Public Health (DPH) as “Serious Reportable Events (SREs)” in accordance with 105 CMR 130.332 (or 105 CMR 140.308, as applicable) are not considered “Provider Preventable Conditions” (PPCs) under MassHealth. These SREs shall be subject to applicable MassHealth provisions on “Serious Reportable Events” set forth, in the case of DPH-licensed hospital providers, in the hospital’s agreement with MassHealth governing payment for services, or in the case of freestanding ambulatory surgery centers, in Transmittal Letter FAS-25.

1. Discharge or release of a patient/resident of any age, who is unable to make decisions, to other than an authorized person
2. Any incident in which systems designated for oxygen or other gas are delivered to a patient contain no gas or the wrong gas or are contaminated by toxic substances
3. Any instance of care ordered by or provided by someone impersonating a physician, nurse, pharmacist, or other licensed health care provider
4. Abduction of a patient/resident of any age
5. Sexual abuse/assault on a patient or staff member within or on the grounds of the health care setting

NOTE: The above list is subject to change.
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