

OPERATING UNDER THE INFLUENCE OF INTOXICATING LIQUOR¹

The defendant is charged with operating a motor vehicle while under the influence of intoxicating liquor (in the same complaint which charges the defendant with operating a motor vehicle with a blood alcohol level of .08 percent or greater).

In order to prove the defendant guilty of this offense, the Commonwealth must prove three things beyond a reasonable doubt:

***First:* That the defendant operated a motor vehicle;**

***Second:* That the defendant did so (on a public way) (or) (in a place where the public has a right of access) (or) (in a place where members of the public have access as invitees or licensees); and**

***Third:* That while operating the vehicle, the defendant was under the influence of intoxicating liquor.**

At this point, the jury must be instructed on the definitions of "Operation of a Motor Vehicle" (Instruction 3.200), "Public Way" (Instruction 3.280), and under the influence of intoxicating liquor (which follows), unless the defendant has stipulated to these elements. See instruction below regarding stipulations.

The third element which the Commonwealth must prove beyond a reasonable doubt is that the defendant was under the influence of

¹ If the Commonwealth intends to proceed both upon a charge of OUI-alcohol and OUI-drugs, the Court should order that sobriety tests be referred to in both cases as roadside assessments.

intoxicating liquor while operating a motor vehicle. What does it mean to be “under the influence” of alcohol? It is not illegal to drive after consuming alcohol as long as the operator is not under the influence of alcohol. However, neither does someone have to be drunk to be under the influence of alcohol. A person is under the influence of alcohol if he (she) has consumed enough alcohol to reduce his (her) ability to operate a motor vehicle safely, by decreasing his (her) alertness, judgment and ability to respond promptly. It means that a person has consumed enough alcohol to reduce his (her) mental clarity, self-control and reflexes, and thereby left him (her) with a reduced ability to drive safely.

The Commonwealth is not required to prove that the defendant actually drove in an unsafe or erratic manner, but it is required to prove that his (her) *ability* to drive safely was diminished by alcohol. The amount of alcohol necessary to do this may vary from person to person. You may rely on your experience and common sense about the effects of alcohol. You should consider any believable evidence about the defendant’s alleged consumption of alcohol, as well as the defendant’s appearance, condition, and behavior at the time.

Limited use of breath test result of .08 or greater.

You may also

consider whether a (breath) (blood) test showed that the defendant had consumed any alcohol. However, no matter what the reading is, the (breath) (blood) test is not sufficient by itself to prove that the defendant was under the influence of alcohol.

A result of a .08 or greater is not admissible on the issue of impairment without “expert testimony establishing a relationship between the test results and intoxication as a foundational requirement of the admissibility of such results.” *Commonwealth v. Colturi*, 448 Mass. 809, 818 (2007); *Commonwealth v. Hubert*, 71 Mass. App. Ct. 661, 663, aff’d, 453 Mass. 1109 (2009). The evidence of a breath test is admissible only on the issue of whether the defendant consumed alcohol.

If the Commonwealth initially proceeds under both portions of the statute and the judge subsequently allows a motion for required finding on the per-se portion of the offense, the judge must determine whether or not to strike any breath test evidence, absent expert testimony. See *Colturi, supra* (“if the per se and impaired ability theories of criminal liability are charged in the alternative . . . and so tried, we see no prejudice in the admission of breathalyzer test results without expert testimony If, however, the Commonwealth were to proceed only on a theory of impaired operation and offered a breathalyzer test result of .08 or greater, . . . it must present expert testimony establishing a relationship between the test result and intoxication as a foundational requirement of the admissibility of such tests” since otherwise “the jury would be left to guess at its meaning”). If the breath test results are allowed to remain in evidence, the above insertion should be incorporated.

If there are stipulations.

Because the parties have stipulated

(that the defendant was operating a motor vehicle) (and) (that the location was a public way) (that the location was one to which the public had a right of access) (that the defendant was under the influence of intoxicating liquor), the only element(s) the Commonwealth must prove beyond a reasonable doubt is (are) that the defendant (elements) . If the Commonwealth has

proved (that) (those) element(s) beyond a reasonable doubt, you should return a verdict of guilty. If it has not, you must find the defendant not guilty.

If there are no stipulations.

So there are three things that the Commonwealth must prove beyond a reasonable doubt:

First: That the defendant operated a motor vehicle;

Second: That the defendant did so (on a public way) (or) (in a place where the public has a right of access) (or) (in a place where members of the public have access as invitees or licensees); and

Third: That while the defendant was operating the vehicle, he (she) was under the influence of intoxicating liquor.

If the Commonwealth has proven all three elements beyond a reasonable doubt, you should return a verdict of guilty. If the Commonwealth has failed to prove one or more of these elements beyond a reasonable doubt, you must return a verdict of not guilty.

SUPPLEMENTAL INSTRUCTIONS

1. If there is opinion evidence about the defendant's sobriety (optional).

You

have heard testimony of (an opinion) (opinions) about the

defendant's sobriety. Ultimately, it is for you as the jury to determine whether the defendant was under the influence of alcohol according to the definition I have provided. You may consider any opinion you have heard and accept it or reject it. In the end, you and you alone must decide whether the defendant was under the influence of intoxicating liquor.

*2. "Downs" instruction **only** where requested by the defendant*

You are not to mention or consider in anyway whatsoever, either for or against either side, that there is no evidence of a breathalyzer. You may not speculate or guess about it because there is no evidence about it. Do not consider that in any way. Do not mention it. And put it completely out of your mind.

3. If there is evidence of field sobriety tests or roadside assessments

You have heard evidence in this case that the defendant performed (field sobriety tests) (roadside assessments). It is for you to decide if those (tests) (assessments) demonstrate the defendant's ability to operate a motor vehicle safely was diminished. It is for you to determine whether to rely on this evidence. You may accept it or reject it and you may give it such weight as you think it

deserves. In evaluating the evidence, you may consider the nature of the tests, the circumstances under which they were given and performed, and all of the other evidence in this case.

4. If there is evidence the defendant was not offered a field sobriety test. **There**

is evidence that there were no (field sobriety tests) (roadside assessments). This is a factor you may consider in evaluating the evidence presented in this case. With respect to this factor, you should consider three questions:

First: Whether the omitted tests were standard procedure or steps that would otherwise normally be taken under the circumstances;

Second: Whether the omitted tests could reasonably have been expected to lead to significant evidence of the defendant's guilt or innocence; and

Third: Whether the evidence provides a reasonable and adequate explanation for the omission of the tests or other actions.

If you find that any omissions in the investigation were significant and not adequately explained, you may consider

whether the omissions tend to affect the quality, reliability, or credibility of the evidence presented by the Commonwealth.

All of these considerations involve factual determinations that are entirely up to you, and you are free to give this matter whatever weight, if any, you deem appropriate based on all the circumstances.

A motorist's *refusal* to perform field sobriety tests when requested to do so by the police may not be admitted in evidence, since such evidence violates the privilege against self-incrimination under art. 12 of the Massachusetts Declaration of Rights. *Commonwealth v. McGrail*, 419 Mass. 774 (1995).

This supplemental instruction is available in the different situation where the police did *not* offer the defendant an opportunity to perform field sobriety tests, and the defense argues to the jury that this deprived the defendant of an opportunity to generate exculpatory evidence. See *Commonwealth v. Ames*, 410 Mass. 603, 609 (1991). The judge may also wish to consider leaving the matter to the parties to argue, see *Commonwealth v. Ly*, 19 Mass. App. Ct. 901, 901-902 (1984), unless an instruction is necessary to correct a suggestion that such tests are legally required. This instruction is based upon Instruction 3.740 ("Omissions in Police Investigation").

In instructing that such tests are not legally mandatory, the judge must avoid negating the defendant's right to build a defense on the grounds that available, probative testing was not performed by police. See *Commonwealth v. Bowden*, 379 Mass. 472, 485-486 (1980).

5. *If there is evidence both of alcohol and drug usage.*

The defendant may be found guilty of this offense if his (her) ability to operate a vehicle safely was diminished, and alcohol was one contributing cause of that diminished ability. It is not necessary that alcohol was the only or exclusive cause.

If the defendant's ability to operate safely was diminished by alcohol, then he (she) has violated the statute even if some other factor tended to magnify the effect of the alcohol or contributed to his (her) diminished capacity to operate safely. It is not a defense that there was a second contributing cause so long as alcohol was *one* of the causes of the defendant's diminished capacity to operate safely.

If alcohol was not one of the causes of the defendant's diminished capacity to operate safely, the defendant must be found not guilty.

6. *If breath test result of .05 or less is in evidence.*

If the percentage of alcohol by weight in the defendant's blood was .05 percent or less, that is evidence from which you may infer that the defendant was *not* under the influence of intoxicating liquor. You are not required to reach that conclusion. You may consider the test result along with all the other evidence in the case to determine whether the Commonwealth has met its burden of proving beyond a reasonable doubt that the defendant was under the influence of intoxicating liquor.

7. If breath test result of .06 or .07 is in evidence.

If the percentage of alcohol by weight in the defendant's blood was .06 percent or .07 percent, that is evidence which you may consider in determining whether the defendant had consumed any alcohol. However, you may not draw any inference from those results as to whether or not the defendant was under the influence of alcohol. To determine that issue, you must look to all the evidence in the case.

"In any prosecution for a violation of [G.L. c. 90, § 24(a)], evidence of the percentage, by weight, of alcohol in the defendant's blood at the time of the alleged offense, as shown by chemical test or analysis of his blood or as indicated by a chemical test or analysis of his breath, shall be admissible and deemed relevant to the determination of the question of whether such defendant was at such time under the influence of intoxicating liquor If such evidence is that such percentage was five one-hundredths or less, there shall be a permissible inference that such defendant was not under the influence of intoxicating liquor [;] if such evidence is that such percentage was more than five one-hundredths but less than eight one-hundredths there shall be no permissible inference." G.L. c. 90, § 24(1)(e).

See *Colturi*, 448 Mass. at 817, as to instructing the jury on these statutory inferences.

NOTES:

1. **Statute now bifurcated.** Statute 2003, c. 28, § 1 (effective June 30, 2003) amended G.L. c. 90, § 24(1) so that it now punishes anyone who "operates a motor vehicle with a percentage, by weight, of alcohol in their blood of eight one-hundredths or greater, or while under the influence of intoxicating liquor" or specified drugs. The two alternatives comprise a single offense that may be committed in two different ways. *Commonwealth v. Colturi*, 448 Mass. 809 (2007). The "operating under the influence" alternative requires proof of operation "with a diminished capacity to operate safely," *Commonwealth v. Connolly*, 394 Mass. 169, 173 (1985), but not proof of any specific blood alcohol level, while the "per se" alternative requires proof of operation with a blood alcohol level of .08% or greater but not proof of diminished capacity.

2. **Model instruction.** The model instruction is based on *Commonwealth v. Colturi*, 448 Mass. 809 (2007); *Commonwealth v. Tynes*, 400 Mass. 369, 374-375 (1987); *Connolly*, 394 Mass. 169 (1985); *Commonwealth v. Bernier*, 366 Mass. 717, 720 (1975); and *Commonwealth v. Lyseth*, 250 Mass. 555 (1925).

It is correct to charge that a person need not be drunk to be under the influence of liquor, but it is error to

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instruct that the defendant need only be “influenced in some perceptible degree” by liquor, *Connolly*, 394 Mass. at 172, since “a conviction may rest only on proof that alcohol affected him in a *particular* way, i.e., by diminishing his capacity to drive safely” (emphasis in original). *Tynes*, 400 Mass. at 375. “[T]he Commonwealth must prove beyond a reasonable doubt that the defendant’s consumption of alcohol diminished the defendant’s ability to operate a motor vehicle safely. The Commonwealth need not prove that the defendant *actually drove* in an unsafe or erratic manner, but it must prove a diminished capacity to operate safely” (emphasis in original). *Connolly*, 394 Mass. at 173. A non-conforming charge is reversible error unless there is no objection and there is substantial evidence of unsafe operation. Contrast *Commonwealth v. Marley*, 396 Mass. 433 (1985) (reversible error) with *Commonwealth v. Bryer*, 398 Mass. 9 (1986) (harmless error).

The model instruction appropriately uses the phrase “mental clarity, self-control, and reflexes” as examples or factors that the jury may use in determining whether the defendant’s capacity to operate safely was impaired. The Commonwealth must prove such impairment beyond a reasonable doubt, but is not required to prove any of those particular three factors. *Commonwealth v. Riley*, 48 Mass. App. Ct. 463, 465 (2000).

3. Absence of breath test. Where there has been no breath test, a judge may give the instruction approved in *Commonwealth v. Downs*, 53 Mass. App. Ct. 195, 198 (2001). The *Downs* instruction may be given **if and only if** the defendant assents. *Commonwealth v. Wolfe*, 478 Mass. 142 (2017). It should not be given even when a jury inquires about a missing breath test unless assented to by the defendant. The judge may instruct only that the jury must not speculate about matters about which there is no evidence.

4. Refusal of breath test. “Evidence that the defendant failed or refused to consent to [a blood alcohol] test shall not be admissible against him in a civil or criminal proceeding” G.L. c. 90, § 24(1)(e). Admission of such evidence would violate the privilege against self-incrimination under art. 12 of the Massachusetts Declaration of Rights. *Opinion of the Justices*, 412 Mass. 1201, 1211 (1992).

5. Breath tests: statutory inferences. In charging the jury as to the significance of blood or alcohol tests for the “under the influence” alternative, note that the third part of the former statutory inference in G.L. c. 90, § 24(1)(e) (“and if such evidence is that such percentage was eight one-hundredths or more, there shall be a permissible inference that such defendant was under the influence of intoxicating liquor”) has been deleted. Therefore, the jury may no longer be instructed in such terms. On the other hand, § 24(1)(e) continues to provide that “evidence of the percentage, by weight, of alcohol in the defendant’s blood at the time of the alleged offense, as shown by chemical test or analysis of his blood or as indicated by a chemical test or analysis of his breath, shall be admissible and deemed relevant to the determination of the question of whether such defendant was at such time under the influence of intoxicating liquor.”

6. Testimony about actions of defendant who agreed to a breath test. Once a defendant consents to a breath test, testimony about the defendant’s performance or non-performance is admissible without a preliminary ruling of admissibility by the court. *Commonwealth v. Adonsoto*, 475 Mass. 497, 501 (2016).

7. Drugs as a contributing cause. Supplemental Instruction 4, *supra*, is closely modeled on the language of, and the recommended instruction in, *Commonwealth v. Stathopoulos*, 401 Mass. 453, 456-457 & n.4 (1988). This situation, where both alcohol and illegal drugs are concurrent causes of the defendant’s *voluntary* intoxication, must be distinguished from that where a legally prescribed drug may have been the cause of the defendant’s *involuntary* intoxication. “[Where a defendant suffers intoxicating effects from prescription medication used as instructed . . . , if the defendant had reason to know that her use of alcohol might combine with her prescription medications to impair her mental faculties, and such a combined effect was in fact the cause of her diminished abilities, she would be deemed criminally responsible for her actions. If, on the other hand, she had no such foreknowledge, or if her mental defect existed wholly apart from any use of alcohol, the defense [of involuntary intoxication] would be available [T]he Commonwealth bears the burden of proving that the defendant’s intoxication was voluntary.” *Commonwealth v. Darch*, 54 Mass. App. Ct. 713, 715-716 (2002). See also note 4 to Instruction 5.400 (“OUI-Drugs”). No reported case has yet discussed whether the same rule applies to involuntary intoxication from licit but non-prescription drugs. If alcohol contributed to a defendant’s diminished ability to operate a motor vehicle safely, the defendant is not entitled to an instruction that she should be acquitted if she did not know of the potential effects of mixing her medication with alcohol. *Commonwealth v. Bishop*, 78 Mass. App. Ct. 70, 73-74 (2010).

8. Subsequent offenses. See Instruction 2.540 (“Subsequent Offenses”). To be convicted of a second offense OUI, the first conviction must have preceded the date of the second offense (and not merely the date of the second conviction). *Commonwealth v. Hernandez*, 60 Mass. App. Ct. 416, 417 (2004).

9. Videotapes. Videotapes are admissible if they are relevant, they provide a fair representation of what they purport to depict, and they are not otherwise barred by an exclusionary rule. A videotape of the defendant being booked in an open area of a station house does not offend the Fourth Amendment (because no “search” is involved), does not violate the Sixth Amendment (where the right to counsel has not attached at the time of arrest), and its video portion does not violate the Fifth Amendment (since the defendant’s condition and actions are not “testimonial”). With respect to the audio portion, the defendant’s responses to standard booking questions do not require a valid *Miranda* waiver to be admissible, since they do not involve “custodial interrogation,” but any answers to questions about the defendant’s drinking must be excised from the videotape unless there was a valid *Miranda* waiver. *Commonwealth v. Mahoney*, 400 Mass. 524, 526-530 (1987); *Commonwealth v. Carey*, 26 Mass. App. Ct. 339, 340-342 (1988) (sobriety tests). See *Commonwealth v. Harvey*, 397 Mass. 351, 357-359 (1986) (videotape of protective custody); *Commonwealth v. Cameron*, 25 Mass. App. Ct. 538, 543-49 (1988) (lost police videotape).

10. § 24O notice. While the requirement of G.L. c. 90, § 24O that defendants convicted of motor vehicle offenses should be given a written statement of the statutory provisions applicable to any subsequent violation “should be observed by the District Courts,” failure to give a defendant such notice is not a defense against a subsequent charge as a second offender. *Commonwealth v. Dowler*, 414 Mass. 212 (1993).