COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF LABOR RELATIONS  

In the Matter of  

CITY OF GLOUCESTER  
and  

GLOUCESTER POLICE PATROLMEN’S ASSOCIATION, MCOP, LOCAL 344  

Case No. MUP-17-6076  
Date Issued: September 5, 2019  

Hearing Officer:  

Kerry Bonner, Esq.  

Appearances:  

Thomas Mullen, Esq.: Representing the City of Gloucester  

Ryan Murphy, Esq.: Representing the Gloucester Police Patrolmen’s Association  

HEARING OFFICER’S DECISION  

Summary  

1 The issue in this case is whether the City of Gloucester (City or Employer) violated  

2 Section 10(a)(1) of Massachusetts General Laws Chapter 150E (the Law) by ordering the  

3 Gloucester Police Patrolmen’s Association (Association, Union or GPPA) President to  

4 answer questions concerning his conduct as Association President and by making certain  

5 statements to a bargaining unit member regarding the Association President’s actions to  

6 revise a detail pay rate. Based on the record and for the reasons explained below, I find  

7 that the City violated the Law as alleged.
Statement of the Case

1 On July 13, 2017, the Association filed a Charge of Prohibited Practice with the
2 Department of Labor Relations (DLR) alleging that the City had engaged in prohibited
3 practices within the meaning of Sections 10(a)(1) and 10(a)(3) of the Law. On September
4 11, 2017, the Association filed a Motion to Amend the Charge and an Amended Charge.
5 On December 12, 2017, a DLR investigator issued a Complaint of Prohibited Practice
6 and Partial Dismissal (Complaint),\(^1\) which alleges that the City violated Section 10(a)(1)
7 of the Law when the Chief of Police ordered the Association President to answer certain
8 questions regarding his union activity as part of an internal investigation, and when the
9 Chief made negative comments to unit members about a pending grievance involving
10 detail pay. On December 21, 2017, the City filed an Answer to the Complaint. I conducted
12 Following the hearing, the Association and City each timely filed post-hearing briefs.
13 Based on the entire record, including my observation of the witnesses’ demeanor, I make
14 the following findings of fact and render the following opinion.

Stipulations of Fact

1. The Respondent, the [City], is a public employer within the meaning of Section 1
   of G.L. c. 150E (hereinafter, “the Law”).

2. The [Union] is an employee organization within the meaning of Section 1 of the
   Law.

\(^1\) The Investigator dismissed a Section 10(a)(2) allegation, which appears to have been
raised by the Association at the in-person investigation as it was not included in the
original or amended charge of prohibited practice. Additionally, the Association verbally
withdrew the Section 10(a)(3) allegation on the first day of hearing.
3. The Union is the exclusive bargaining representative for certain police officers employed by the City at the Gloucester Police Department (Department).

4. At all relevant times, John McCarthy, the Chief of the Department, was an agent of the Employer.

5. At all relevant times in 2017, Leon Stuart was a patrol officer, member of the bargaining unit, and President of the Union.

6. At all relevant times, Stephen Lamberis was a patrol officer and member of the bargaining unit represented by the Union.

**Findings of Fact**

**May 11, 2017 Meeting**

In or around May 2017, following a verbal altercation between members of the Association and the Gloucester Superior Officers Association (GSOA) at an Association member's wake, there was continuing friction and discord between the two unions. On May 11, 2017, Leon Stuart (Stuart), Association President at the time, met with Donna Leete (Leete), Human Resources Director, in her office at City Hall to discuss the Association unit members' complaints that they had been mistreated by GSOA members at the wake. About 15-20 minutes into this meeting, Michael Williams (Williams), GSOA President, joined them.

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2 Other events also contributed to the friction between the two unions, but they are not relevant to my decision.

3 Williams was planning to speak just with Leete, but when he arrived, he learned that Stuart was already meeting with Leete.
After speaking for a short while, they heard voices and the chirp of a police radio on the other side of Leete's closed solid wood door. They began to speak in hushed tones for a few seconds. Leete then opened the door and saw the backs of Police Lieutenant David Quinn (Quinn) and Sergeant Jeremiah Nicastro (Nicastro). Shortly thereafter, Williams looked out the window and saw Quinn and Nicastro leaving City Hall.

Following the meeting, Stuart advised Susan Horwitz (Horwitz), Association counsel, that he believed Quinn and Nicastro had been eavesdropping during his meeting with Leete. Horwitz therefore sent the following letter to Leete on May 11, 2017:

As you know, there is an ongoing investigation concerning inappropriate statements made by Lieutenant David Quinn at the wake for Patrol Officer Heath Moseley. The Gloucester Police Patrol Officers Association and its members are very disturbed about the conduct of Lieutenant Quinn at the wake. It is insulting to the memory of Officer Moseley and very disrespectful of Officer Moseley’s family and to the men and women of the Gloucester Police Department. Lieutenant Quinn’s conduct is clearly unbecoming a police officer.

Because of the actions of Lieutenant Quinn, Union President Stuart came to your office today to express the concerns of the GPPA bargaining unit officers and to insure that the City takes appropriate action to make sure

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4 Stuart testified that Leete started to whisper only after opening the door and seeing the backs of two superior officers. Both Leete and Williams testified that the whispering started after hearing noises outside but ended once Leete opened the door. I credit Leete’s and Williams’ testimony which was consistent and more plausible.

5 Although Stuart testified that after Leete opened the door, she said that Quinn and Nicastro were listening to their conversation, neither Leete nor Williams recalled Leete making any such comment, and Leete credibly testified that she never ascertained that Quinn and Nicastro were listening outside the door. I credit Leete’s testimony which is in accord with her subsequent actions, including her written communication to the Association the next day in which Leete concluded that Quinn and Nicastro had not listened outside her door.

6 The letter was also copied to Gloucester Mayor Sefatia Romeo Theken (Mayor Theken).
that the members of the bargaining unit are not retaliated against or
intimidated by the Gloucester Superior Officers. While President Stuart was
in your office with the door closed so that he could express the Union’s
concerns to you in privacy, you and he heard Sergeant Nicastro and
Lieutenant Quinn directly outside your door, clearly listening to your
discussion. Soon after you opened the door, they walked away and left. It
is clear that the actions of police department superior officers was intended
to further interfere with President Stuart’s Union activity on behalf of his
members and to serve to intimidate President Stuart and his members.

The GPPA therefore insists that the City of Gloucester take action to
investigate the conduct of Gloucester Superior Officers and specifically
Lieutenant Quinn and Sergeant Nicastro, to insure that these supervisors
cease and desist from taking action to interfere with the GPPA and the
patrol officers of the Gloucester Police Department who are asserting their
rights under M.G.L.c. 150E.

We also see this continued inappropriate conduct and intimidation tactics
as further insult to the memory of Officer Heath Moseley and to his family.
This is entirely unacceptable.

If the City does not take action to address the conduct of these superior
officers, the Union will be compelled to file charges at the Department of
Labor Relations.

I appreciated [sic] your immediate attention to this matter.

May 12, 2017 Meeting

The City ordered Stuart and Williams to attend a meeting at City Hall on May 12,
2017 to discuss several issues, including the meeting in Leete’s office on the previous
day. Mayor Theken, Chief of Police John McCarthy (McCarthy), Assistant HR Director
Holly Dougwillo (Dougwillo), and Leete also attended, among others. During the meeting,
Dougwillo recounted what she had seen the day before. Dougwillo had been in the
personnel office with a clear view of Leete’s door. Dougwillo explained that she had
noticed Nicastro and Quinn come up the stairs and approach Leete's office. After a very
brief pause outside Leete's door, they went instead into the personnel office and had a
brief discussion with Dougwillo. Stuart acknowledged at the hearing that he heard
Dougwillo say that Nicastro and Quinn had not listened at Leete's door the day before.
However, he still believed, based on his own perceptions of what had taken place on the
previous day, that Quinn and Nicastro had eavesdropped on his conversation with Leete.
McCarthy and Leete, however, believed that the matter was resolved at this point.

By email dated May 12, 2017, Leete advised Horwitz and Stuart of the following,
in relevant part:

Please accept this response to your letter of May 11, 2017. This morning
Mayor Theken, Jim Destino, Chip Payson, Chief McCarthy, Assistant HR
Director Holly Dougwillo and I met with GPPA President Leon Stuart and
GSOA President Michael Williams. Among the many subjects of discussion
were the continuing investigation into inappropriate and vulgar comments
made at the recent wake for Officer Moseley, the City's united stance
prohibiting intimidation or retaliation for anyone making a complaint or
serving as a witness to an internal investigation, and our strong commitment
to build and support a professional Police Department respected by the
community.

Although Dougwillo indicated during her testimony that she did not recall that Quinn and
Nicastro came to the personnel office and had a discussion with her on May 11, 2017, I
conclude from the cumulative testimony of the other witnesses that a brief discussion did
take place. Both Quinn and Nicastro recall speaking with Dougwillo on May 11, 2017.
Moreover, Williams, Stuart, Leete, and McCarthy all recall that on May 12, 2017,
Dougwillo explained that she had briefly spoken with Quinn and Nicastro the day before
when the two came to the personnel office after briefly pausing outside Leete's door.

Stuart admitted that he knew Dougwillo to be truthful, and he felt "a little better" after
hearing her account at the May 12 meeting, but that he still "had some underlying issues"
and was not "completely comfortable." He believed that the City had not fully investigated
the matter and he knew that Quinn and Nicastro were outside Leete's door for long
enough that those inside the meeting could hear their voices and police radio.
Ms. Dougwill has clarified that while the 2 union presidents were meeting with me in my office, she witnessed Lt. Quinn and Sgt. Nicastro approaching my office door. Hearing voices, they then backed away from the door and entered her office. There was no evidence that these officers were listening to a private conversation or attempting to intimidate the union presidents. Rather, it has been confirmed that Lt. Quinn, the subject of the ongoing investigation, wished to speak with me directly. To ensure confidentiality in my office going forward, we now ask all employees wishing to speak with me privately to first approach the personnel office.

The City greatly appreciates President Stuart’s position that all officers be treated fairly with the same standards and his willingness to bring any concerns forward for resolution. We look forward to working with the GPPA proactively to resolve any and all concerns. Thank you.¹⁹

On May 12, 2017, Stuart directed Joseph Balbo (Balbo), Association Secretary, to send Horwitz’s May 11, 2017 letter to the bargaining unit. Balbo sent the following email,¹⁰ to the membership: “PLEASE READ THE ATTACHED DOCUMENT. THE ABOVE DOCUMENT IS FOR GPPA MEMBERS ONLY” and he attached Horwitz’s May 11, 2017 letter to Leete.¹¹

A few days later, Stuart directed Balbo to also forward Leete’s May 12, 2017 email to the Association membership to keep them updated. On May 16, 2017, Balbo sent an

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¹⁹ Stuart testified that he did not agree with the conclusion in Leete’s letter.

¹⁰ Balbo used his personal email address to send the email to the members’ personal email addresses. The Union would often communicate via personal email, rather than their City email addresses.

¹¹ Stuart testified that he wished to forward this letter to the members to keep them updated “on what was going on, where it was, and what we planned on doing.”
email entitled “update.” Balbo wrote, in part, that “first is the response from the city 
regarding the previous email,” and he copied Leete’s May 12 letter.

On May 18, 2017, Stuart sent the following email to Union members:

As most of you are aware we have had two incidents over the last two weeks. By now you all know the details, but thought it best to send out a personal email to the body. I would like to add the G.P.P.A. has no control over where and when events like these will happen. I feel it’s very unfortunate that one happened at Health’s wake and another during the night he was escorted home from the Medical Examiner’s office. Two officers came forward with complaints of discourteous [sic] and disrespect, and sought union representation. As you’re aware our attorney Susan Horwitz served the city with our complaint on any possible intimidation, or retaliation to any member who could be involved as victims, or witnesses in any investigation. When Susan wrote this complaint she did as our legal counsel, but she and Heath also became friends over the last year. Susan did attend Heath’s wake to pay her respects to the family. I fully support the complaint and the way it was written. I personally read and approved the complaint before it was served. I do understand that we all have our own opinions on the content of the complaint, but where and when it happened and who it potentially affected [sic], were very important elements of the complaint. This type of conduct is not acceptable at any time or place, and as Union President it’s my obligation to protect the membership from this type of offensive behavior. I realize that some of you have heard many things coming from the others and they may feel they should not be held to the same standards as everyone else. I assure you that I plan to follow through to the end and fight for equal rights, treatment and accountability. I appreciate the officers who came forward and wrote reports on their observations. One last thanks to the members who have reached out in support of my efforts. I realize that some members are still reluctant to take one side or the other, but you’re not forgotten and I do understand your concerns for staying quiet. I hope when this is over the membership will know that they can come to work with no fear of being targeted for any reason.  

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12 Balbo’s May 16, 2017 email also addressed other matters that are not relevant here.  
13 Stuart sent this email to the membership to let them know that, as the Association President, he was “going to continue to fight for equal rights, equal treatments, and not let my members be belittled by any other supervisor or any other patrolman for that matter, especially at a fellow officer’s funeral or any other place.”
Quinn and Nicastro Submit Complaints against Stuart

On May 12, 2017, an Association member informed Nicastro that Horwitz's letter, which had complained about him and Quinn, had been sent to all Association members. This Association member also provided Nicastro with a copy of the letter. Nicastro then texted Quinn to inform him about this development. Both Nicastro and Quinn were very upset that Horwitz's letter, which they deemed to include false allegations about them, had been sent out to all Association members. On May 12, 2017, Nicastro emailed Leete and McCarthy to request a copy of the "false accusation from mass cops attorney that was initiated by Officer Stuart, and Lt. Williams..." He complained that:

This letter of allegation from the Masscops attorney has been forwarded to the entire patrolmans Union by Officer Stuart. Even though Officer Stuart knows this allegation is completely false he shared this with his entire Union body and this is nothing but insubordination of a sergeant and a Lieutenant which affects the daily routine of the police department. The letter states Donna Leete caught us listening at her door during a closed door meeting. I can attest that Donna Leete never said such thing as it wasn't true...

Nicastro also wrote that "This allegation is nothing but a fabricated lie and the fact the Union attorney email was shared throughout is clearly undermining our authority and creating more disruption within the police department."

Nicastro followed up the above email with a request for an investigation. On the following day, May 13, 2017, Nicastro emailed Leete and McCarthy, in part, as follows:

Nicastro was humiliated and embarrassed by the allegations and believed they impacted his performance at his upcoming promotional interview. He did not receive the promotion. Quinn was also mortified by the allegations, which caused him to have a strong emotional reaction at home.
I have read the complaint from Susan Horwitz's [sic] on behalf of Lt Mike Williams and Leon Stuart, one who is GSOA PRESIDENT.

The letter demands an internal investigation into LT Quinn and I getting caught by Donna Leete listening at her door. As Mrs. Leete knows this is a complete lie and it shouldn’t be allowed without discipline.

Not only are they requesting an internal investigation but Lt. Quinn and I are demanding it to protect my integrity within the police department...

I'm disgusted that [a] member of this police department can accuse and LIE about other members of wrongdoing to tarnish their reputation with no punishment from the city.

Not only is mass cops recommending action be taken so am I. I am requesting an independent internal investigation with [a] lie detector test to prove that this allegation that was shared throughout the entire police department by Officer Stuart was in fact a [l]ie. My reputation and integrity within the police department has been jeopardized by a lie from [O]fficer Stuart and Mike Williams.

Once that is a lie proven and accusation like this is proven false [sic] it must be followed with charges of conduct unbecoming of a police officer and should be dealt with accordingly.

Nicastro concluded his email by stating that “I am request [sic] a full investigation into the truthfulness into this false allegation. Once proven a lie I would expect action be taken to restore the normal routine of the police department. This false accusation has caused me and my family excessive stress and it's uncalled for.”

Quinn did not submit a request in writing, but he verbally complained to McCarthy that he had been falsely accused of listening outside Leete's door and requested that McCarthy investigate Stuart’s distribution of Horwitz's letter.

**Investigation into Officers' Complaints**

According to the Department's Policy and Procedure No. 4.01:
It is the policy of this department to:

A. Investigate all complaints, including anonymous complaints, against the department or a member of the department, regardless of the source of such complaints through a regulated, fair, and impartial Internal Affairs Program;

B. Determine whether or not such complaints are valid; and

C. Take appropriate action.

The policy sets forth that the complaint can be verbal or written and that all complaints must be investigated.

After receiving complaints from Nicastro and Quinn, McCarthy initiated an investigation into Stuart’s conduct. He first spoke with Balbo, who had sent out Horwitz’ letter to the bargaining unit members. McCarthy asked Balbo if he had sent the Horwitz letter out on his own. Balbo responded that Stuart had instructed him to send the letter. McCarthy asked him if he was aware of the May 12, 2017 meeting which addressed, and in his mind resolved, the allegations in the Horwitz letter. Balbo indicated he had been unaware of that meeting at the time he sent Horwitz’s letter to the membership.

On June 29, 2017, Chief McCarthy sent the following to Stuart, regarding “Incident at Personnel Office – May 11, 2017,” in relevant part:

After receiving formal complaints from two ranking officers of this department, I am conducting an investigation into the events of May 11, 2017 which occurred at the Personnel Office and subsequent correspondence from Union Attorney Susan Horwitz.

I am further investigating the dissemination of these false allegations to all patrolmen in this department and to the Gloucester Daily Times Reporter

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15 McCarthy testified that he did not also question Williams because there was no allegation that Williams disseminated false information.
Ray Lamont. I am ordering you to submit a report to me within forty-eight (48) hours of receiving this request answering the following questions.

McCarthy listed fourteen questions. McCarthy ended his communication as follows:

As this investigation may lead to violations of this Department's Rules and Regulations Policies and Procedures and could result in disciplinary action against you, I recommend that you consult with your Union Attorney prior to submitting your report.

On July 13, 2017, Stuart responded, writing:

Since I was at the Personnel Office on May 11, 2017 in my position as President of the Gloucester Police Patrolman’s Association, MCOP Local 344, and your questions concern my activities as Union President, I have been advised that it is an illegal order for you to require me to answer questions about internal union issues and internal union strategy and communications.

Stuart then proceeded to respond to McCarthy's questions, as follows:

1. Did you direct the sending of a letter dated May 11, 2017 from Attorney Horwitz to Ms. Donna Leete accusing Sergeant Nicastro and Lieutenant Quinn of clearly listening to a private conversation in the Human Resources Office?

   My communications with Union Counsel are privileged communications.

2. Detail what proof you have that this conduct in fact occurred.

   I was at the Personnel Office on May 11, 2017 in my official capacity as Union President and based on statements and actions of Personnel Director Leete.

3. Did you attend a 9:00 a.m. meeting on May 12, 2017 at Mayor Romeo-Theken's office attended by yourself, Lieutenant Williams, Mayor Theken, Human Resources Director Donna Leete, Assistant Human Resources

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16 The questions are laid out, along with the answers, starting on the following page. The Complaint alleges that only questions 6, 7, 9, 10, 12, 13, and 14 are alleged to have violated the Law.
Director Holly Dougwill, Chief McCarthy, Attorney Chip Payson and Chief Administration Officer James Destino, and were you paid overtime to attend?

I attended a Meeting on May 12, 2017 at 9AM in my capacity as Union President and I will have to check records on whether it was paid.

4. As part of this meeting, did Assistant Human Resources Director Ms. Dougwill explain that she had witnessed the event outside the Human Resources Director’s office and give a firsthand eyewitness account of Lieutenant Quinn’s and Sergeant Nicastro’s actions of May 11th?

Yes, Ms. Dougwill explained her own observations.

5. In this meeting, did Ms. Dougwill’s witnessed account of the actions of Lieutenant Quinn and Sergeant Nicastro dispel any allegations that they were listening to your private conversation with Human Resources Director Leete?

Ms. Dougwill explained her own observations.

6. Were you copied on an email from Human Resources Director Donna Leete to Attorney Horwitz on May 12, 2017 at 12:09 p.m.?

If I am a cc then I expect I received it. I will check my email to be sure. Whatever I received was as Union President.

7. Did this email serve as a written response to the original Attorney Horwitz letter from the City and did it clarify that there was no evidence supporting the claim of eavesdropping or intimidation?

The email speaks for itself.

8. After the meeting at City Hall at 9:00 a.m. on May 12, 2017, and after the email was sent by Human Resources Director Leete at 12:09 p.m. on May 12, 2017, was the Attorney Horwitz letter accusing Lieutenant Quinn and Sergeant Nicastro of wrong doing and intimidation sent to all Patrolmen of this Department?

It is improper to inquire about my communications as Union President with Union members.
9. Who disseminated this letter to the Patrolmen and who directed this be
done?

   It is improper to question me about Internal Union Communications.

10. Why did you wait four days ([from] May 12th) to share the City’s Response
   and Clarification Email from the Human Resources Director with the
   members of this Department?

   Internal Union matters are not appropriate questions.

11. Were you on duty on May 18, 2017 at 2:28 a.m.?

   I believe so.

12. What was the purpose of an email sent to all Patrol Officers again alleging
   intimidation on May 18, 2017 at 2:28 a.m.?

   Any email from me as Union President is an internal Union matter.

13. Are you in any way responsible for the Attorney Horwitz letter ending up in
   the hands of the Gloucester Daily Times Newspaper Reporter Ray Lamont?

   It is improper for you to question me about my communications as Union
   President. But, in fact, I did not take any action to provide any documents
   to Reporter Ray Lamont.

14. Have you given copies of the Attorney Horwitz letter or shared the contents
   of, or made available in any way, to anyone other than your Union, the City
   of Gloucester or the Police Department?

   It is improper for you to questions me [sic] about my communications as Union
   President.

   After receiving Stuart’s responses, McCarthy did not discipline Stuart. Union
   counsel had informed McCarthy that this prohibited practice charge would be filed, and
   McCarthy believed he was unable to take any disciplinary action against Stuart on this
   matter until the DLR ruled on the prohibited practice charge.
Beach Detail

The Police Department assigns officers to patrol the City’s public beaches. The City has historically paid overtime to officers working this detail. In contrast, the City pays officers a flat rate of $48 per hour for working on any other detail. Overtime pay for officers varies depending on the officer’s highest level of education and the officer’s hire date. Officers who were hired in 2014 or before, and who hold an associate’s degree receive an additional 10% over the standard overtime rate; officers with a bachelor’s degree are paid an additional 20%; and officers with a master’s degree receive an additional 25%. Officers hired after 2014 also receive an increase in pay to reflect their higher education level but receive a lower flat rate instead of the above-mentioned percentages. Therefore, those officers with higher educational degrees, and especially those hired in 2014 or before, receive more money when working overtime than officers without higher educational degrees or those who were hired after 2014. Consequently, the more highly educated officers earn more than other officers when working the beach detail, yet all officers receive the same flat rate when working on other details.

On August 18, 2017, Stuart submitted a written complaint to Leete and McCarthy regarding improper rates of pay for the beach detail. Stuart sought to have the City pay all officers at the flat $48 hourly pay rate for working the beach detail rather than the overtime rate. Stuart understood this request would result in certain officers earning more money when working the beach detail than previously, while others would earn less than before. The more highly educated officers earn less when paid at the flat rate rather than
the overtime rate. Conversely, the more recently hired officers and those without a higher
degree earn more when paid at the flat rate as opposed to the overtime rate.

Some officers asked McCarthy about the potential change to the beach detail pay
rate. When asked, McCarthy informed the officers that the change would cut the pay for
better-educated officers while increasing pay for less-educated officers.

August 21, 2017 Discussion

Patrol Officers Heidi Fialho (Fialho), Alex Aiello (Aiello) and Stephen Lamberis
(Lamberis) were working in the dispatch area on August 21, 2017.¹⁷ Fialho and Aiello
were both performing 911 dispatch duties and Lamberis was working as the house officer.

McCarthy entered into the dispatch area¹⁸ and initiated a discussion by asking
what was going on with the beach detail pay.¹⁹ Fialho, a Union representative for the
Association, believed he was referring to a recently filed grievance, but she did not wish
to discuss the grievance in front of other members. Therefore, Fialho responded that she

¹⁷ Jerome Ciolino (Ciolino) contends that he was in the dispatch area on August 21, 2017,
but his recall of the day’s events was not clear. I credit, instead, the corroborative
testimony of Lamberis, Fialho and Aiello who all agree that Ciolino was not present for
the discussion of detail rates. Further, the attendance roster for that date demonstrates
that Lamberis, Fialho and Aiello were working in the dispatch area that date from 8:00
a.m. to 4:00 p.m. but reflects that Ciolino was on vacation.

¹⁸ Both Lamberis and Fialho testified that McCarthy was sitting positioned between Fialho
and Aiello with Lamberis further to Aiello’s right. Aiello recalls McCarthy was standing
between him and Lamberis. Although not material to my decision, I credit Lamberis’ and
Fialho’s consistent testimony in this regard.

¹⁹ Although McCarthy often goes to dispatch, three or four times every day, he did not
specifically recall any discussion about the beach detail while in the dispatch area on
August 21, 2017.
was not sure what was going on with it.\textsuperscript{20} She then continued with her work, answering the radio and calls, and disengaged from the ensuing conversation.

McCarthy then spoke directly with Lamberis, saying that Stuart was trying to take money out of his pockets, and other members' pockets. Lamberis asked what he meant. McCarthy responded by asking Lamberis if it wasn't the case that he had always received the school incentive rate when working the beach detail. Lamberis explained that he did not know because he does not track how much he gets paid for working the beach detail. McCarthy then said that Stuart had sent an email to union members. Lamberis responded that he did not recall receiving it. McCarthy replied by saying that Lamberis should check because Stuart was "trying to reach into your pockets and take money away from you."

Aiello overheard McCarthy and Lamberis' discussion.\textsuperscript{21} McCarthy did not address any comments to Aiello.\textsuperscript{22}

\textsuperscript{20} Neither Lamberis or Aiello recall McCarthy first having this exchange with Fialho as both recall McCarthy addressing Lamberis first when McCarthy entered dispatch. However, I credit Fialho's recall of her brief response to McCarthy before he spoke with Lamberis.

\textsuperscript{21} Aiello corroborated Lamberis' testimony, recalling that McCarthy told Lamberis that Stuart "was going to be taking money out of his pocket..." Fialho was aware that McCarthy and Lamberis engaged in a further conversation, but she was focused on her duties and did not specifically overhear what was said.

\textsuperscript{22} Aiello earned less than Lamberis when they worked the beach detail because Lamberis has a higher degree and therefore merited a higher overtime rate than Aiello. Lamberis holds a master's degree and was hired before 2014, while Aiello has a bachelor's degree and was hired after 2014.
Within a few hours of this conversation with McCarthy, Lamberis sought out Stuart to discuss the situation. At some point, Aiello also informed Stuart about what McCarthy had said during the August 21, 2017 exchange.

The City and Association eventually resolved the grievance with the City agreeing to adopt the $48 flat rate for all officers working the beach detail.

**Witness Credibility**

This second allegation in the complaint, which concerns McCarthy’s comments to bargaining unit employees regarding the Association’s efforts to change the pay rate for the beach detail, rests on what McCarthy said to certain officers during his visit to the dispatch office on August 21, 2017. Given McCarthy’s total lack of recall of his conversation with the officers, and the corroborative recall of Lamberis, Aiello and Fialho that McCarthy initiated a discussion about the Union’s complaint concerning the beach detail pay rate, I credit their testimony. Where Lamberis, Aiello and Fialho have differing recall, I credit Lamberis.\(^\text{23}\) He had the most detailed memory of the conversation. As noted earlier, Fialho was busy with work and disengaged from the conversation after the first few minutes. Although she was aware that McCarthy and Lamberis were speaking with each other, she did not hear exactly what McCarthy and Lamberis were discussing. Aiello, however, overhead the exchange. His testimony that McCarthy made a comment to Lamberis to the effect that Stuart, through his grievance about the beach detail, was

\(^{23}\) There is one exception. As previously noted, I credit Fialho’s testimony that she briefly responded to McCarthy’s initial query before McCarthy conversed with Lamberis.
going to be taking money out of his (Lamberis’) pocket, further bolsters Lamberis’
congruent testimony.

I credit Aiello’s testimony to the extent it corroborates Lamberis’ testimony, but I
do not otherwise credit Aiello’s testimony due to his less comprehensive memory of the
events of August 21, 2017. Aiello was unable to recall Lamberis’ response to McCarthy’s
comments. Aiello also could not recall other aspects of the exchange. For instance,
Aiello, alone, testified that McCarthy left dispatch and then returned, but Aiello was unable
to recall any specifics about McCarthy’s second visit to the dispatch area. Moreover, at
times Aiello’s testimony conflicted with the recollection of other witnesses. For instance,
Aiello testified that McCarthy also addressed comments to Fialho, using similar words to
those he directed to Lamberis, to the effect that Stuart would be taking money out of her
pocket. I do not credit this testimony because neither McCarthy nor Fialho recall this
exchange. I also do not credit Aiello’s recall about the positioning of the occupants in the
dispatch area on the day in question as it diverges from the consistent recall of Fialho
and Lamberis.

I credit Lamberis’ testimony not only because he presented the most detailed recall
of the events of August 21, 2017, but also because the surrounding facts support his
account of McCarthy’s comments that day. Lamberis had no knowledge of the beach
detail pay dispute until McCarthy first brought it to his attention. Within hours of his
encounter with McCarthy, Lamberis spoke with Stuart about the beach detail issue.
Shortly thereafter, on September 11, 2017, the Association amended the charge to add
the allegation regarding McCarthy’s August 21, 2017 comments to Lamberis.

The only aspect of Lamberis’ testimony that I do not credit is his stated belief that
McCarthy specifically sought him out and made these comments to him because
McCarthy wanted an historical account of the beach detail. McCarthy credibly disputes
that he needed Lamberis to provide historical information as McCarthy has served with
the Department longer than Lamberis or any other current member and knew the history
of the beach detail payrate. Lamberis’ incorrect supposition as to why McCarthy directed
the conversation to him, however, does not taint his recollection of what was said during
the exchange.\textsuperscript{24}

Lamberis admitted to having certain issues with McCarthy from the start of his
tenure, some 30 years before, when McCarthy accused him of stealing cough drops from
a warehouse. This admission does not taint Lamberis’ testimony. Lamberis also had
issues with the Association, including having a disagreement with Stuart. He also once
attended a union meeting where he “called everyone out” and spoke his mind about how
he was being treated by individuals in the meeting. Given this history of difficulties with
both parties to this complaint, I see no impediment to crediting Lamberis’ testimony about
the content of his exchange on August 21, 2017 with McCarthy, especially as it is

\textsuperscript{24} There are other reasons that could explain why McCarthy addressed his comments to
Lamberis rather than Aiello, including the fact that Lamberis would be financially
disadvantaged by the change to a detail pay that the Association sought, while Aiello
would benefit from the change.
supported, in relevant part, by the testimony of Aiello, and Lamberis' prompt subsequent discussion with Stuart on this matter.

**Opinion**

The Association alleges that the City violated Section 10(a)(1) of the Law when McCarthy, on June 29, 2017, ordered Stuart to answer certain questions concerning his conduct as Association President and again, on August 21, 2017, when McCarthy verbally criticized Stuart’s actions regarding the beach detail payrate to a bargaining unit member.

Pursuant to Section 2 of the Law, an employee has the right to “engage in lawful, concerted activities for the purpose of collective bargaining or other mutual aid or protection, free from interference, restraint, or coercion.” A public employer violates Section 10(a)(1) of the Law when its conduct may reasonably be said to tend to interfere with, restrain, or coerce employees in the free exercise of their rights under Section 2 of the Law. *Bristol County Sheriff’s Department*, 31 MLC 6, 15, MUP-2872 (July 15, 2004) (citing *Quincy School Committee*, 27 MLC 83, 91, MUP-1986 (Dec. 29, 2000)).

The focus of a Section 10(a)(1) inquiry is the objective effect that the employer’s conduct would have on a reasonable employee. *Boston School Committee*, 39 MLC 366, MUP-09-5543 (June 6, 2013). The subjective impact that the employer’s conduct had on a specific employee is not determinative of a violation. *Bristol County Sheriff’s Department*, 31 MLC at 15. Further, the employer’s motivation for the conduct and
whether it was successful in coercing or restricting employee exercise is not considered
by the Commonwealth Employment Relations Board (CERB). Id.

McCarthy’s Interrogation of Stuart

The Association alleges that the City violated the Law when it coercively
interrogated Stuart about his Union activities. The City argues that it did not commit an
unfair labor practice by investigating Stuart’s involvement in disseminating the Horwitz
letter to the bargaining unit and his other related activities. The issue here, though, is not
whether it was unlawful for the City to conduct an investigation, but rather whether a
reasonable person would feel constrained or intimidated by McCarthy’s June 29, 2017
questions, which, at least in part, inquired about Stuart’s actions and communications as
Association President.

An employer who coercively interrogates employees about their union activities
violates Section 10(a)(1) of the Law. Lawrence School Committee, 33 MLC 90, 99, MUP-
02-3631 (December 13, 2006); Plymouth House of Correction, 4 MLC 1555, 1572, MUP-
2234, 2429 (December 6, 1977). Here, Stuart was interrogated about his union activity.
Several of McCarthy’s questions pertained to Stuart’s role as Association President when
he was acting on behalf of the bargaining unit. For example, McCarthy asked Stuart to
explain who directed that Horwitz’s letter be disseminated to the patrolmen; why he waited

25 The Association also argues the City violated the Law by applying a different manner
and method of interrogation to the Union President than other employees, but the
complaint in this matter did not encompass this allegation. Because this allegation was
not fully litigated at the hearing, I decline to consider it. See, Town of Norwell, 18 MLC
1263, MUP-6962 (January 22, 1992) (
four days to share the City’s response to the bargaining unit members; and what was the
purpose of his May 18, 2017 email to the bargaining unit.

The CERB has held that an interrogation that is not threatening does not constitute
an unfair labor practice unless it meets certain standards. Id. (citing, Bourne v. NLRB,
332 F.2d 47 (2nd Cir. 1964)). In examining whether the interrogation was unlawful, the
CERB considers a variety of factors including: 1) whether there was a history of employer
hostility and discrimination; 2) the nature of the information sought, including whether the
interrogator appeared to be seeking information on which to base taking action against
individual employees; 3) the identity of the questioners, including their position in the
employment hierarchy; 4) the place and method of interrogation, including whether the
employee was called into the supervisor’s office and whether there was an atmosphere
of unnatural formality; and 5) the truthfulness of the reply. Lawrence School Committee,
33 MLC at 99. No single factor is outcome determinative. Rather, the CERB considered
the totality of the circumstances. See, Rossmore House, 269 NLRB 1176, 1178 (1984).

Addressing the five factors noted above, I find that the interrogation was coercive.
Although the record does address hostility between the members of the Association and
the supervisors, the record does not address a history of employer hostility and
discrimination against the Association. However, as to the second factor, it appears that
McCarthy was asking the questions in order to take action against Stuart. McCarthy
prefaced his communication with Stuart by stating that he was investigating the
dissemination of “false accusations” to all patrolmen as well as a local news reporter.
McCarthy concluded his communication by noting that the investigation could result in
disciplinary action against Stuart.\textsuperscript{26} Additionally, McCarthy already knew the answer to
certain of the questions he posed which demonstrates that he was not engaged in pure
fact-finding. For instance, he asked if Stuart was copied on Leete’s May 12, 2017 email
to Horwitz even though a quick review of the email reveals that Stuart was copied. As to
the third factor, McCarthy is the Chief of the Police Department. Therefore, the very
highest official of the Police Department ordered Stuart to answer the questions. As to
the fourth factor, although Stuart was permitted to answer the questions in writing, and
therefore the location and method of the interrogation was not necessarily coercive, the
atmosphere was clearly formal as Stuart was ordered to answer the questions subject to
discipline. The fifth factor regards the truthfulness of the responses. There is no evidence
that Stuart’s responses were untruthful, although several of his responses were limited to
his declaration that it was improper for McCarthy to inquire about his communications as
Association President and other internal Union matters. Given the totality of the
circumstances, after considering the five factors addressed above, I find that McCarthy’s
June 29, 2017 questions were coercive.

The City argues that Stuart lost the protection of the Law by knowingly telling lies
about Nicastro and Quinn. Concerted activity can lose its protected status if it is unlawful,

\textsuperscript{26} The fact that McCarthy did not ultimately discipline Stuart for his actions in distributing
the Horwitz letter is immaterial. \textit{See City of Lawrence}, 15 MLC 1162, 1167, MUP-6086
(September 13, 1988).
violent, in breach of contract in certain circumstances, disruptive, or indefensibly disloyal
The City asserts that Stuart knowingly issued Horwitz’s letter to the bargaining unit
after learning in the May 12, 2017 meeting that the allegations in the letter were false,
thereby defaming Nicastro and Quinn. I disagree. The City acknowledges that where
statements are made in the course of a labor dispute, as here, the applicable legal
standard for defamation is actual malice. *Executive Board of Local 403, International
the actual malice standard applies to police officers, who are considered public officials
(2000). Actual malice is proved by a showing that the defamatory falsehood was
published with knowledge that it was false or with reckless disregard of whether it was
false. To prove “reckless disregard” there must be “sufficient evidence to permit the
conclusion that the defendant in fact entertained serious doubts as to the truth of his

Horwitz wrote in her letter that Stuart had disseminated to the bargaining unit,
“[w]hile President Stuart was in your office with the door closed so that he could express
the Union’s concerns to you in privacy, you and he heard Sergeant Nicastro and
Lieutenant Quinn directly outside your door, clearly listening to your discussion. Soon
after you opened the door, they walked away and left.” There can be no doubt that, apart
from "clearly listening to your discussion," these were truthful statements. The City maintains that Stuart knew when he directed Balbo to send Horwitz's letter to the bargaining unit that Nicastro and Quinn were not "clearly listening," however, the evidence does not support this contention. Although others may have left the May 12, 2017 meeting convinced that no evidence supported the accusation that Nicastro and Quinn were eavesdropping on Stuart's meeting with Leete, Stuart remained unconvinced. While Stuart admits that Dougwill is credible, and she did not see Nicastro and Quinn pause for long outside Leete's closed door, Stuart knew that Nicastro and Quinn were right outside the door, and he knew they were there long enough for him to hear their radios.

Given what Stuart personally saw and heard on May 11, 2017, he believed the two superior officers were listening to his discussion with Leete.

Horwitz's letter also expressed that Quinn and Nicastro intended to interfere with Stuart's Union activity and intimidate him and his members. These comments, though, were merely expressing the Association's opinion or conclusion based on the above referenced facts. An "expression of opinion based on disclosed or assumed nondefamatory facts is not itself sufficient for an action of defamation, no matter how unjustified and unreasonable the opinion may be or how derogatory it is." National Association of Government Employees, Inc. v. Central Broadcasting Corporation, 379 Mass 220, 227-228 (1979). Accordingly, the evidence does not support a finding that Stuart acted with actual malice when he directed Balbo to distribute Horwitz's letter to the bargaining unit, and Stuart's actions, therefore, remained protected.
The City further argues that Stuart’s actions lost their protection because his actions demeaned two superior officers and disrupted the employer’s business. In City of Boston, 6 MLC 1096, MUP-2878 (May 23, 1979), the CERB explained:

The fact that speech takes place within the context of protected activities does not preclude an inquiry into the nature of the statements made. Instead, a balance must be struck in each case between the rights of employees to engage in concerted activities and the rights of employers not to be subjected to egregious, insubordinate, or profane remarks which disrupt the employer’s business or demean workers or supervisors.

The City maintains that Stuart disrupted his employer’s business when he distributed Horwitz’s letter to the bargaining unit, noting that, as a result, one of the superior officers had a strong emotional reaction at home, while the other “flubbed” an interview. Although there is no doubt that Nicastro and Quinn were hurt and even distraught by the allegations against them that were disseminated to all officers in the Association’s bargaining unit, the record does not contain evidence of material disruptions of the Police Department’s operation based on Stuart’s actions in this matter.

In support of its contention that Stuart’s actions lost their protection because he demeaned Quinn and Nicastro with his allegations that they eavesdropped on his conversation with Leete, the City points to Plymouth Police Brotherhood v. Labor Relations Commission, 417 Mass. 436 (1994). In that case, the Supreme Judicial Court determined that the employer justifiably disciplined a union leader for emailing bargaining unit members that the town’s negotiating team consisted of “pigs, cheats, liars, whatever!!!!” I find the facts here are distinguishable from those in Plymouth Police Brotherhood. Stuart was not making gratuitous insults, he was explaining what took place
based on his own perceptions. I find the facts here to be more analogous to the facts in
City of Lawrence, 15 MLC 1162. In City of Lawrence, the Union President issued a letter
to unit members complaining of the Chief’s attitude, lying, failure to honor contracts, union
busting tactics, absence in time of need, and inability to motivate those under his
command. The employees were asked to participate in a no-confidence vote. Significant
portions of the letter were printed in a local newspaper. The Chief, considering the letter
libelous, ordered an administrative inquiry questioning members of the Executive Board,
in part, about the drafting of the letter and the specific incidents underlying the complaints.
The CERB determined that the President’s letter, albeit “sharply worded”, was protected
and therefore the Chief violated the Law by ordering the administrative inquiry. Id. at
1166-1167. Stuart’s stated belief that Nicastro and Quinn were eavesdropping, as
portrayed in Horwitz’s letter, cannot be deemed more disparaging or disruptive than the
comments and no-confidence vote described in City of Lawrence. Here, as in City of
Lawrence, Stuart’s actions remained protected, and the interrogation regarding the
protected action interfered with, restrained, and coerced employees in the exercise of
their rights in violation of Section 10(a)(l) of the Law.

McCarthy’s August 21, 2017 Comments Regarding Beach Details

Stuart was also engaged in protected activity when he, as Association President,
submitted a grievance to Leete and McCarthy regarding a condition of employment,
specifically the pay rate for the beach detail. See Suffolk County Sheriff’s Department,
27 MLC 155, MUP-1498 (June 4, 2001). On August 21, 2017, McCarthy commented on
this protected activity when he informed Lamberis that Stuart was trying to take money
out of his pocket. The Association maintains that the City violated Section 10(a)(1) of the
Law when McCarthy made this critical and disparaging remark to a bargaining unit
employee. The City asserts that McCarthy’s comments did not run afoul of Section
10(a)(1). I agree with the Association that McCarthy’s comments did run afoul of Section
10(a)(1).

The City suggests that McCarthy was merely answering questions and/or
expressing his opinion during the August 21, 2017 exchange with Lamberis, noting that
a public employer is free to make its position with respect to collective bargaining issues
known to employees. I am not persuaded by this argument. Here, McCarthy went beyond
merely discussing the City’s position on a collective bargaining matter. He initiated a
discussion with a bargaining unit employee to ensure that the employee was aware of the
Association’s actions and that those actions would financially harm him. McCarthy
characterized Stuart’s actions by telling Lamberis that the Association President was
“trying to reach into your pockets and take money away from you.” McCarthy’s comments
were clearly critical of Stuart’s position regarding the beach detail pay rate. When conduct
is protected by Law, as here, an employer has no right to interfere with it and the
“expression of employer anger, criticism or ridicule directed to an employee’s protected
activity has been recognized to constitute interference, restraint and/or coercion of
employees." Boston School Committee, 39 MLC 366, 370, MUP-09-5543 (citing Groton-
The City further argues that because McCarthy issued no threats and promised no benefits, his statement did not violate the Law. I do not find any merit to this argument because comments have been found to violate the Law even without an overt threat or promise of benefits. The CERB has determined that disparaging remarks directed to an employee’s protected activity, even without direct threats of adverse consequences, are unlawful if the remarks tend to reasonably interfere with employees in the exercise of their Section 2 rights. Athol-Royalston Regional School District, 26 MLC 55, 56, MUP-1832 (November 2, 1999). For example, the CERB determined that a Superintendent, who stated to a grievant "You act like a little boy...a cry baby...you seem to appear victimized by me and the Committee...you are taking money out of the budget for your grievances (and it) could be spent for kids in the district[ ]" ridiculed and belittled the employee’s grievance activities, and such comments could reasonably chill employees from engaging in protected conduct. Groton-Dunstable Regional School Committee, 19 MLC 1194, 1195, MUP-7995) (August 17, 1992). Similarly, here, McCarthy made derogatory comments towards Stuart’s protected activity when he informed a bargaining unit employee that Stuart, as Association President, was trying to take money out of his pocket. McCarthy directed this comment to Lamberis, who would be financially disadvantaged by Stuart’s actions. McCarthy did not address the comments to Aiello, who was also in dispatch at the time, who would financially benefit from Stuart’s actions.
McCarthy then encouraged Lamberis to "check" Stuart's email on this issue. Within hours of this conversation, Lamberis checked with Stuart about the beach detail pay rate issue, which may well have been McCarthy's intent. Regardless of his intent, however, the comments McCarthy directed to Lamberis about Stuart's protected activity would tend to interfere, restrain, or coerce an employee in the exercise of their rights.

Lastly, the City argues that no employee suffered as a result of McCarthy's statements, noting that the City eventually granted the Association's grievance and changed the beach detail pay rate as desired by the Association. The fact that the City ultimately adopted the Associations' position regarding the beach detail pay rate is irrelevant. The CERB does not consider whether the coercion succeeded or failed. Bristol County Sheriff's Department, 31 MLC at 15 (citing Groton-Dunstable Regional School Committee, 15 MLC at 1556). McCarthy's comments need not actually coerce or restrain an employee in the exercise of Section 2 rights to constitute a violation of the Law. A violation will be found where, as here, a comment could reasonably be said to interfere with, restrain, or coerce employees in the exercise of their rights under Section 2 of the Law.

Conclusion

Based on the record and for the reasons explained above, I find that the City violated Section 10(a)(1) of the Law when it ordered Stuart to answer certain questions on June 29, 2017, pertaining to actions he took as the Association President, and when
McCarthy, on August 21, 2017, disparaged the Association’s protected activity regarding the beach detail to a bargaining unit employee.

Order

WHEREFORE, based upon the foregoing, IT IS HEREBY ORDERED that the City shall:

1. Cease and desist from:

   a. Making statements that would tend to interfere, restrain or coerce employees in their exercise of their rights guaranteed under Section 2 of the Law.

   b. Interfering, restraining or coercing employees in the exercise of their rights under the Law by unlawfully interrogating them regarding activities protected under Section 2 of the Law.

   c. In any like manner, interfering with, restraining or coercing its employees in the exercise of any right guaranteed under the Law.

2. Take the following affirmative action that will effectuate the purposes of the Law:

   a. Immediately post signed copies of the attached Notice to Employees in all conspicuous places where members of the bargaining unit usually congregate and where notices to these employees are usually posted, including electronically if the City customarily communicates to its employees via intranet or email, and maintain for a period of thirty (30) consecutive days thereafter; and

   b. Notify the DLR in writing of the steps taken to comply with this decision within ten days of receipt of the decision.

SO ORDERED.
COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF LABOR RELATIONS

KERRY BONNER

APPEAL RIGHTS

The parties are advised of their right, pursuant to M.G.L. c. 150E, Section 11, 456 CMR 13.19, to request a review of this decision by the Commonwealth Employment Relations Board by filing a Notice of Appeal with the Executive Secretary of the Department of Labor Relations not later than ten days after receiving notice of this decision. If a Notice of Appeal is not filed within the ten days, this decision shall become final and binding on the parties.
A hearing officer of the Massachusetts Department of Labor Relations has held that the City of Gloucester has violated Section 10(a)(1) of Massachusetts General Laws, Chapter 150E by interrogating the Association President regarding activities protected under Section 2 of the Law and by making statements that would tend to interfere, restrain and coerce employees in the exercise of their rights guaranteed under Section 2 of the Law.

The City of Gloucester posts this Notice to Employees in compliance with the hearing officer’s order.

Section 2 of M.G.L. Chapter 150E gives public employees the following rights:
- to engage in self-organization; to form, join or assist any union;
- to bargain collectively through representatives of their own choosing;
- to act together for the purpose of collective bargaining or other mutual aid or protection;
and
to refrain from all of the above.

WE WILL NOT make statements that would tend to interfere, restrain or coerce employees in the exercise of their rights guaranteed under Section 2 of the Law.

WE WILL NOT interrogate employees regarding activities protected under Section 2 of the Law.

WE WILL NOT otherwise interfere with, restrain or coerce employees in the exercise of their rights guaranteed under the Law.

_____________________________   _________________________
CITY OF GLOUCESTER              DATE

THIS IS AN OFFICIAL NOTICE AND MUST NOT BE DEFACED OR REMOVED

This notice must remain posted for 30 consecutive days from the date of posting and must not be altered, defaced, or covered by any other material. Any questions concerning this notice or compliance with its provisions may be directed to the Department of Labor Relations, Charles F. Hurley Building, 1st Floor, 19 Staniford Street, Boston, MA 02114 (Telephone: (617) 626-7132).