



Appendix A: MassHealth RELD, Sex & SOGI Data Standards *

** In this appendix, RELD is used as the abbreviation for race, ethnicity, language & disability status. SOGI is used as the abbreviation for sexual orientation and gender identity.*

Purpose of this appendix



The purpose of this appendix is to solicit stakeholder input on potential updates to the internal data standards that MassHealth uses for member-level data regarding race, ethnicity, language, disability status (RELD), sex, sexual orientation and gender identity (SOGI).

- The potential updates detailed within this appendix would primarily impact the application for MassHealth and health plans through the Massachusetts Health Connector, and the data systems used by MassHealth. ^[1]
- **The potential updates detailed within this appendix are not final.** Several factors are expected to result in modifications to the information in this appendix, including forthcoming federal guidance on data standards, federal reporting requirements, and input from stakeholders through a public Request for Information (RFI) process.
- Implementing changes to data standards and data systems can take months to years, depending on the scope of the changes.
- MassHealth's internal data standards are **separate** from the standards that organizations, such as ACOs, may follow when reporting data to MassHealth.
- MassHealth collects RELD and sex information for several purposes, including eligibility determination, monitoring of population health, policy development, health equity initiatives, and federal reporting.

Footnotes:

[1] [MassHealth](#) is the Medicaid and Children's Health Insurance Program (CHIP) for the state of Massachusetts. The [Massachusetts Health Connector](#) is the state-based health insurance exchange for the state of Massachusetts.



Purpose: This slide describes a possible structure for how MassHealth might collect the data element of **ethnicity**.

Background: The paper application for MassHealth and Health Connector health plans asks applicants for their race and ethnicity in a **single** question (i.e., “what is your race or ethnicity?” on slide 13). ^[1] The on-line application asks applicants race and ethnicity in **separate** questions (shown on slide 16).

Potential Standard:
Option 1: The [2011 HHS Implementation Guidance](#), with **minor modifications described in blue**.
Option 2 and 3: [CDC Race and Ethnicity Code Set Version 1.0](#) (March 2000) with a subset of the 43 ethnicities and 900+ races displayed to applicants

Questions and Valid values:
(draft)

Option 1: Are you of Hispanic, Latino/a, or Spanish origin? (select one) ^[2], ^[3]

- No, not of Hispanic, Latino/a, or Spanish origin
- Yes, Mexican, Mexican American, Chicano/a
- Yes, Puerto Rican
- Yes, Cuban
- Yes, Another Hispanic, Latino/a or Spanish origin
- Decline to answer ^[3]

These 5 values are recommended by the [2011 HHS Implementation Guidance](#). They roll-up to the 1997 OMB standard for race & ethnicity that defines two values (i.e., “Hispanic or Latino” and “Not Hispanic or Latino”).

Option 2: What is your ethnicity? (select all that apply).

Valid values existing in MassHealth’s data systems could include all of the 43 ethnicities and 900+ races that are part of the [CDC Race and Ethnicity Code Set V1.0](#), with a subset of these 900+ values being displayed for applicants to choose from. See slide 17 for an example of how this option 2 might appear.

Option 3: What is your race or ethnicity? (select all that apply).

In Option 3, a **combined** question for race and ethnicity is used. Valid values could include all ethnicities and races from the [CDC Race and Ethnicity Code Set V1.0](#), with a subset of these values being displayed for applicants to choose from. Although 1997 OMB guidelines mentions that a combined question is not the preferred approach, [U.S. Census Bureau research](#) found evidence that a combined question with checkboxes resulted in increased use of OMB standard categories, and decreased nonresponse. See slide 18 for an example of how option 3 might appear.

Additional notes regarding ethnicity are on the next slide →

Footnotes:
^[1] In Massachusetts, the paper application for MassHealth and Health Connector plans is the [Massachusetts Application for Health and Dental Coverage and Help Paying Costs \[ACA-3\]](#).
^[2] The value of “Unknown” could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7@ Version 3 Null Flavor](#) for types of unknown values.
^[3] The values of “Decline to answer” and “Unknown” are not described in the 1997 OMB minimum standard for race & ethnicity.



Ethnicity (continued)

Notes:

- Ethnicity and race currently are not a required fields on the paper and on-line application for MassHealth and Health Connector plans. Applicants are not required to select an ethnicity or race for an application to be considered complete.
- OMB recommends that ethnicity be asked before race when two separate questions are used for race and ethnicity.
- **Other standards and value sets:**
 - The 1997 OMB standard for race & ethnicity: [Federal Register](#), [Federal Register Notice](#), [ONC](#)
 - [MA DPH \(2009\) race, ethnicity & language data standards](#), and a 2020 version of these standards which has been developed for internal Massachusetts Executive Office of Health & Human Services (EOHHS) use.
 - [USCDI V2 - ethnicity \(July 2021\)](#)
 - CDC Race and Ethnicity Code Set Version 1.0 (2000), which includes 40+ ethnicities and 900+ races: [Description](#), [List of races & ethnicities](#), [PHIN VADS ethnicities](#)
 - FHIR v4.01 standards for: [race](#), [ethnicity](#)
- **Federal reporting:** The draft valid values are the same values by which Medicaid programs report ethnicity to CMS, with the exception of the value *"Decline to answer"*.
- **Alternative phrasing for asking ethnicity may include the following:**
 - *"Are you of Hispanic, Latino, or Spanish origin?"* with only *"Yes"*, *"No"*, *"Decline to Answer"* and *"Unknown"* as valid values.
 - *"Are you of Hispanic, Latinx, or Spanish origin?"*
 - *"Are you of Hispanic or Latino origin?"*.

As per the 1997 OMB standard, the inclusion of "Spanish" is optional. In other words, either *"Hispanic or Latino"* or *"Hispanic, Latino or Spanish"* are recommended.

Race

Purpose: This slide describes a possible structure for how MassHealth might collect the data element of **race**.

Background:	The paper application for MassHealth and Health Connector health plans asks applicants for their race and ethnicity in a single question (i.e., “what is your race or ethnicity?” on slide 13). The on-line application asks applicants race and ethnicity in separate questions (shown on slide 16).	
Potential Standard:	Option 1: 1997 OMB minimum standard for race & ethnicity (which has 5 race categories), with minor modifications described in blue . Option 2 & 3: 2011 HHS Implementation Guidance (which has 14 race categories that roll up to the 5 minimum categories in the OMB standard)	
Questions and Valid values: (draft)	<p>Option 1: What is your race? (select all that apply) ^[1]</p> <ul style="list-style-type: none"> <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Asian <input type="checkbox"/> Black or African American <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> White <input type="checkbox"/> Other (please specify _____) ^[2] <input type="checkbox"/> Decline to answer ^[2] <div style="border: 1px solid gray; padding: 5px; margin-top: 10px;"> <p>In this option, valid values existing in MassHealth’s data systems could include all of the 43 ethnicities and 900+ races that are part of the CDC Race and Ethnicity Code Set V1.0. For example, an applicant could write-in Portuguese, Haitian, or Chinese in the option for “Other (please specify _____).”</p> </div>	<p>Option 2: What is your race? (select all that apply) ^[1]</p> <ul style="list-style-type: none"> <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Black or African American <input type="checkbox"/> White <input type="checkbox"/> Asian Indian <input type="checkbox"/> Chinese <input type="checkbox"/> Filipino <input type="checkbox"/> Japanese <input type="checkbox"/> Korean <input type="checkbox"/> Vietnamese <input type="checkbox"/> Other Asian <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> Guamanian or Chamorro <input type="checkbox"/> Samoan <input type="checkbox"/> Other Pacific Islander <input type="checkbox"/> Other (please specify _____) ^[2] <input type="checkbox"/> Decline to answer ^[2] <p>These are part of the OMB standard</p> <p>These roll-up to the Asian category of the OMB standard</p> <p>These roll-up to the Native Hawaiian or Other Pacific Islander category of the OMB standard.</p>
	<p>Option 3: What is your race or ethnicity? (select all that apply).</p>	<p>See slide 18 for an example of how option 3 might appear.</p>

- Notes:**
- As recommended by 1997 OMB guidelines, “multi-racial” is not a category. Instead, individuals can select all race categories that apply.
 - An alternative to displaying “American Indian or Alaska Native” is to provide an additional option to write-in a tribal nation, using the following option: “American Indian or Alaska Native (specific tribal nation: _____)”. [U.S. Census Bureau research](#) found that a write-in option increased detailed reporting for this group, when compared to three checkboxes (for American Indian, Alaska Native, and Central/South American Indian) and a write-in option.
 - Other standards and value sets considered:**
 - [MA DPH \(2009\) race, ethnicity & language data standards](#), and a 2020 version of these standards which has been developed for internal Massachusetts EOHHHS use.
 - [USCDI V2 – race \(July 2021\)](#)
 - [2011 HHS Implementation Guidance](#) which describes 14 different races, that roll-up to the 5 races that are specified in the 1997 OMB race and ethnicity standard.
 - CDC Race and Ethnicity Code Set Version 1.0 (March 2000), which includes ~900 races: [Description](#). [List of ethnicities](#). [PHIN VADS](#)
 - Federal reporting:** The proposed valid values are the same values by which Medicaid programs report race to CMS (with the exception of “Decline to answer” and “Other (please specify)”.

Footnotes:
 [1] The value of “Unknown” could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7@ Version 3 Null Flavor](#) for types of unknown values.
 [2] “Other (please specify)” and “Decline to answer” are values that are not specified in the 1997 OMB minimum standard for race & ethnicity.



Language

Purpose: This slide describes a possible structure for how MassHealth might collect the data element of **language**.

- Background:**
- The paper and on-line applications ask for “preferred spoken language” and “preferred written language”.
 - “Preferred written language” is used by MassHealth to determine what language is used for written notices that are sent via mail to individuals.
 - English proficiency is not currently asked in the paper or electronic application.
 - There is not yet a widely adopted national standard for preferred language. ^[1]

- Potential Standard:**
- [2011 HHS Implementation Guidance](#) (which recommends English proficiency as the single question regarding language)
 - [ISO 639-2](#) (which specifies a [code list](#) that includes 487 languages; The Library of Congress is the ISO 639-2 Registration Authority)

Questions and Valid values: (draft)

Using all three of the following questions is proposed:

- 1. Spoken language:** What language do you prefer when speaking about health? (select one if 5 years old or older) ^{[2], [3]}

<input type="checkbox"/> American Sign Language	<input type="checkbox"/> French	<input type="checkbox"/> Mandarin (Chinese)	<input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> Arabic	<input type="checkbox"/> German	<input type="checkbox"/> Portuguese	<input type="checkbox"/> Decline to answer
<input type="checkbox"/> Cantonese (Chinese)	<input type="checkbox"/> Haitian Creole	<input type="checkbox"/> Spanish	
<input type="checkbox"/> English	<input type="checkbox"/> Hindi	<input type="checkbox"/> Vietnamese	

- 2. Written language:** In what language do you prefer health-related written materials? (select one) ^{[2], [3]}

<input type="checkbox"/> Arabic	<input type="checkbox"/> French	<input type="checkbox"/> Mandarin (Chinese)	<input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> Braille / Large Print	<input type="checkbox"/> German	<input type="checkbox"/> Portuguese	<input type="checkbox"/> Decline to answer
<input type="checkbox"/> Cantonese (Chinese)	<input type="checkbox"/> Haitian Creole	<input type="checkbox"/> Spanish	
<input type="checkbox"/> English	<input type="checkbox"/> Hindi	<input type="checkbox"/> Vietnamese	

The information regarding “preferred written language” may be used to help MassHealth and the Health Connector communicate with applicants and members. Written notices currently are produced in English and Spanish.

While providing language preferences is optional, it is strongly encouraged. If a member/applicant declines to provide this information, then the “decline to answer” option should be selected. If no selection is made, then the member/applicant’s preferred language will be recorded as “unknown”.

- 3. English proficiency:** How well do you speak English? (select one if 5 years old or older) ^[3]

 - Very well
 - Well
 - Not well
 - Not at all
 - Decline to answer

← This is the single question recommended by the 2011 HHS Implementation Guidance

Footnotes: Additional notes regarding language are on the next slide →

[1] Source: [ONC’s webpage on Representing Patient Preferred Language](#).

[2] Valid values for language are listed alphabetically, except Other and Decline to answer are listed last. Which languages to display can be determined using different methodologies, for example displaying the top 10 or 20 most common languages spoken in MA according the American Community Survey. The exact number of languages that are displayed to applicants may depend on space available on the paper & electronic applications. There are different methods for determining the order in which languages are displayed, including: (a) alphabetically; (b) in order of decreasing frequency in the state; (c) by groups, whereby dialects of Chinese are grouped together, and dialects of Portuguese are grouped together.

[3] The value of “Unknown” could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7@ Version 3 Null Flavor](#) for types of unknown values.



Language (continued)

Notes:

- Applicants have the option of not selecting any of the options regarding language displayed on an application. Not providing any information about language results in that individual receiving notices in English language.
- **Other standards and value sets:**
 - The [USCDI V2 \(July 2021\)](#) includes “preferred language” but not English proficiency, written language or spoken language. The USCDI V2 does not have a standard yet for preferred language.
 - FHIR v4.01 standards for: [race](#), [ethnicity](#), [disability status](#), [written language](#), [languages](#), [language ability mode](#)
- **Alternative questions and phrasing to consider:**
 - Remove American Sign Language as one of the valid values displayed under the question, “*What language do you prefer when speaking about health?*”
 - Instead of using the phrase, “*What language do you prefer to speak in about health?*” use one of the alternatives:
 - “*What language do you prefer to communicate in about health?*”
 - “*What is your preferred spoken language?*”
 - “*What is your preferred language?*”
 - “*Do you speak a language other than English at home? If “yes”, then what is this language?*”This option is recommended by the [2011 HHS Implementation Guidance](#) for organizations that want additional information above English proficiency.
- **Federal reporting:** Medicaid programs are required to report primary language to CMS using the set of [ISO 639-2](#) language codes. ISO 639 is a set of international standards that provides short codes for over 400 languages.
- The question regarding English proficiency (i.e., question #3 on the previous slide) is the recommended standard as per the [2011 HHS Implementation Guidance](#). It is based on the language question in the American Community Survey (ACS), and in the 2000 and 2010 Census.

Disability status



Purpose: This slide describes a possible structure for how MassHealth might collect information related to **disability status**.

Background: There is not yet a widely adopted national standard for disability status or disability. ^[1]

Potential Standard: To be determined

Questions and Valid values: (draft)

The two questions below are currently used in the paper and electronic applications:

- Do you have an injury, illness, or disability (including a disabling mental condition) that has lasted or is expected to last for at least 12 months? If legally blind, answer **Yes**. ^[2]
 - Yes ^[4]
 - No
- Do you need reasonable accommodation because of a disability or an injury? If **Yes**, complete the rest of this application, including Supplement C: Accommodation. ^{[2], [3]}
 - Yes
 - No

Notes:

- **Other standards and value sets considered:**

- [2011 HHS Implementation Guidance on Data Collection Standards for Race, Ethnicity, Sex, Primary Language and Disability Status](#): For population surveys, HHS recommends the following six standardized questions regarding disability status. These questions are used by population surveys from HHS (e.g., BRFSS, MEPS), U.S. Census Bureau (e.g., ACS), [etc](#).
 1. Are you **deaf** or do you have serious difficulty hearing?
 2. Are you **blind** or do you have serious difficulty seeing, even when wearing glasses?
 3. Because of a physical, mental, or emotional condition, do you have serious difficulty concentrating, remembering, or making decisions?
 4. Do you have serious difficulty walking or climbing stairs?
 5. Do you have difficulty dressing or bathing?
 6. Because of a physical, mental, or emotional condition, do you have difficulty doing errands alone such as visiting a doctor's office or shopping?

While these six questions are currently used in population-based health surveys, information collected by the current MassHealth application regarding disabilities and reasonable accommodations are used for individual-level eligibility determination and need for services.

- [USCDI V2 \(July 2021\)](#) does not include a definition or standard for disability status

- **Federal reporting:** Medicaid programs are required to report "disability type" to CMS using valid values corresponding to the 6 disability-related questions listed above from [2011 HHS Guidance](#).

Footnotes:

[1] Source: ONC's webpage on [Representing Functional Status and/or Disability Status](#)

[2] The value of "Unknown" could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7® Version 3 Null Flavor](#) for types of unknown values.

[3] Supplement C is shown on slide 20.

[4] If an applicant answers "yes" to this question regarding injury, illness or disability lasting at least 12 months, and that applicant is not verified as being disabled according to an electronic data matching process with the federal Social Security Administration or the Massachusetts Rehabilitation Commission, then the applicant is asked to complete an [Adult Disability Supplement](#) (slide 21).

Purpose: This slide describes one possible data structure for the data element of **sex**.

Background:	The paper and online applications for MassHealth and Health Connector health plans ask applicants for sex, with “Male” or “Female” being the only options.
Potential Standard:	USCDI V2 standard regarding “Sex (Assigned at Birth)” (July 2021) with minor modifications described in blue .
Questions and Valid values: (draft)	What sex were you assigned at birth? * [1] <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other (specify _____) <i>The value of “other” is not included in the USCDI V2 standard for sex assigned at birth.</i> * An asterisk indicates a required field

Notes:

- **Other standards and value sets considered:**
 - [HL7 Version 3 Value Set \(for “Administrative Gender”\)](#) . The HL7 V3 value set included the value of “Undifferentiated” which is proposed to not be included as a valid value.
 - FHIR v4.01 standards for: [administrative gender](#), [gender identity](#)
 - ONC web page regarding [Representing Patient Sex \(At Birth\)](#)
 - MA DPH draft SOGI data guidelines, which have been developed for internal EOHHS use.
- Different standards exist for sex assigned at birth, administrative gender, gender for clinical purposes, and biologic sex.
- **Federal reporting:**
 - Medicaid programs are required to report sex to CMS using the valid values of “Male”, “Female” and “Unknown”
 - CMS technical specifications describe that the sex data element corresponds to an individual's biologic sex.
- **Alternative questions or phrasing for this data element may include the following:**
 - “What is your sex?” or “What is your biologic sex?” or ...
 - “What is your biological sex or your self-identified sex?” (This phrasing is referenced in the definition for sex in the [T-MSIS Data Dictionary v2.4.0](#), from December 2020).
- **Additional or alternative valid values to consider:**
 - “Undetermined” (this potential value his could be considered since it is a valid value for birth certificates in Massachusetts)
 - “Intersex”
- **Background information regarding birth certificates in Massachusetts:**
 - The state-wide electronic birth registration system has the following valid values for sex: Male, Female, Undetermined.
 - “Undetermined” is used only in cases of intersex or extreme prematurity where the sex was not able to be determined.
 - [A birth record can be amended based on gender affirming surgery](#), with choices being Male or Female.

Footnotes:

[1] The value of “Unknown” could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7® Version 3 Null Flavor](#) for types of unknown values.



Sexual orientation & Gender identity (SOGI)

Purpose: This slide describes a possible structure for how MassHealth might collect the data elements of **sexual orientation** and **gender identity**.

Background: The paper and online applications for MassHealth and Health Connector health plans do not ask applicants their sexual orientation or gender identity.

Potential Standard: USCDI V2 standards related to [Gender Identity](#) and [Sexual Orientation](#) with [minor modifications described in blue](#).

Questions and Valid values: (draft)

What is your sexual orientation? (select one) ^[1]
 Answer this question if age 18 or above. ^[3]

- Asexual*
- Bisexual*
- Gay or lesbian*
- Straight or heterosexual*
- Queer*
- Do not know*
- Other, specify:* _____
- Decline to answer*

What is your gender? (select one) ^{[1], [2]}
 Answer this question if age 18 or above. ^[3]

- Male*
- Female*
- Nonbinary, genderqueer, or not exclusively male or female*
- Other, specify:* _____
- Decline to answer*

Are you transgender? (select one) ^{[1], [4]}
 Answer this question if age 18 or above. ^[3]

- Yes*
- No*
- Do not know*
- Decline to answer*

The values below (in blue) are from the USCDI V2:

Lesbian, gay or homosexual

Something else, please describe

Choose not to disclose

Genderqueer, neither exclusively male nor female

Additional gender category or other, please specify

Choose not to disclose

Female-to-Male / Transgender Male / Trans Man

Male-to-female / Transgender Female / Trans Woman

Footnotes:

[1] The value of "Unknown" could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7@ Version 3 Null Flavor](#) for types of unknown values.

[2] Alternative phrasing of this question to consider: "What is your gender identity?"

[3] Alternative to consider: an age other than 18 could be used. MA General Laws Chapter 231, section 85P recognizes 18 as the age when state residents are legally considered an adult.

[4] Alternative to consider: Instead of having "Are you transgender?" as a separate question, include "Transgender Male" and "Transgender Female" as options (alongside *Male*, *Female* and *Non-binary*) within a single question regarding, "What is your gender identity?"



SOGI (continued)

Notes:

- Other standards and value sets considered:
 - MA DPH draft SOGI data guidelines, which have been developed for internal EOHHS use.
 - [UCSF Guidelines \(June 2016\)](#)
 - ONC web pages regarding [Gender Identity](#) and [Sexual Orientation](#)

- Additional background information:
 - Massachusetts:
 - ["Gender X" is an option on state driver licenses.](#)
 - [Massachusetts state laws regarding gender identity or expression](#)
 - Federal:
 - The U.S. State Department plans to add a “gender marker for non-binary, intersex, and gender non-conforming persons” when applying for a passport. ([June 2021 release](#))



Supplemental information



Race and Ethnicity: Current state



Paper application: [1]

The question below regarding race or ethnicity is on **page 6** of the paper application:

5. **Optional** What is your race or ethnicity? _____ Please see page 17.

MassHealth is committed to providing equitable care for all members regardless of race, ethnicity, or language spoken. Please complete this question to help us meet your language and cultural needs. Know that your response is voluntary, confidential, and will not impact your eligibility or be used for any discriminatory purpose.

The race or ethnicity options listed below appear on **page 17** of the paper application:

See the next two slides for Step 3 and Supplement B

RACE OR ETHNICITY (OPTIONAL) Choose the options that best describe you. Write in all that apply. Please specify in Question 5 on pages 2, 6, 10, and 14.

American Indian or Alaska Native
(Complete Step 3 and Supplement B)

Black or African-American

White or Caucasian

Hispanic, Latino, or Spanish origin

- Cuban
- Mexican, Mexican-American, or Chicano
- Puerto Rican
- Other Hispanic/Latino/Spanish origin – Please specify in Question 5

Asian

- Asian Indian
- Chinese
- Japanese
- Korean
- Vietnamese
- Other Asian – Please specify in Question 5

Pacific Islander

- Filipino
- Guamanian or Chamorro
- Native Hawaiian
- Samoan
- Other Pacific Islander – Please specify in Question 5

For any race or ethnicity not listed here, please specify in Question 5.

Notes:

[1] The paper application (which is formally titled the Massachusetts Application for Health and Dental Coverage and Help Paying Costs [ACA-3]) is available [here](#).



Paper application:

Step 3 (shown below) is on **page 18** of the paper application.

STEP 3 American Indian or Alaska Native (AI/AN) Household Member(s)

1. Are you or is anyone in your household an American Indian or Alaska Native? Yes No

If **No**, skip to Step 4.

If **Yes**, complete the rest of this application, including **Supplement B: American Indian or Alaska Native Household Member**.

Names(s) of person(s) _____

American Indians and Alaska Natives who enroll in health coverage can also get services from the Indian Health Service, tribal health programs, or Urban Indian Health Programs. If you or any household members are American Indians or Alaska Natives, you may not have to pay premiums or copayments, and may get special monthly enrollment periods.

Race and Ethnicity: Current state (continued)



Paper application: Supplement B is page 23 of the paper application.

SUPPLEMENT B American Indian or Alaska Native Household Member (AI/AN)

Complete this supplement if you or a household member are an American Indian or Alaska Native.

TELL US ABOUT YOUR AMERICAN INDIAN OR ALASKA NATIVE HOUSEHOLD MEMBER(S).

American Indians and Alaska Natives can get services from the Indian Health Service, tribal health programs, or urban Indian health programs. They also may not have to pay premiums or copayments and may get special monthly enrollment periods. Answer the following questions to make sure your household gets the most help possible.

NOTE: If you have more people to include, make a copy of this page and attach it.

AI/AN PERSON 1

1. Name (first, middle, last) _____

2. Member of a federally recognized tribe?

Yes No

If Yes, tribe name _____

3. Member of a Massachusetts-recognized tribe?

Yes No

If Yes, tribe name _____

4. Has this person ever gotten a service from the Indian Health Service, a tribal health program, or Urban Indian Health Program, or through a referral from one of these programs?

Yes No

If No, is this person eligible to get services from the Indian Health Service, tribal health programs, or Urban Indian Health Program, or through a referral from one of these programs?

Yes No

5. Certain money received may not be counted for MassHealth. List any income (amount and how often) reported on your application that includes money from

- Per capita payments from a tribe that come from natural resources, usage rights, leases, or royalties;
- Payments from natural resources, farming, ranching, fishing, leases, or royalties from land designated as Indian trust land by the Department of the Interior (including reservations and former reservations); or
- Money from selling things that have cultural significance.

\$ _____ How often? _____

AI/AN PERSON 2

1. Name (first, middle, last) _____

2. Member of a federally recognized tribe?

Yes No

If Yes, tribe name _____

3. Member of a Massachusetts-recognized tribe?

Yes No

If Yes, tribe name _____

4. Has this person ever gotten a service from the Indian Health Service, a tribal health program, or Urban Indian Health Program, or through a referral from one of these programs?

Yes No

If No, is this person eligible to get services from the Indian Health Service, tribal health programs, or Urban Indian Health Program, or through a referral from one of these programs?

Yes No

5. Certain money received may not be counted for MassHealth. List any income (amount and how often) reported on your application that includes money from

- Per capita payments from a tribe that come from natural resources, usage rights, leases, or royalties;
- Payments from natural resources, farming, ranching, fishing, leases, or royalties from land designated as Indian trust land by the Department of the Interior (including reservations and former reservations); or
- Money from selling things that have cultural significance.

\$ _____ How often? _____

Race and Ethnicity: Current state (continued)



Electronic application: [1]

Part 1: Ethnicity

Is Abraham Lincoln of Hispanic, Latino, or Spanish origin?

Yes No

Ethnicity: (check all that apply.)

Cuban

Mexican, Mexican American, or Chicano(a)

Puerto Rican

Other:

Enter Other Ethnicity

This section only appears if a person selects "Yes" to the question,

"Is [name of the applicant] of Hispanic, Latino, or Spanish origin?"

Part 2: Ethnicity

Race: (check all that apply.)

American Indian or Alaska Native

Asian Indian

Black or African American

Chinese

Filipino

Guamanian or Chamorro

Japanese

Korean

Native Hawaiian

Other Asian

Other Pacific Islander

Samoan

Vietnamese

White or Caucasian

Other:

Enter other race

Footnotes:

[1] The online application is available [here](#) (on mass.gov) and [here](#) (on the Massachusetts Health Connector website).

Ethnicity: displaying a detailed list



Purpose: This slide describes one option for collecting ethnicity, where a detailed list of ethnicities is displayed to an applicant. In this option, the list of ethnicities is very different than the minimum OMB standard where the only two ethnicities are Hispanic/Latino, or not Hispanic/Latino.

What is your ethnicity? (select all that apply) ^[1]

- | | | |
|--|---|---|
| <input type="checkbox"/> African (specify country_____) | <input type="checkbox"/> Haitian | <input type="checkbox"/> Other (specify_____) |
| <input type="checkbox"/> African American | <input type="checkbox"/> Honduran | <input type="checkbox"/> Decline to answer |
| <input type="checkbox"/> Albanian | <input type="checkbox"/> Indian /Asian Indian (from/family from India)* | |
| <input type="checkbox"/> American | <input type="checkbox"/> Irish | |
| <input type="checkbox"/> Armenian | <input type="checkbox"/> Italian | |
| <input type="checkbox"/> Brazilian | <input type="checkbox"/> Japanese | |
| <input type="checkbox"/> Cambodian/Khmer | <input type="checkbox"/> Korean | |
| <input type="checkbox"/> Canadian | <input type="checkbox"/> Laotian | |
| <input type="checkbox"/> Cape Verdean | <input type="checkbox"/> Mexican, Mexican American, Chicano | |
| <input type="checkbox"/> Caribbean Islander (specify_____) | <input type="checkbox"/> Middle Eastern (specify_____) | |
| <input type="checkbox"/> Chinese | <input type="checkbox"/> Native American | |
| <input type="checkbox"/> Colombian | <input type="checkbox"/> Polish | |
| <input type="checkbox"/> Cuban | <input type="checkbox"/> Portuguese | |
| <input type="checkbox"/> Dominican | <input type="checkbox"/> Puerto Rican | |
| <input type="checkbox"/> English | <input type="checkbox"/> Russian | |
| <input type="checkbox"/> Filipino | <input type="checkbox"/> Salvadoran | |
| <input type="checkbox"/> French | <input type="checkbox"/> Scottish | |
| <input type="checkbox"/> German | <input type="checkbox"/> Swedish | |
| <input type="checkbox"/> Greek | <input type="checkbox"/> Ukrainian | |
| <input type="checkbox"/> Guatemalan | <input type="checkbox"/> Vietnamese | |

Footnotes:

[1] The value of "Unknown" could exist as a valid value in the data, but it would not be displayed as an option for individuals to select. See [HL7® Version 3 Null Flavor](#) for types of unknown values.

Notes:

- In the option for collecting ethnicity which is provided on this slide, the list of ethnicities that are displayed could be determined by different methods including using recommendations from MA DPH and/or the most prevalent ethnicities in Massachusetts according to data from the American Community Survey.
- The options displayed on this slide are adapted from the [MA DPH \(2009\) race, ethnicity & language data standards](#),

Race and Ethnicity Single-Question Format



Purpose: This slide provides an example of asking race and ethnicity in a single-question format, instead of as two separate questions.

8. What is Person 1's race or ethnicity?
Mark all boxes that apply AND print ethnicities in the spaces below.
Note, you may report more than one group.

WHITE – Provide details below.

German Irish English
 Italian Polish French

Print, for example, Scottish, Norwegian, Dutch, etc.

HISPANIC, LATINO, OR SPANISH – Provide details below.

Mexican or Mexican American Puerto Rican Cuban
 Salvadoran Dominican Colombian

Print, for example, Guatemalan, Spaniard, Ecuadorian, etc.

BLACK OR AFRICAN AMERICAN – Provide details below.

African American Jamaican Haitian
 Nigerian Ethiopian Somali

Print, for example, Ghanaian, South African, Barbadian, etc.

ASIAN – Provide details below.

Chinese Filipino Asian Indian
 Vietnamese Korean Japanese

Print, for example, Pakistani, Cambodian, Hmong, etc.

AMERICAN INDIAN OR ALASKA NATIVE – *Print, for example, Navajo Nation, Blackfeet Tribe, Mayan, Aztec, Native Village of Barrow Inupiat Traditional Government, Tlingit, etc.*

MIDDLE EASTERN OR NORTH AFRICAN – *Provide details below.*

Lebanese Iranian Egyptian
 Syrian Moroccan Algerian

Print, for example, Israeli, Iraqi, Tunisian, etc.

NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER – *Provide details below.*

Native Hawaiian Samoan Chamorro
 Tongan Fijian Marshallese

Print, for example, Palauan, Tahitian, Chuukese, etc.

SOME OTHER RACE OR ETHNICITY – *Print details.*

Possible modifications to the example on this slide could include the following:

1. Remove the instructions to “print ethnicities in the spaces below” so that the instructions say, “Mark all boxes that apply.”
2. Remove the first 6 write-in fields leaving only the last write-in field for “some other race or ethnicity.”
3. Add a value for “decline to answer.”

Examples of how an applicant may respond to this single-question format:

1. A person who views themselves as Asian-American:
 This person might select “Asian”.
 Or they might write “Asian American” in the field for “Some other race or ethnicity”.
2. A person who has one parent that identifies themselves as African American, and one parent that identifies as White with European ancestors:
 This person may select “White” and “Irish” and “Black or African American”.
 Or they might write “biracial” in the field for “Some other race or ethnicity”.

- Notes:**
- The above example is described in two sources:
 - [2015 National Content Test: Race and Ethnicity Analysis Report](#). U.S. Census Bureau. 2017 (page 88). This report found that “the optimal question format is combined question with detailed checkboxes” (page xii).
 - [Collection of REL Data in Medicaid Applications: A 50-state Review of the Current Landscape](#). State Health Access Data Assistance Center. May 2021 (page 4). This report describes that 6 out of 50 state Medicaid programs use a combine race/ethnicity question, while 46 use separate questions for race and ethnicity.



Paper application:

19. What is your preferred language, if not English? Spoken _____ Written _____

Electronic application:

Contact Preferences

Preferred Spoken Language Preferred Written Language

English English

The drop-down menus for Preferred Spoken Language and Preferred Written Language include the following 23 options:

- English
- Arabic
- Cambodian / Khmer
- Cape Verdean Creole
- Chinese – Cantonese
- Chinese – Mandarin
- French
- Greek
- Gujarati
- Haitian Creole
- Hindi
- Italian
- Korean
- Laotian
- Nepalese
- Polish
- Portuguese – Brazilian
- Portuguese – European
- Russian
- Somali
- Spanish
- Vietnamese
- **Other**

Disability: Current state



Paper application:

13. Do you have an injury, illness, or disability (including a disabling mental health condition) that has lasted or is expected to last for at least 12 months? If legally blind, answer **Yes**. Yes No

14. Do you need reasonable accommodation because of a disability or an injury? Yes No
If **Yes**, complete the rest of this application, including Supplement C: Accommodation.

SUPPLEMENT C



If you answered **Yes** to Question 14 in Step 2 about yourself or any household member needing reasonable accommodation because of a disability or injury, check all that apply below, and list name(s).

1. Condition

- Blind—Name(s): _____
- Deaf—Name(s): _____
- Developmentally disabled—Name(s): _____
- Hard of hearing—Name(s): _____
- Intellectually disabled—Name(s): _____
- Low vision—Name(s): _____
- Physically disabled—Name(s): _____
- Other (Please explain.)—Name(s): _____

2. Accommodation

- American Sign Language (ASL) interpreter—Name(s): _____
- Assistive listening device—Name(s): _____
- Communication Access Real-time Translations (CART)—Name(s): _____
- Large print publications—Name(s): _____
- Publications in braille—Name(s): _____
- Publications in electronic format—Name(s): _____
- Text telephone (TTY)—Name(s): _____
- Video Relay Service (VRS)—Name(s): _____
- Other (Please explain.)—Name(s): _____

Disability: Current state (continued)



MassHealth Adult Disability Supplement



Commonwealth of Massachusetts | Executive Office of Health and Human Services

Instructions for Completing the Supplement

You have indicated on your MassHealth application that you have a disability. Disability standards require that the disability has lasted or is expected to last at least 12 months. UMass Disability Evaluation Services (DES) will review your disability application for MassHealth. It is very important that you complete this Disability Supplement.

To get MassHealth based on your disability, you need to tell us about

- your medical and mental health providers. These may include doctors, psychologists, therapists, social workers, physical therapists, chiropractors, hospitals, health centers, and clinics from whom you receive or have received treatment; and
- yourself: your work history for the past 15 years, your educational background, and your daily activities.

Completing the Disability Supplement will give us this information and will help us make a quick decision.

Please read the following instructions before beginning.

- Print, or write clearly and complete the supplement to the best of your ability.
- Sign and date an Authorization to Release Protected Health Information Form for each medical and mental health provider you list on the supplement.
- After you have filled out the supplement, submit it to
Disability Evaluation Services / UMass Medical DES
P.O. Box 2796
Worcester, MA 01613-2796

DES will ask for your medical and treatment records from the providers you have listed. If you have any of your medical records, please send a copy with this form. If more information or tests are needed, a member of DES will get in touch with you. Your eligibility will be determined more quickly if all items on the supplement are filled in.

This is not an application for medical benefits. If you have not already completed a MassHealth application, you must fill one out in addition to this form. If you have any questions about how to apply, please call (800) 841-2900 (TTY: (800) 497-4648 for people who are deaf, hard of hearing, or speech disabled).

If you need help with this form, you can call the UMass Disability Evaluation Services (DES) Help Line at (888) 497-9890. Fill in every section of this form. If you do not fill in every section, we may not be able to decide if you are disabled.

Information about you Male Female

Last name First name Middle initial

Social security number

Notes:

- If an applicant answers “yes” to the question on the previous slide regarding injury, illness or disability lasting at least 12 months, and that applicant is not verified as being disabled according to an electronic data matching process with the federal Social Security Administration or the Massachusetts Rehabilitation Commission, then the applicant is asked to complete the [Adult Disability Supplement](#).
- The Adult Disability Supplement (16 pages) is available on the webpage for [MassHealth Member Forms](#).



Paper application:

2. Relationship to you SELF	3. Date of birth (mm/dd/yyyy)	4. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female
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Electronic application:

When you see a star (), you must complete the field.*

When you see an , roll over it with mouse or select it by pressing tab with keyboard to get definitions and learn more.

Sex *

Male Female



Massachusetts:

- Medicaid paper application: i.e., [Massachusetts Application for Health and Dental Coverage and Help Paying Costs \[ACA-3\]](#)
- Medicaid online application: available [here](#) (on mass.gov) and [here](#) (on the Massachusetts Health Connector website)
- [MA DPH \(2009\) race, ethnicity & language data standards](#), and a 2020 version of these standards which has been developed for internal Massachusetts Executive Office of Health & Human Services (EOHHS) use.

National:

- [1977 Office of Management and Budget \(OMB\) race and ethnicity standards](#)
- [1997 Office of Management and Budget \(OMB\) race and ethnicity standard](#)
- [2011 HHS Implementation Guidance on Data Collection Standards for Race, Ethnicity, Sex, Primary Language, and Disability Status](#)
- [T-MSIS Data Dictionary](#)
- FHIR v4.01 standards for: [race](#), [ethnicity](#), [disability status](#), [written language](#), [languages](#), [language ability mode](#), [administrative gender](#), [gender identity](#)
- United States Core Data for Interoperability (USCDI):
 - [USCDI V2](#) (released July 2021)
- CDC Race and Ethnicity Code Set Version 1.0 (March 2000)
 - [Overview from CDC](#) (6 pages)
 - [Table 1 and 2 \(i.e., the list of races and ethnicities\)](#) (38 pages); [CDC Code set as detailed in CDC's PHIN VADS](#)
- ONC standards for the following data elements:
 - [Race and Ethnicity](#)
 - [Preferred Language](#)
 - [Functional Status and/or Disability](#)
 - [Sex \(At Birth\)](#)
 - [Sexual Orientation](#)
 - [Gender Identity](#)
- HL7 V3 Null Flavor (i.e., different types of “Unknown” values):
 - [As specified by CDC's Public Health Information Network Vocabulary Access and Distribution System \(PHIN VADS\)](#)
 - [As specified by HL7 V3](#)
- [Collection of REL Data in Medicaid Applications: A 50-state Review of the Current Landscape](#). State Health Access Data Assistance Center (2021)